# 26 annual
# THE CONFERENCE THAT COUNTS



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**MARCH 14 - 16, 2022**

**ONLINE & IN-PERSON**

**MARRIOTT ALBANY**

189 Wolf Rd
Albany, NY 12205
(518) 458-8444

**The Conference
That Counts**

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2022

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# The Conference That Counts 2022

## Conference Overview

The New York Capital Chapter of the Association of Government Accountants, The Albany Chapter of The Institute of Internal Auditors, and the Hudson Valley Chapter of ISACA are proud to host the 26th annual **The Conference That Counts (TCTC) 2022** with a special theme - "Please Be Kind, Rewind!"

Please join us for an outstanding three-day continuing education event offering challenging, informative, and exciting sessions designed to help participants keep pace with changes in information technology, auditing, fraud, and leadership skills. TCTC 2022 promises to be an excellent learning and networking opportunity for both new and experienced financial managers, auditors, and accountability professionals. The program has been developed to ensure that TCTC 2022 delivers up to the minute, quality educational content that meets your high standards and professional needs. Up to 21 CPE hours can be earned by attending all three days whether you opt to attend in-person or online.

This is the 26th TCTC and it has become so popular over the years that you must register early to ensure you get in. Also, keep in mind that registering early can mean big savings for your agency or company's training budget. See the registration page for details. Business casual attire is appropriate for this event. Since the conference rooms at the Marriott Hotel can vary in temperature, we recommend that you dress in layers.

## Conference Dates and Location

The TCTC is being held on **March 14 – 16, 2022**
**Marriott Albany**
189 Wolf Rd
Albany, NY 12205
(518) 458-8444

## Registration

Register online at:
https://na.eventscloud.com/2022tctc

## Get Your Conference Handouts Early

Many TCTC presenters are making their presentation material available to attendees online. They will not be printed for distribution at the conference. You will find the PowerPoint slides online at https://na.eventscloud.com/2022tctc about two weeks before the conference. Please remember to download these presentations and bring them with you to TCTC 2022.

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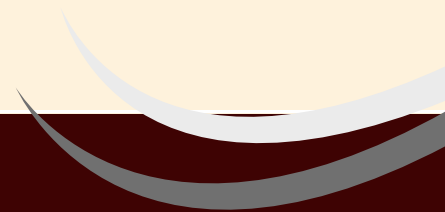WE HAVE PLANNED AN OUTSTANDING CONFERENCE PROGRAM THAT WILL GIVE YOU THE CHANCE TO:

- CUSTOMIZE YOUR REGISTRATION TO FIT YOUR SCHEDULE AND INTERESTS. CHOOSE ONE, TWO, OR THREE DAYS
- EARN UP TO 21 CPE HOURS AT OUTSTANDING EDUCATIONAL SESSIONS
- DISCOVER INNOVATIVE SOLUTIONS AND GAIN THE TOOLS YOU NEED TO BE MORE EFFECTIVE
- LEARN LEADERSHIP SECRETS FROM NATIONALLY RECOGNIZED INDUSTRY LEADERS
- NETWORK WITH COLLEAGUES FROM ACROSS THE REGION
- EXPLORE THE LATEST TECHNOLOGICAL ADVANCES IN FINANCIAL MANAGEMENT
- STAY UP TO DATE ON ISSUES THAT AFFECT YOUR CAREER
- IMPROVE YOUR KNOWLEDGE, SKILLS AND ABILITIES, AND INCREASE YOUR PROMOTIONAL POTENTIAL.

THE CONTINUING PROFESSIONAL EDUCATION (CPE) SEMINARS ARE BEING OFFERED BY A SPONSOR APPROVED BY THE NEW YORK STATE BOARD OF PUBLIC ACCOUNTANCY TO PROVIDE THE MANDATORY CONTINUING EDUCATION FOR LICENSED CPAS WORKING IN NEW YORK.

CHECK TO ENSURE THAT THE CPE CREDITS OFFERED MEET THE REQUIREMENTS OF YOUR CERTIFYING ORGANIZATION.

**NYS LICENSE NO. 000329**

# TCTC 2022 SESSIONS

| Monday<br>March 14, 2022 | Session Description |
|---|---|
| **Track General** | **Registration & Continental Breakfast**<br>7:30 am - 8:25 am |
| **Track I (IT)** | **M101: Cloud Fundamentals - Strategy & Security**<br>8:25 am—10:05 am<br><br>Cloud Fundamentals teaches you how to properly evaluate cloud strategy. The course starts with a detailed introduction to the various cloud deployment models: Software as a Service (SaaS), Platform as a Service (PaaS), and Infrastructure as a Service (IaaS). The security issues and the risks involved within each of these models will be discussed. The largest cloud service providers will be compared and the criteria for cloud selection will be covered, as well as the strategies for selecting those providers.<br>We will discuss how governance is impacted by the cloud shared responsibility model. The public cloud adoption framework will be discussed and how to form a cloud strategy. This session will enable you to assess the security, compliance, transparency, and privacy of the cloud system housing your data, and create a cloud strategy that enables cloud adoption to align with organizational goals.<br><br>**Speaker: Sushi Nair** |
| **Track II (Fraud)** | **M201: Trillions of Dollars = Trillions of Questions and Risks**<br>8:25 am—10:05 am<br><br>In fiscal year 2018, which ended October 31, 2018 (remember how normal the fall of 2018 was?), the federal government disbursed over $757 billion dollars via 487,448 grant awards for healthcare, transportation, education, research, and other important projects. In response to the pandemic, in fiscal year 2021 federal grants ballooned to over $1.3 trillion dollars. Overall pandemic-related spending, including grants, is approaching $4.5 trillion dollars. Additionally, pending infrastructure bills could provide trillions more in spending—much of which will be accomplished via grants.<br>In this session we'll review the basics of how federal grants work, the typical and newly emerging grant fraud risks, and the data and other tools available to help ferret out and address the misuse of these funds. Most importantly, we'll discuss practical steps that fraud examiners, auditors, and others can take to assess the grant fraud landscape, identify fraud red flags, and ask the right "business questions" of the data.<br><br>**Speaker: Ken Dieffenbach** |
| **Track III (Audit/Leadership)** | **M301: Auditing Social Media:  Helping the Organization Control the Conversation**<br>8:25 am—10:05 am<br><br>Organizations everywhere recognize social media as a significant opportunity, but also a significant risk. They are still often caught unaware by the emerging issues that are part of this new technology. This session, by discussing the basics of social media, the emerging risks, and approaches to mitigation of these risks, will provide internal auditors the information they need to help their organizations navigate this constantly evolving area.<br><br>**Speaker: Mike Jacka** |
| **Track General** | **Morning Networking Break**<br>10:05 am — 10:35 am |
| **Track I (IT)** | **M101: Cloud Fundamentals - Strategy & Security (*Continued*)**<br>10:35 am—11:50 am<br><br><br>**Speaker: Sushi Nair** |

| Monday<br>March 14, 2022 | Session Description |
|---|---|
| **Track II (Fraud)** | **M202: Trillions of Dollars = Trillions of Questions and Risks** *(Continued)*<br>**10:35 am—11:50 am**<br><br>**Speaker: Ken Dieffenbach** |
| **Track III (Audit/ Leadership)** | **M302: Developing Your Personal Leadership Legacy**<br>**10:35 am—11:50 am**<br><br>Internal Audit needs leaders just as surely as any other profession. And internal auditors are in the unique position to apply and exhibit leadership traits at all levels of the profession. This session will provide a practical approach to understanding leadership and how each individual can take charge of their personal leadership development.<br><br>**Speaker: Mike Jacka** |
| **Track General** | **Monday—Lunch**<br>**11:50 am—1:05 pm** |
| **Track I (IT)** | **M102: Cloud Fundamentals - Risk and Audit**<br>**1:05 pm—2:45 pm**<br><br>This section will discuss the cloud controls matrix and cloud security resources. Critical controls like Identity, and Access Management, Data encryption, network encryption, cloud access security brokers and cloud security posture management will be highlighted. The use of third party tools versus cloud native tools to secure your environment will also be covered.<br>This session will include a discussion about the threat landscape in public cloud. The largest cloud security breaches will be analyzed and used to provide the basis of discussion to understand what security controls need to be in place to reduce the risk of cloud security breaches.<br><br>**Speaker: Sushi Nair** |
| **Track II (Fraud)** | **M202: Navigating the High Seas of Fraud Investigations**<br>**1:05 pm—2:45 pm**<br><br>Fraud investigations are often some of the most complex and demanding types of investigations. This is because, unlike other types of investigations, often there is not an immediate or direct indication that a crime has actually occurred or a rule, regulation, or policy has been violated. Navigating these cases can be challenging, even for an experienced auditor or investigator. However, having a solid methodology to rely upon is essential. This presentation lays out one such methodology, derived from nautical themes, that demonstrates a "systems approach" to investigating the entirety of an allegation—from stem to stern.<br>Using case studies and scenarios, participants will be able to see the totality of the methodology and apply it.<br><br>**Speaker: Colin May** |
| **Track III (Audit/ Leadership)** | **M303: Managing and Motivating Your Audit Team**<br>**1:05 pm—2:45 pm**<br><br>Mix in one part planning, budgeting and organizing; one part development, motivation and leadership; and one part Baby Boomers, Gen Xers and Millennials and you begin to see the challenges managers face in the 21st century. For Internal Audit, this is further complicated with the increasing demands of a wide range of customers.<br><br>In this session, participants will hear and discuss ways everyone can work with and within teams to help ensure the successful achievement of organizational goals while building, developing and motivating those teams.<br><br>**Speaker: Mike Jacka** |

| Monday<br>March 14, 2022 | Session Description |
|---|---|
| **Track General** | **Afternoon Networking Break**<br>**2:45 pm — 3:15 pm** |
| **Track I (IT)** | **M102: Cloud Fundamentals - Risk and Audit** *(Continued)*<br>**3:15 pm—4:30 pm**<br><br>**Speaker: Sushi Nair** |
| **Track II (Fraud)** | **M203:  Cyber Resiliency and Cyber Auditing in the New Normal**<br>**3:15 pm—4:30 pm**<br><br>A discussion of activities from a State Inspector General's perspective surrounding recent cyber legislation and associated activities.<br><br>**Speaker: Melinda Miguel** |
| **Track III (Audit/<br>Leadership)** | **M304: Internal Auditors as Entrepreneurs**<br>**3:15 pm—4:30 pm**<br><br>To be prepared for our ever-more-quickly-evolving world, internal auditors must be ready to adopt new approaches, new skill sets, and new mind sets. Perhaps surprisingly, the best approach may be for internal auditors to begin thinking like entrepreneurs.<br>This session will discuss what it means for internal auditors to have an entrepreneurial spirit, what traits and skills will be required, and how to use an entrepreneurial approach to help their departments maintain relevance in the 21st century.<br><br>**Speaker: Mike Jacka** |
| **Track General** | **TCTC Social Event** *(Canceled)*<br>**4:30 pm—8:00 pm** |

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| Tuesday March 15, 2022 | Session Description |
|---|---|
| **Track General** | **Registration & Continental Breakfast** <br> **7:30 am - 8:25 am** |
| **Track I (IT)** | **T101: Cyber Awareness Training** <br> **8:25 am—10:05 am** <br><br> **Cyber Awareness Training - Making It Stick** <br><br> Security often struggles to capture the attention of our non-security colleagues, but when an incident happens they are 'all ears'. Learn how to effectively gear your cyber awareness program to capture their attention before an incident happens. We will cover the three artistic proofs, phishing gamification, presenter tips, format variations, metrics, and more. The financial services industry faces some of the most targeted and sophisticated cyber-attacks. Due to this, we must train our colleagues to be an extension of our cyber army to thwart any phishing, vishing, and smishing attacks. To do this, you must understand your audience from the trader to the underwriter, the investment banker, and beyond. Furthermore, we'll learn how to simulate more realistic cyber-attacks so we can better train our colleagues to look out for actual attacks. <br><br> You'll walk away with knowing how to stimulate their senses, get them enlisted as part of your cyber army, and leave yourself looking like a presentation Rockstar. <br><br> **Speaker: Mandouh Csintalan** |
| **Track II (Fraud)** | **T201: Triple Threat: Fraud, Corruption, and Conflicts of Interest** <br> **8:25 am—10:05 am** <br><br> The "triple threat" of fraud, corruption, and conflicts of interest are prevalent today in many public and private institutions. This training will focus on the ways that fraudsters use each to wrongfully obtain monies and items of value (including confidential or non-public information) that are entrusted to them due to their position. <br><br> The U.S. Department of Housing and Urban Development funds billions of dollars each year in grant-funded projects that cover a wide array of different programs to benefit millions of people. Funds are utilized by public housing authorities, local and state government entities, and private entities to provide services, shelter, and support for women, children, the elderly, homeless, veterans, and many others who need it most. These can be misused for personal profit in a variety of ways, including fraud, corruption, and conflicts of interest. Using examples from several different types of cases, auditors, examiners, and investigators will be able to identify trends, patterns, red flags, and common indicators that may be present. <br><br> **Speaker: David Cotton** |
| **Track III (Audit/ Leadership)** | **T301: Preparing Your Agency for Audit Readiness of Pandemic Relief Funds** <br> **8:25 am—10:05 am** <br><br> This session will cover audit readiness leading practices and lessons learned related to COVID-related federal funding programs Federal, State, and local regulatory compliance. <br> We will address the following: <br><br> • Explore the various funding acts such as CARES, American Rescue Plan, etc. <br> • Discuss how the flow of federal funds has been changing as significant portions are going directly to cities, counties and other non-state entities <br> • Provide tips and best practices on oversight and compliance even when no detailed guidance may have been provided <br><br> **Speakers: Anthony Trapasso, Sam Cowles, and Sonia Frederick** |

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| Tuesday<br><br>March 15, 2022 | Session Description |
|---|---|
| **Track General** | **Morning Networking Break**<br>10:05 am — 10:35 am |
| **Track I (IT)** | **T102: Cyber Vendor Assessment Process**<br>10:35 am—11:50 am<br><br>Learn about the cyber vendor assessment process from beginning to end. You'll walk away with bits of knowledge you can use at your firm or knowledge on how to start your program from scratch.<br><br>**Speaker: Mandouh Csintalan** |
| **Track II (Fraud)** | **T202: The Impact of Criminal Diversion of Prescription Drugs on Patient Health and Safety, and Healthcare**<br>10:35 am—11:50 am<br><br>This session will present a detailed description about Criminal Diversion of Prescription Drugs, the effects of diversion, and methodologies to detect and mitigate this activity.<br>We will address the following:<br><ul><li>The fraud cost to government healthcare programs; impact upon patient health and safety; advanced analytical processes to detect diversion; including advanced analytics for the detection of prescribers, patients, medications, and dispensers associated with Rx Diversion.  Profile reports of providers, patients and medications associated with diversion.</li><li>Identification and profile of patients at high risk of overdose</li><li>Identification and profile of patients who may be selling back their medication</li><li>Discuss how Rx Diversion contributes to the historically high number of drug overdose deaths in the U.S.</li><li>Discuss how Medicaid recipients and homeless are particularly vulnerable to selling their expensive medication (e.g., HIV, anti-psychotic, and cancer)</li><li>Liability of healthcare payors and PBMs for the consequences of diversion</li></ul>**Speaker: William McGoldrick** |
| **Track III (Audit/ Leadership)** | **T302: What Agencies Can Learn from ESG, Sustainability and the New "Triple Bottom Line"**<br>10:35 am—11:50 am<br><br>This session will cover how government is embracing the Environmental, Social, and Governance (ESG) agenda.  ESG is affecting nearly every aspect of our economic system, both public and private.<br><br>We will seek to answer the following:<br><ul><li>What is ESG & Climate Change?</li><li>Why is ESG growing in importance on leadership agendas in both public and private organizations?</li><li>What is ESG's role in enhancing economic opportunities, quality of life, and attractiveness of cities, states, and regions?</li><li>How can ESG spur purposeful investments to improve the lives of people in vulnerable communities and neighborhoods?</li><li>How can ESG be incorporated into operations/commitments, as well as longer-term corporate/economic development 'journeys'?</li></ul>**Speakers: Josh Hesterman & Lorie Srivastava** |
| **Track General** | **Tuesday—Lunch**<br>11:50 pm—1:05 pm |
| **Track I (IT)** | **T103: What happened to 2020! A Red Teamer's Year in Review**<br>1:05 pm—2:45 pm<br><br>In this talk Tyler reviews the interesting, hilarious, unique, awesome and familiar things that happened in the past year during red teams, penetration tests and offensive security operations. All of the war stories are from first hand experience and will give attendees insight into how organizations are actually broken into. The attack vectors include intrusions via external, internal, social engineering, and more. With a sprinkling of real world breach review attendees are sure to walk away with a better understanding of their adversaries.<br><br>**Speaker: Tyler Wrightson** |

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| Tuesday<br>March 15, 2022 | Session Description |
|---|---|
| **Track II (Fraud)** | **T203: Identity Crime Prevention and The Dark Side**<br>**1:05 pm—2:45 pm**<br><br>Fraud Fighting Frankenstein: The Future of Identity Crime Prevention - This session will cover trends in identity-based crime, including synthetic identity fraud -- or "Frankenstein identities," and the latest methods agencies are using against them The Dark Side – How Fraudsters use the Dark Web Against the Government.<br><br>This session will discuss ways to combat this type of fraud, including good cybersecurity hygiene, risk-appropriate defense programs, threat hunting, incident response, and digital forensics.<br><br>**Speaker: Taylor Larimore** |
| **Track III (Audit/ Leadership)** | **T303: New Perspectives on Ethics for Auditors and Accountants**<br>**1:05 pm—2:45 pm**<br><br>This half-day session introduces ethical models, and equips participants with specific ethics tools they can use to make decisions— specifically, understanding that an ethical dilemma can only happen when we have two "right" choices.  We'll consider decisions that already have a right answer or a wrong answer versus professional decisions with actual (and difficult!) choices.  We'll flush key decisions through ethical models to see which ethical results can happen from different perspectives.  More than theory, this session applies practical choices and results to professional actions by auditors and accountants.<br><br>**Speaker: Drummond Kahn** |
| **Track General** | **Afternoon Networking Break**<br>**2:45 pm — 3:15 pm** |
| **Track I (IT)** | **T104: Increase Confidence & Overcome Confrontations**<br>**3:15 pm—4:30 pm**<br><br>After lifting the veil on the beauty propaganda, machine attendees will be able to begin overcome negative self esteem and build confidence. The audience will learn 3 self confrontations to overcome. They will leave with specific techniques, strategies, and mindsets to increase confidence and authority both personally and professionally.<br><br>**Speaker: Lindsay Rae** |
| **Track II (Fraud)** | **T204: Unicorns & Camels - the Fraudtech Landscape and Lessons Learned**<br>**3:15 pm—4:30 pm**<br><br>Unicorns & Camels: Navigating the Fraudtech landscape - Dozens of technology vendors offer fraud analytics and data solutions that promise high accuracy and low friction through the use of artificial intelligence and other technical capabilities. This session will discuss how to explore the use of antifraud technology solutions. Trial by Fire: Lessons learned fighting fraud during a pandemic - Fraud loves a crisis. Wherever there is confusion and haste, there is the opportunity to steal.<br>During this session, we will review some of the specific lessons learned regarding pandemic-related fraud schemes and discuss what organizations can do to protect themselves from a tidal wave of fraud risk expected in the coming year.<br><br>**Speaker: Taylor Larimore** |
| **Track III (Audit/ Leadership)** | **T303: New Perspectives on Ethics for Auditors and Accountants** *(Continued)*<br>**3:15 pm—4:30 pm**<br><br><br>**Speaker: Drummond Kahn** |

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| Wednesday March 16, 2022 | Session Description |
|---|---|
| **Track General** | **Registration & Continental Breakfast**<br>7:30 am - 8:25 am |
| **Track I (IT)** | **W101: Governance, Risk and Compliance (GRC) Essentials**<br>8:25 am—10:05 pm<br><br>Governance, Risk and Compliance (GRC) Essentials:<br><br>• Understand the history and key elements of IT governance, risk and compliance<br>• Recognize the altitudes of governance and associated frameworks Key Elements of the COBIT Framework<br><br>Key Elements of the COBIT framework:<br><br>• Understand the elements of the COBIT framework<br>• Recognize governance/management objectives, components and capability levels<br>• Learn how to use the goals cascade to prioritize objectives, determine funding and scope audits<br><br>**Speaker: Mark Thomas** |
| **Track II (Fraud)** | **W201: Forensics for Auditors**<br>8:25 am—10:05 pm<br><br>This session will cover the various types of forensics, categories of evidence in forensic investigations and forensic audits, the chain of custody and preservation of evidence, and coordination for forensic results.<br><br>• Types of Forensics<br>• Types of Evidence in Forensic Investigations and Forensic Audits<br>• Chain of Custody and Preservation of Evidence<br>• Coordination for Forensic Results<br><br>**Speaker: Megan Gaillard** |
| **Track III (Audit/ Leadership)** | **W301: Performance Auditing**<br>8:25 am—10:05 pm<br><br>This half-day session will explore performance auditing, delving into its purpose, how it differs from other forms of auditing, and walks through the audit process using several lenses -- first, risks, topics, and the steps in the audit process (planning, fieldwork, and reporting), second, through the specific process events in an audit, and third, through an risk lens to understand how we can audit the most important issues.<br>The session fully explores the structure of the audit finding, the types and strengths of audit evidence, and detailed descriptions and exercises on the phases of the performance audit process.<br><br>**Speaker: Drummond Kahn** |
| **Track General** | **Morning Networking Break**<br>10:05 am — 10:35 am |
| **Track I (IT)** | **W102: GRC Implementation**<br>10:35 am—11:50 am<br><br>Introduction to design factors and the implementation approach<br><br>• Learn about the key points of the design factors and their use in creating a tailored governance system<br>• Learn about the phases and perspectives of the implementation model<br>• Exercise: create and implement a tailored governance system<br>• Review company case study<br>• Create a tailored governance system using design factors<br>• Create an implementation plan<br><br>**Speaker: Don Caniglia** |

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| Wednesday March 16, 2022 | Session Description |
|---|---|
| **Track II (Fraud)** | **W202: Purchasing Cards** <br> **10:35 am—11:50 am** <br><br> This session will explore purchasing card program benefits, how to structure the program with internal controls and policies and procedures within the control environment structure, as well as identify best practices and purchasing card common pitfalls. The session will then cover how to use data analytics for purchasing card high risk transactions and identify fraud and purchasing card red flags. <br> • Purchasing Card Program Benefits <br> • How to Structure a Purchasing Card Program with Internal Controls and Best Practices <br> • Purchasing Card Common Pitfalls <br> • Data Analytics for Purchasing Card High Risk Transactions <br> • Purchasing Card Policies and Procedures with the Control Environment Structure <br> • Fraud and Purchasing Card Red Flags <br><br> **Speaker: Megan Gaillard** |
| **Track III (Audit/ Leadership)** | **W301: Performance Auditing** *(Continued)* <br> **10:35 am—11:50 am** <br><br><br> **Speaker: Drummond Kahn** |
| **Track General** | **Wednesday—Lunch & Awards** <br> **11:50 am—1:05 pm** |
| **Track I (IT)** | **W103: Primer on Risk Governance and Management** <br> **1:05 pm—2:45 pm** <br><br> A Primer on Risk Governance and Management <br><br> • Understand key terms and definitions with risk governance and management <br> • Identify risk appetite and tolerance levels <br> • Know how to identify, assess, respond and monitor risks <br> • Managing 3rd party risk <br> ⇒ Identify 3rd party risk scenarios <br> ⇒ Assess, prioritize and respond to 3rd party risks <br><br> **Speaker: Mark Thomas** |
| **Track II (Fraud)** | **W203: Fraud Science - 101 Social Engineering** <br> **1:05 pm—2:45 pm** <br><br> The presentation looks at how fraud is committed through engineering opportunities that can be exploited. It opens with simple, real-life examples and continues with the cognitive issues that make organizations susceptible to fraud and identifies emerging trends in exploitation with the conclusion illustrating dynamic fraud using a case where the fraudsters undertook reconnaissance through open source intelligence, develop relations by impersonating staff through e-mail, exploited that relationship and executed a fraud that among other things diverted resources. <br><br> **Speaker: Michael Dudley** |
| **Track III (Audit/ Leadership)** | **W302: Have Audits Changed Forever - The Audit of the Future** <br> **1:05 pm—2:45 pm** <br><br> This presentation will be focused on recent changes and the evolution of auditing <br><br> **Speaker: Matt Van Buren** |

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| Wednesday March 16, 2022 | Session Description |
|---|---|
| **Track General** | **Afternoon Networking Break** <br> **2:45 pm — 3:15 pm** |
| **Track I (IT)** | **W104: Introduction & Implementation of the NIST CSF** <br> **3:15 pm—4:30 pm** <br><br> Introduction & Implementation of the NIST CSF <br><br> • Recognize the key elements of the NIST CSF <br> • Identify the connections between COBIT and the NIST CSF <br> • Implementing the NIST CSF using COBIT <br> ⇒ Understand the relationships between the implementation models for COBIT and the CSF <br> ⇒ Create an implementation plan for the NIST CSF using COBIT <br><br> **Speaker: Mark Thomas** |
| **Track II (Fraud)** | **W203: Fraud Science - 101 Social Engineering (*Continued*)** <br> **3:15 pm—4:30 pm** <br><br> **Speaker: Michael Dudley** |
| **Track III (Audit/ Leadership)** | **W303: Making the Most of Analytics & Technology** <br> **3:15 pm—4:30 pm** <br><br> This session will focus on how companies can better leverage technology and innovation in their own financial reporting and monitoring processes. <br><br> **Speaker: Matt Van Buren** |
| **Track General** | **Dismissal** <br><br> *We hope to see you at our next event!* |

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# SPEAKER BIOS

## Sam Cowles
### KPMG, LLP

Sam Cowles is a Manager of State and Local Government Regulatory Compliance Services. Sam currently co-leads engagements around third party compliance with Federal and State cost reporting rules, and the strategy and oversight of procurement and implementation of a fraud analytics and case management solutions. Sam co-leads a engagement around Emergency Rental Assistance focusing on application review and funding disbursement, and has a history of leading performance and compliance audits, with a deep regulatory compliance background and project management experience for clients, including the State of New York.

## Mandouh Csintalan
### RenaissanceRe

Mandouh Csintalan is the Security GRC Manager at RenaissanceRe where he leads areas like client due diligence, vendor assessments, security awareness, regulatory response, audit remediations, security controls strategy, and other ad-hoc projects. Previous to RenaissanceRe, Mandouh worked at Deutsche Bank where he worked across different departments such as Global Networks Services, the Chief Data Office, and the Chief Information Security Office (CISO). While in CISO, he worked closely alongside the Americas CISO to deliver new cyber capabilities, respond to regulatory requirements, deliver the company's cyber awareness program, and much more.

He's a graduate of Stevens Institute of Technology with a B.S. in Quantitative Finance.

## Ken Dieffenbach
### U.S. Department of Energy

Ken Dieffenbach is the Deputy Assistant Inspector General for Investigations at the U.S. Department of Energy where he works with a team of over 75 personnel addressing fraud that impacts the Department of Energy's $40+ billion dollar annual budget. From 2003 to July 2021, he served in various special agent and data analytics roles with the U.S. Department of Justice Office of the Inspector General. From 1996 to 2003, he served on active duty with the U.S. Air Force Office of Special Investigations. Ken has led dozens of complex and sensitive fraud and internal misconduct investigations and has made well over 100 presentations about fraud to a wide variety of audiences.

He is an adjunct instructor at the Federal Law Enforcement Training Center, previously coordinated DOJ's Fraud Task Force's Grant Fraud Working Group, and has had articles published in the ACFE's Fraud Magazine and other publications. His work has been recognized with numerous awards including the Attorney General's Distinguished Service Award. He holds an undergraduate degree from The Citadel and a graduate degree from the University of Alabama at Birmingham. From January 2021 to January 2022, Ken served as Chair of the ACFE Board of Regents. In his spare time he enjoys trying to convince his wife that they do not need any additional cats.

# SPEAKER BIOS

## MICHAEL DUDLEY
### UNITED NATIONS

Michael Dudley is a lawyer with over 30 years of experience specializing in international investigations ranging from fraud and corruption to sexual exploitation and abuse. He has particular expertise with investigation interviewing, including minors, cognition and neuroscience of deception and digital forensics. He regularly provides investigation training for civilian, police and military investigators, oversight personnel and international sanctions monitors. Mr. Dudley is the Principal Deputy Director of the United Nations Investigations Division and holds a BA, JD and LLM.
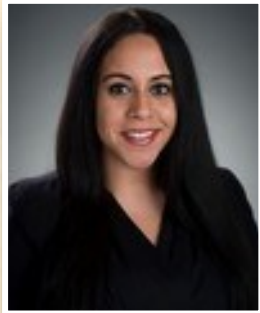
## SONIA FREDERICK
KPMG, LLP

Sonia Frederick is a Manager at KPMG, LLP within the Advisory Forensics State and Local Government practice. Sonia leads third party audits and regulatory compliance assessments primarily within the health and human services domain in New York State. She currently leads an engagement assessing the COVID-19 emergency procurement lifecycle in comparison to various state and federal regulations. In addition, Sonia is an elected representative within the City of Albany and chairs the Finance, Tax, and Assessment Committee of the Common Council, where she has an oversight role of the City's ARPA funds. She has also served on the COVID Recovery Task Force in Albany, implementing strategy around use of recovery funds.

## MEGAN GAILLARD

Megan Gaillard is currently serving as an Assistant City Auditor III / Quality Control Audit Manager for the City of Fort Lauderdale. In this capacity, she helps the government improve and enhance internal controls to make City operations more effective and efficient.
Megan Gaillard also is serving as an auditor and consultant for Auditing, Forensics, and Consulting, LLC. In this capacity, she completes governmental special reviews for financial and budget information. Additionally, she teaches at the graduate level for Florida Atlantic University for Accounting Fraud Examination Conduct and Procedures.

Megan Gaillard previously was the Director of Audit for the Palm Beach County Office of Inspector General. In this capacity, she directed and managed complex audits and forensic audits of departments, offices, agencies, and activities of governmental units under the OIG's jurisdiction. These audits found over $24,000,000 in questioned, identified, and avoidable costs. The OIG jurisdiction includes 42 governmental entities and oversight for approximately $8 billion dollars of government funds.

Megan Gaillard previously served as an Internal Controls Manger for the Division of Facilities Management at the Collier County Board of County Commissioners. In this capacity, she performed reviews and evaluated internal controls. The Division worked for is responsible for Government Security, Building Operations, Real Property Management, and Capital Construction with an annual budget of $14,000,000 with an additional $27,000,000 in capital construction budgets.

Megan Gaillard previously served as a Senior Internal Auditor for the Collier County Clerk of the Circuit Court and Comptroller. In this capacity, she managed audits, forensic reviews, and investigations of Collier County Board of County Commissioner Divisions and Departments, as well as, for the Clerk of the Circuit Court and Comptroller Departments. Audits were completed to evaluate internal controls and ensure the legality of payments made with taxpayer dollars.

Megan Gaillard earned her Bachelors of Science *Magna Cum Laude* from Florida Gulf Coast University in Accounting with a minor in Psychology. She went on to obtain her Masters of Science *Summa Cum Laude* from Florida Gulf Coast University in Accounting

and Taxation. She has obtained the following professional designations: Certified Internal Auditor, Certified Information Systems Auditor, Certified Fraud Examiner, Certified Inspector General Auditor, Certified Government Financial Manager, Certified Government Audit Professional, and Certification in Risk Management Assurance. She completed the leadership program Growing Associates in Naples, FL (GAIN) in 2015.

She serves on the Association of Government Accountants Professional Ethics Board and the Professional Development Training committees. She is the Vice President for the Palm Beach County Institute of Internal Auditors Chapter.

Ms. Gaillard is a frequent speaker at national and local conferences for accountants, auditors, and government professionals on topics, such as, data analytics, fraud, information technology, internal controls, risk management and assessment, and investigations.

### John Hesterman
KPMG, LLP

Josh is a Director in KPMG's Advisory practice with almost 15 years of consulting experience. He currently works with KPMG's ESG Climate Advisory team designing practical, tangible strategies that can be easily deployed while balancing key environmental, financial, and operational considerations. His focus is in Transportation & Transit, having successfully led and supported several large transformations helping these clients to embrace the change represented by rapid movements in sustainability throughout their industries.

Josh specializes in working with both public and private sector clients on evaluating and refining their strategies, fundamentally transforming their organization, and quickly assessing areas for performance improvement.

### Mike Jacka, CIA
FPACTS

During a 30-year career in internal audit with Farmers Insurance, Mike Jacka was responsible for projects as far-ranging as development of fraud investigation procedures for a 100-person audit shop, oversight of Farmers' western regional auditing operations, and designing auditor training programs for a global organization of 200+ staff members. Currently, he is Chief Creative Pilot for Flying Pig Audit, Consulting, and Training Solutions (FPACTS), a group dedicated to advancing the skills and quality of internal auditors and all professionals.

He is top-rated presenter, award-winning columnist, and contributor to Internal Auditor magazine including his column "The Mind of Jacka", his blog "From the Mind of Jacka" and the magazine's lighter side pieces such as "Alice in Auditland", "Auditing Songs for the Holidays", and "Auditors Anonymous". He is also author or co-author of numerous books including Business Process Mapping: Improving Customer Satisfaction, Auditing Social Media: A Governance and Risk Guide; and Message, Brand, and Dollars – Auditing Marketing Operations (all currently in second editions), as well as the rather curiously titled Auditing Humor and Other Oxymorons.

# SPEAKER BIOS

### Drummond Kahn, CIA, CGFM, CGAP

Drummond Kahn trains auditors and financial managers in the U.S. and overseas. Since 1990, Mr. Kahn served in federal, state, and local government auditing positions, including Chief of Internal Audit Services for the Oregon Department of Transportation, Director of Audit Services for the City of Portland, State Audit Administrator for the Oregon Audits Division, and in the U.S. Government Accountability Office.

Mr. Kahn is a past national President of the Association of Local Government Auditors. He teaches graduate courses at Portland State University's Hatfield School of Government and in the University of Oregon's Master of Accounting program.

In 2014, Mr. Kahn was named one of the 15 Most Influential Professionals in Government Auditing by the Institute of Internal Auditors' American Center for Government Auditing, in recognition of "professionals who have positively impacted the practice of auditing in the public sector." In 2013, the Association of Government Accountants presented Mr. Kahn the Frank Greathouse Distinguished Leadership Award for 'sustained, outstanding leadership in financial management, resulting in notable contributions to the profession.' In 2018, he was recognized with the Association of Local Government Auditors' Lifetime Achievement Award.

Mr. Kahn holds a master's degree from the University of Oregon and a bachelor's degree from Whitman College. He is a Certified Internal Auditor, Certified Government Financial Manager, and Certified Government Auditing Professional. He has served on the Comptroller General's Advisory Council on Government Auditing Standards since 2009 and as Chair since 2016.
He is pleased to return to the Albany Chapters!

### Taylor Larimore PMP, PMI-RMP, CSSGB
### Grant Thornton

Mr. Larimore is a risk management expert focusing on program integrity and fraud prevention and detection in the public sector. He has extensive experience helping government agencies establish and enhance their fraud risk mitigation programs to align to leading practices, including conducting fraud risk assessments, applying data analytics, and developing and implementing enterprise-level antifraud strategies in complex environments. He has successfully led engagements in both the public and private sectors – spanning from large federal agencies to Fortune 100 and 500 companies. His experience includes the following:

- Led the project to develop the government-wide Antifraud Playbook published in partnership with the Department of the Treasury and Chief Financial Officers Council.
- Facilitated workshops with executives from 14 federal agencies and Offices of Inspectors General to collect leading practices in fraud risk mitigation that were the basis of the government-wide Antifraud Playbook.
- Coordinated and led government-wide training workshops for more than 200 federal government attendees to help agencies operationalize concepts introduced in the Antifraud Playbook, as well as providing them an opportunity to network and share best practices, tools, and templates across agencies.
- Supported the Department of the Treasury to conduct a survey of 20+ federal agencies and develop a detailed inventory of fraud data analytics programs. Collected leading practices in applying data analytics for fraud prevention and detection.
- Led the project to enhance the organizational strategy and develop an implementation roadmap for the largest federal healthcare program integrity organization.
- Developed leading methodologies for conducting fraud risk assessments and organizational maturity assessments.

# SPEAKER BIOS

- Conducted fraud risk interviews, focus groups, and workshops with stakeholders at all levels of an organization spanning from Senior Executive Service (SES) and C-Suite executives to front-line employees.
- Developed and delivered fraud risk management training for a variety of federal and commercial clients.

*Professional Certifications:*
- Project Management Professional (PMP), Project Management Institute
- Risk Management Professional (PMI-RMP), Project Management Institute
- Certified Six Sigma Green Belt (CSSGB), American Society of Quality

*Featured Publications & Speaking Engagements:*
- From Theory to Practice: Strategic Plans Accelerate Fraud Risk Management, Winter 2021 Issue of AGA Journal
- 2020 AGA Internal Controls and Fraud Prevention Training Conference – served as Private Sector Conference Co-Chair; moderator for "Think Like a Fraudster: Enhance Risk Assessment Through Fraud Awareness"
- 2020 AGA Professional Development Training – Moderator for "The Dark Side - How Fraudsters use the Dark
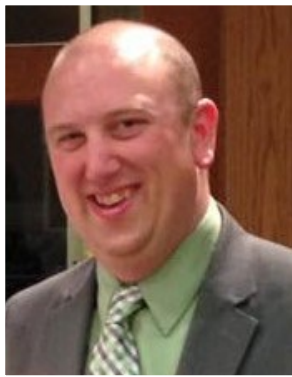
## COLIN MAY, CFE, 3CE
### U.S. HUD, OFFICE OF INVESTIGATIONS

**Colin May, CFE, 3CE** leads the HUD OIG Office of Investigation's Strategy and Planning Unit within the Headquarters Operations Division. He joined HUD OIG as a Forensic Auditor in January 2021 after serving as a Bankruptcy Auditor with the Office of the United States Trustee, U.S. Department of Justice since 2015. There he led the Regional Investigative Team covering four judicial districts and oversaw the appointed trustees. He was a member of the National E-Discovery and Litigation Support Working Group, as well as teaching fraud investigation, forensic accounting, and financial oversight topics at the Justice Department's National Advocacy Center in Columbia, S.C.

Prior to joining the U.S. Trustee Program, Colin was a Special Agent with the U.S. Department of Commerce, Office of Export Enforcement (OEE), where he was a Digital Forensic Examiner with the National Computer Forensics Lab, as well as the lead case agent on a major international terrorism, money laundering, and fraud investigation involving the illicit export of U.S.-origin commercial aircraft, engines, and parts to Iran. Colin began his career as a Special Agent with the U.S. Department of Defense, conducting financial investigations. Colin served as an intern with the U.S. Postal Inspection Service in Albany and holds a bachelor's degree from Siena College. He also holds a master's degree in forensic studies from Stevenson University, a graduate certificate in forensic accounting from Northeastern University, and a graduate certificate in leadership studies from the University of Southern Maine.

A Certified Fraud Examiner and Certified Cyber Crime Examiner, Colin has also authored several articles, which have appeared in Fraud Magazine, Fraud Examiner, the FBI Law Enforcement Bulletin, Security Management, and the Journal of Public Inquiry. He is also a member of the International Association of Chiefs of Police, the IACP Police Research Advancement Section, and the Police Executive Research Forum. Since 2010, he has been a Professor of Forensic Studies at Stevenson University.

## WILLIAM MCGOLDRICK, ESQ

The Law Office of William M. McGoldrick has been engaged to provide prescription drug diversion expert consultation services in the U.S. His team of former NYS Medicaid program managers designed and created the NYC HRA Office of Medicaid Provider Fraud Audit and Investigation. His services include expert testimony, and the creation of expert reports about prescription drug diversion for cases in all 50 states.

Bill McGoldrick formed two companies to provide audit, investigation and regulatory compliance reviews for federal, New York State and New York City programs. His Medicaid fraud investigations company has been providing Medicaid fraud investigation staff, including pharmacists to various NYS agencies continuously since 1996.

# SPEAKER BIOS

## William McGoldrick, Esq *(Continued)*

Bill McGoldrick was instrumental in having New York City included in the NYS Medicaid Inspector General's Local Demonstration Audit Program in 2006. He is a member of the Health Law Sections of the American Bar Association and the New York State Bar Association. He was named to the NYS Senate Task Force on Heroin and Opioid Addiction 2014.

## Melinda Miguel

Melinda Miguel is a visionary compliance strategist and international speaker on a mission to touch, educate, move, and inspire global leaders and professionals on the importance of compliance, risk, and cyber resilience strategies in today's market.

Melinda was appointed by Governor DeSantis on January 8, 2019, as Chief Inspector General, Executive Office of the Governor, State of Florida. She also served as Chief Inspector General for Florida Governor Rick Scott from February 2011 to April 2017 and for Florida Governor Charlie Crist from January 2007 to November 2010. In this role, she is also a member of the Florida Department of Law Enforcement - Florida Fusion Center as the representative for the Florida IG Community. Governor DeSantis appointed Ms. Miguel to serve on the Governor's Cybersecurity Taskforce in 2019 where she served as vice chair of the "Identify" sub-committee fashioned after the 1st function of NIST Cybersecurity Framework. She is also currently a member of the Florida Cybersecurity Advisory Council.

Ms. Miguel has over 30 years of public service and has served as IG for the following Florida state agencies:

- Florida State Board of Administration
- Florida Attorney General's Office
- Florida Department of Education, and
- Florida Department of Elder Affairs

Ms. Miguel has also served as the Deputy IG for the Architect of the Capitol and IG for the U.S. Government Publishing Office, both in Washington, D.C.

Ms. Miguel is the President-Elect for AGA–The Association of Government Accountants.  Her term as National President will begin July 2022. She also serves on the AGA's National Intergovernmental Partnership.  Her service with AGA extends over twenty years in a variety of leadership roles at the local, regional, and national levels.

Ms. Miguel is the immediate past National President of the Association of Inspectors General; a former member of the national Executive Committee and member of the Legislative Issues Committee, Professional Certification Board, Professional Standards Committee, and Diversity and Inclusion Committee. She is an advisor for the International Association of Financial Crimes Investigators and the Director of SHELeadsTech for the Tallahassee Chapter of ISACA.

Ms. Miguel received a Bachelor of Science Degree in Economics and a Graduate Certificate in Florida Local Government Administration from Florida State University.

She is a Certified Inspector General, Certified Project Management Professional, Certified Internal Controls Auditor, Certified Construction Auditor, Certified Construction Control Professional, Certified Business Manager, and Certified Cyber Crimes Investigator.

## Sushila Nair, CISSP, CISA, CISM, CRISC, CDPSE, CCAK

Sushila Nair has served as a Chief Information Security Officer for 10 years and has over 25 years of experience in computing infrastructure, business and security. An experienced cybersecurity thought leader, she has worked in diverse areas across telecommunications, audit, risk analysis, and credit card fraud, and served as a legal expert witness. She also worked with the insurance industry in Europe and America on methods of underwriting e-risk insurance based on ISO27001. With numerous articles published in the computing press, she has also featured in various global technical events.

She plays an active role in supporting best practices and skills development within the cybersecurity community. She has held notable leadership roles in business strategy development, sales enablement and product development, and drives ongoing success through a proven approach of mentoring, industry knowledge and real-world experience.

# SPEAKER BIOS

### LINDSAY RAE

Internationally published and multi-award winning photographer, Author and Inspirational Speaker, Lindsay owns and operates Self Love Experience out of Troy, NY. Lindsay's work has been published in The Times Union, Shutterbug Magazine, RangeFinder Magazine and many more. Lindsay is also an active contributor to Women's Business Daily and Brainz Magazine and a Co-Author of the Amazon International Best-Seller Success Codes: The Secrets to Success You Weren't Taught In Highschool which also won a 2021 International Impact Award in the topic of Personal Growth and Development. Lindsay also won the 2021 Best of Show and Gold Metal W3 Award for Social Video-Public Service & Activism. You may have seen Lindsay as a regular guest on Good Morning Rochester and Good Morning Washington for her work in Body Image Activism.

With a focus on helping others overcome negative body image and body insecurity, Lindsay believes confidence in the skin you are in trickles into every aspect and relationship in your life and she gives us permission to feel confident, secure and centered exactly as we are.

### JAMES RUOTOLO, CFE, FCLS

#### GRANT THORNTON

James Ruotolo is a Senior Manager in the Fraud & Financial Crimes practice at Grant Thornton, LLP based in Hartford. Prior to joining Grant Thornton, James was a Senior Director of Product Management and Product Marketing in the Fraud and Security Intelligence Division at SAS, where he managed a portfolio of products for fraud detection, AML compliance, intelligence management, and cybersecurity primarily in the banking, insurance, government and healthcare industries. James has also worked in various sales, marketing, product, and consulting roles supporting fraud and risk management offerings.

James has dedicated his entire career to fraud investigation. He held several leadership roles in investigations and intelligence operations at a large US insurance and financial services company. Earlier in his career, James served as a Product and Markets Manager with the Fraud Solutions Group for a large data aggregator.

James holds a Master's degree in Economic Crime Management, a Bachelor's degree in Criminal Justice and has taught graduate courses as an adjunct faculty member of the Utica College Economic Crime and Fraud Management MBA program. James serves on the board of directors for the non-profit Identity Theft Resource Center. He is the coinventor of two patented fraud detection models, a Certified Fraud Examiner, and a frequent author and speaker on fraud analytics technology.

### LORIE SRIVASTAVA

Lorie is a Ph.D. environmental economist, modeler, and ESG specialist. She brings extensive experience in climate economics, scenario analysis, and climate risk to support clients in making strategic decisions for their businesses. Lorie assists our clients integrate climate-smart metrics, material ESG factors, and risk assessments in their investment decisions to deliver a measurable impact. Prior to joining KPMG, Lorie conducted research at the Environmental Science & Policy Center, University of California, Davis regarding the effect of climate change on the economic value of environmental benefits in the western U.S. and California. Her peer-reviewed research covers climate-related topics, such as risks and impacts associated with drought, extreme weather events, carbon emissions, air quality, climate resiliency, and environmental justice.

Prior to joining KPMG, Lorie conducted research at the Environmental Science & Policy Center, University of California, Davis focusing on the effect of climate change on the economic value of environmental benefits and costs in the western U.S. and California.

# SPEAKER BIOS

### Mark Thomas CRISC, CGEIT
Escoute

Mark is an internationally known Governance, Risk and Compliance expert specializing in information assurance, IT risk, IT strategy, service management and digital transformation. Mark has a wide array of industry experience including government, health care, finance/banking, manufacturing, and technology services. He has held roles spanning from CIO to IT consulting and is considered a thought leader in frameworks such as COBIT, NIST, ITIL and multiple ISO standards.
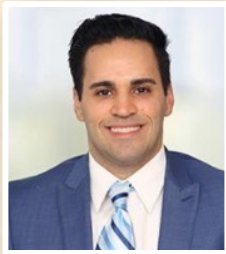
### Anthony Trapasso
KPMG, LLP

Anthony Trapasso is a Director in KPMG's Risk & Compliance practice in New York City. Anthony, a Certified Public Accountant, specializes in government regulatory compliance matters through performance auditing, risk assessments, process gap assessments, financial analysis, performance measurement, internal controls reviews, and training/outreach throughout his client-services experience. He has a strong background in government related performance audits, specifically the oversight and monitoring of third parties with a primary focus on Health & Human Services (HHS) providers on behalf of government agencies. He also has experience across other industries including HHS, Finance and Administration, Economic Development, and other Government Operations.

### Matt Van Buren CPA
Grant Thornton

Matt is a Senior Manager in the Audit Methodology and Standards Group, where he works as a member of the firm's Audit Innovation team on the development and implementation of automations and analytics for use on external audit engagements. Matt also assists with Grant Thornton's participation on various external task forces focused on the use of technology and innovation by public accounting firms.

Matt joined Grant Thornton immediately after graduating from Villlanova University, and over the first six years of his career participated on audits of accelerated SEC filers, non-accelerated SEC filers, and private companies, with a concentration in manufacturing and consumer & industrial clientele. In particular, Matt developed expertise in processes and controls relating to inventory and cost accounting.
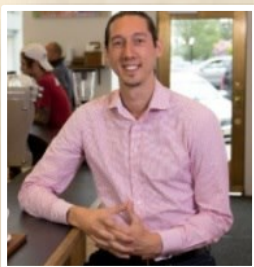
### Tyler Wrightson CISSP
Leet Cyber Security

Tyler Wrightson, CISSP is the author of two books published by McGraw Hill:

- Advanced Persistent Threat Hacking, The Art and Science of Hacking Any Organization (2014)
- Wireless Network Security: A Beginner's Guide (2012)

Tyler is the founder of Leet Cyber Security, which helps organizations solve their technical cyber security challenges. Leet focuses on offensive security services such as Penetration Testing and Red Teaming to secure organizations against real world attackers. Tyler has over twenty years of experience in the IT security field across many industries including healthcare and financial services with extensive experience in many areas of technical security including networking, systems architecture, offensive security and penetration testing. Tyler holds industry certifications such CISSP, CCSP, CCNA, CCDA, and MCSE. Tyler has also taught classes for CCNA certification, hacking and penetration testing, wireless security, and network security. Tyler is the founder of ANYcon, Albany New York's annual hacker conference. He has been a frequent speaker at industry conferences including NY Bankers Association (NYBA), NYS CyberSecurity Conference, Derbycon, BSides, Rochester Security Summit, ISACA, ISSA, and others.
Follow his security blog at http://blog.leetsys.com.

# REGISTRATION FORM

## 1. Fill in the information below

Name (Mr., Mrs., Ms., Miss) _____

(Last)             (First)            (Middle)

Title/Position Company/Agency _____
Address_____
City_____ State/Province_____ Zip/Postal Code Country _____
Phone_____ Fax_____ Name for Badge ID _____
e-mail Address _____

## 2. Circle your choices (no more than one session per time period please)

| Track | Monday—March 14, 2022 | | | | Tuesday—March 15, 2022 | | | | Wednesday—March 16, 2022 | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Early A.M. | Late A.M. | Early P.M. | Late P.M. | Early A.M. | Late A.M. | Early P.M. | Late P.M. | Early A.M. | Late A.M. | Early P.M. | Late P.M. |
| I | M101 | | M102 | M103 | T101 | T102 | T103 | T104 | W101 | W102 | W103 | W104 |
| II | M201 | | M202 | M203 | T201 | T202 | T203 | T204 | W201 | W202 | W203 | |
| III | M301 | M302 | M303 | M304 | T301 | T302 | T303 | | W301 | | W302 | W303 |

## 3. Registration Fees

**Seating is Limited – Register Early! Register and Pay by 2/18/2022 to receive an "Early Bird" Discount!**

| Fee Schedule | Registration Fees Postmarked | | | |
|---|---|---|---|---|
| | By February 18, 2022 | | After February 18, 2022 | |
| | Member* | Non-Member | Member* | Non-Member |
| One Day | $160 | $235 | $185 | $260 |
| Two Days | $285 | $410 | $335 | $460 |
| Three Days | $360 | $535 | $460 | $635 |

*AGA member?   Yes ☐ No ☐   *IIA member?  Yes ☐ No ☐
*ISACA member?  Yes ☐ No ☐   *CPA?         Yes ☐ No ☐

**Group Discounts:** The following discounts are available to groups. The rates for members and non-members noted above will still apply. Early registration is encouraged to ensure availability.  A full-time equivalent (FTE) constitutes three days of training. For example, one FTE can be broken down into one person attending for three days, three people attending for one day each, or any other combination. Discounts for groups are as follows:

**50 or more FTEs, 20%; 20 to 49 FTEs, 15%; 10-19 FTEs, 10%, and 5 to 9 FTEs, 5%.**

## 4. Indicate Method of Payment

☐ Check enclosed payable to TCTC in the amount of $_____. Please check if group payment ☐

☐ Government Voucher or Purchase Order enclosed for the amount of $_____ (Payee ID 13-3418345). Enrolling individuals or the enrolling agency are liable for payment after the cancellation date of March 4, 2022.

☐ If you require an invoice, contact Mary Peck at mepeck@usa.net or (518) 281-8638.

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# REGISTRATION FORM

## 5. Registration

Make your completed registration and payment to:

**TCTC**
c/o Hudson Valley ISACA
PO Box 1458
Albany, NY 12201-1458

## 6. Cancellation Policy

Cancellations received prior to **March 4, 2022** will be fully refundable. Substitutions can be requested at any time up until the time of the conference. Substitutions of a non-member for a member will result in the additional non-member fees being charged. Individuals who do not cancel before March 4, 2022 are not eligible for a refund.

## 7. Hotel Reservations

Hotel reservations can be made by calling the Albany Marriott at (518) 458-8444.
Conference attendees should make all reservations at the Government rate (GSA) of $114.00

## 8. Lunch Options

### *Monday*

***Buffet Selections include the following:***

- Chicken and Kale Soup
- Fresh Field Greens with Assorted Salad Dressings
- Arugala Salad, Goat Cheese, Granny Smith Apple
- Chicken Parmesan
- Mediterranean Shrimp and Arugala Pasta
- Sliced Flat Iron Steak Chimichurri
- Warm Rolls and Butter

***Afternoon Break:*** Cookie Bar

### *Wednesday*

***Buffet Selections include the following:***

- Fire Roasted Tomato Soup
- Fresh Field Greens with Assorted Salad Dressings
- Ancient Grains Salad with Feta, Chick Peas, English Cucumber, Sherry Vinaigrette
- Chicken Scaloppini Francaise
- Salmon with Saffron Honey Blossom
- Sam Adams Steak Tips
- Warm Rolls and Butter

***Afternoon Break:*** Ben & Jerry's ice cream... of course!

### *Tuesday*

***Buffet Selections include the following:***

- Pasta e Fagioli
- Caesar Salad, Caprese Salad
- Balsamic Grilled Vegetabes, Red Quinoa Salad
- Rigatoni a la Vodka with Mushrooms
- Chicken Milanese with Arugula and Tomato Concasse
- Roasted Vegetable Flatbread

***Afternoon Break:*** Bavarian Pretzel Sticks, Beer Cheese Sauce, Spicy Mustard, House made Kettle Chips, Freshly Popped Popcorn



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## NABA
Northeastern Association
of the Blind at Albany

We make a living by what we get, but a life by what we give.

**DONATE NOW**

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NABA began on December 7, 1908, when ten blind men and women came together in an effort to overcome the difficulties of adjusting to their vision loss.  Then known as the Albany Association of the Blind, the organization was housed at 105 Lancaster Street, and began to offer social and workshop activities to its members.  These activities generated employment for blind individuals, and the goods produced were sold to help support the growing association.  In 1923, NABA relocated to 208 State Street in Albany.  Thirty-five years later, in 1958, the Association purchased its current building at 301 Washington Avenue in Albany.

With help from Dr. Harry M. Judge, the Albany Association of the Blind opened its Low Vision Center in 1967, offering specialized eye examinations to individuals with vision unable to be corrected by conventional eyeglass lenses.

The Albany Association of the Blind officially became the Northeastern Association of the Blind at Albany, Inc (NABA) in 1978 when it broadened its services to assist individuals in seven upstate counties:  Albany, Columbia, Greene, Rensselaer, Southern Saratoga, Schenectady and Schoharie.  In 2002, some of NABA's services were expanded to reach individuals in the rest of Saratoga County and Eastern Montgomery, Fulton, Warren and Washington Counties.

Today, NABA provides a wide range of services to individuals with visual impirments.  Some of these services include training and placing legally blind adults in professional employment, and providing rehabilitation services to seniors with age-related vision loss.  NABA, with over a century of experience, continues to help individuals overcome the challenges of vision loss, enabling them to lead more productive, independent lives.  NABA also provides free vision screening for children 18 months to 4 years old through our KidSight Program.

NABA is a private, not-for-profit, 501(c) 3 organization, and provides its services to individuals without regard to socioeconomic status, race, religion, gender or age.  NABA is overseen by a Board of Directors and employs over 100 individuals.  Additionally, NABA provides a variety of opportunities for volunteers and interns.  The agency hosts several fund raising events throughout the year, and publishes Brighter Horizons, a (bi-monthly, quarterly, semi-annual or periodic) newsletter.
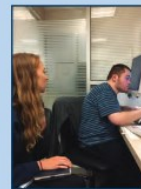
# CHARITY RAFFLE

**Step 1:** **Purchase tickets by scanning either code**

Mary Peck
@Mary-Peck-9

**Include e-mail used to register**

Albany IIA PayPal

| | |
|---|---|
| 1 Ticket | $1.00 |
| 5 Tickets | $3.00 |
| 10 Tickets | $5.00 |
| 25 Tickets | $10.00 |

**venmo**

**Step 2:** **Place your Order**

Microsoft Forms

2020 VISION

TCTC BLOCKBUSTER EVENT

**2020 VISION**
**TCTC BLOCKBUSTER EVENT**
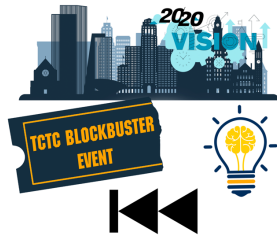
## THE CONFERENCE THAT COUNTS
## TCTC 2022
## PROGRAM GRID

**The Conference That Counts**

ALBANY NY / TCTC / 2022

**AGA - IIA - ISACA**

| | Track 1 (IT) | Track 2 (Fraud/Ethics) | Track 3 (Audit/Leadership) |
|---|---|---|---|
| **Monday, March 14, 2022** | | | |
| 7:30-8:25 | Registration and Continental Breakfast | | |
| 8:25-10:05 | Session M101<br>Cloud Fundamentals - Strategy & Security<br>*Sushi Nair* | Session M201<br>Trillions of Dollars = Trillions of Questions & Risks<br>*Ken Dieffenbach* | Session M301<br>Auditing Social Media<br>*Mike Jacka* |
| 10:05-10:35 | Morning Break | | |
| 10:35-11:50 | Session M101<br>Continued<br>*Sushi Nair* | Session M201<br>Continued<br>*Ken Dieffenbach* | Session M302<br>Developing Your Personal Leadership Legacy<br>*Mike Jacka* |
| 11:50 – 1:05 | Lunch | | |
| 1:05 -2:45 | Session M102<br>Cloud Fundamentals - Risk and Audit<br>*Sushi Nair* | Session M202<br>Navigating the High Seas of Fraud Investigations<br>*Colin May* | Session M303<br>Managing & Motivating Your Audit Team<br>*Mike Jacka* |
| 2:45-3:15 | Afternoon Break | | |
| 3:15-4:30 | Session M102<br>Continued<br>*Sushi Nair* | Session M203<br>Cyber Resiliency & Auditing in the New Normal<br>*Melinda Miguel* | Session M304<br>Internal Auditors as Entrepreneurs<br>*Mike Jacka* |
| **Tuesday, March 15, 2022** | | | |
| 7:30 – 8:25 | Registration and Continental Breakfast | | |
| 8:25-10:05 | Session T101<br>Cyber Awareness Training<br>*Mandouh Csintalan* | Session T201<br>Triple Threat: Fraud, Corruption, & Conflicts of Interest<br>*Colin May* | Session T301<br>Audit Readiness of Pandemic Relief Funds<br>*Anthony Trapasso* |
| 10:05-10:35 | Morning Break | | |
| 10:35-11:50 | Session T102<br>Cyber Vendor Assessment Process<br>*Mandouh Csintalan* | Session T202<br>The Impact of Criminal Diversion (Healthcare)<br>*William McGoldrick* | Session T302<br>Sustainability & the New "Triple Bottom Line"<br>*Josh Hesterman & Lorie Srivastava* |
| 11:50 – 1:05 | Lunch | | |
| 1:05 -2:45 | Session T103<br>2020: A Red Teamer's Year in Review<br>*Tyler Wrightson* | Session T203<br>Identity Crime Prevention and The Dark Side<br>*Taylor Larimore & James Ruotolo* | Session T303<br>New Perspectives on Ethics<br>*Drummond Kahn* |
| 2:45-3:15 | Afternoon Break | | |
| 3:15-4:30 | Session T104<br>Increase Confidence & Overcome Confrontations<br>*Lindsay Rae* | Session T204<br>Unicorns & Camels - The Fraudtech Landscape<br>*Taylor Larimore & James Ruotolo* | Session T303<br>Continued<br>*Drummond Kahn* |
| **Wednesday, March 16, 2022** | | | |
| 7:30-8:25 | Registration and Continental Breakfast | | |
| 8:25-10:05 | Session W101<br>GRC Essentials<br>*Mark Thomas* | Session W201<br>Forensics for Auditors<br>*Megan Gaillard* | Session W301<br>Performance Auditing<br>*Drummond Kahn* |
| 10:05-10:35 | Morning Break | | |
| 10:35-11:50 | Session W102<br>GRC Implementation<br>*Mark Thomas* | Session W202<br>Purchasing Cards<br>*Megan Gaillard* | Session W301<br>Continued<br>*Drummond Kahn* |
| 11:50 – 1:05 | Lunch | | |
| 1:05 -2:45 | Session W103<br>Primer on Risk Governance and Management<br>*Mark Thomas* | Session W203<br>Fraud Science - 101 Social Engineering<br>*Michael Dudley* | Session W302<br>Have Audits Changed Forever<br>*Matt Van Buren & Michela Ottati* |
| 2:45-3:15 | Afternoon Break | | |
| 3:15-4:30 | Session W104<br>Introduction & Implementation of the NIST CSF<br>*Mark Thomas* | Session W203<br>Continued<br>*Michael Dudley* | Session W303<br>Making the Most of Analytics & Technology<br>*Matt Van Buren & Michela Ottati* |