28TH ANNUAL
THE CONFERENCE THAT COUNTS 2024

Embrace the Journey
Shape the Future!

The Conference
That Counts

AGA - IIA - ISACA

ALBANY NY

TCTC 2024

May 20 - 22, 2024
Albany Marriott
189 Wolf Rd
Albany, NY

WE HAVE PLANNED AN OUTSTANDING CONFERENCE PROGRAM THAT WILL GIVE YOU THE CHANCE TO:

- CUSTOMIZE YOUR REGISTRATION TO FIT YOUR SCHEDULE AND INTERESTS. CHOOSE ONE, TWO, OR THREE DAYS
- EARN UP TO 21 CPE HOURS AT OUTSTANDING EDUCATIONAL SESSIONS
- DISCOVER INNOVATIVE SOLUTIONS AND GAIN THE TOOLS YOU NEED TO BE MORE EFFECTIVE
- LEARN LEADERSHIP SECRETS FROM NATIONALLY RECOGNIZED INDUSTRY LEADERS
- NETWORK WITH COLLEAGUES FROM ACROSS THE REGION
- EXPLORE THE LATEST TECHNOLOGICAL ADVANCES IN FINANCIAL MANAGEMENT
- STAY UP TO DATE ON ISSUES THAT AFFECT YOUR CAREER
- IMPROVE YOUR KNOWLEDGE, SKILLS AND ABILITIES, AND INCREASE YOUR PROMOTIONAL POTENTIAL.

THE CONTINUING PROFESSIONAL EDUCATION (CPE) SEMINARS ARE BEING OFFERED BY A SPONSOR APPROVED BY THE NEW YORK STATE BOARD OF PUBLIC ACCOUNTANCY TO PROVIDE THE MANDATORY CONTINUING EDUCATION FOR LICENSED CPAs WORKING IN NEW YORK.

CHECK TO ENSURE THAT THE CPE CREDITS OFFERED MEET THE REQUIREMENTS OF YOUR CERTIFYING ORGANIZATION.

NYS LICENSE NO. 000329

The Conference That Counts 2024

Conference Overview

The New York Capital Chapter of the Association of Government Accountants, The Albany Chapter of The Institute of Internal Auditors, and the Hudson Valley Chapter of ISACA are proud to host the 28th annual **The Conference That Counts (TCTC) 2024** with a special theme - "Embrace the Journey, Shape the Future!"

Please join us for an outstanding three-day continuing education event offering challenging, informative, and exciting sessions designed to help participants keep pace with changes in information technology, auditing, fraud, and leadership skills. TCTC 2024 promises to be an excellent learning and networking opportunity for both new and experienced financial managers, auditors, and accountability professionals. The program has been developed to ensure that TCTC 2024 delivers up to the minute, quality educational content that meets your high standards and professional needs. Up to 21 CPE hours can be earned by attending all three days.

This is the 28th TCTC and it has become so popular over the years that you must register early to ensure you get in. Also, keep in mind that registering early can mean big savings for your agency or company's training budget. See the registration page for details. Business casual attire is appropriate for this event. Since the conference rooms at the Marriott Hotel can vary in temperature, we recommend that you dress in layers.

Conference Dates and Location

The TCTC is being held on **May 20 – 22, 2024**
Marriott Albany
189 Wolf Rd
Albany, NY 12205
(518) 458-8444

Registration

Register online at:
https://na.eventscloud.com/2024tctc

Get Your Conference Handouts Early

Many TCTC presenters are making their presentation material available to attendees online. They will not be printed for distribution at the conference. You will find the PowerPoint slides online at https://na.eventscloud.com/2024tctc about two weeks before the conference. Please remember to download these presentations and bring them with you to TCTC 2024.

TCTC 2024 SESSIONS

Monday May 20, 2024	Session Description
Track General	**Registration & Light Breakfast (Coffee & Bagels)** 7:30 am - 8:25 am

Track I (IT)

M101: Cybersecurity 2024 - the Mission is Clear!
8:25 am—10:05 am

As we step into this new year, it's evident that the landscape of digital security has evolved significantly, presenting challenges that demand our immediate attention.

Prior to the turn of the century, Hollywood began teasing the concept of computer hacking through well-known films like War Games (1983), Hackers (1995), and Independence Day (1996). These movies introduced audiences to the world of hacking, depicting both its positive and negative aspects. Fast forward to the 21st century, and we've seen an explosion of movies and documentaries focused on various forms of computer hacking. Today, with the prevalence of cybersecurity in Hollywood, daily news reports, and fiction, it's rare to find someone who hasn't heard the term -or worse- a person or organization who hasn't been personally impacted by it.

Initially, hackers were either individuals or small groups targeting specific companies for personal gain. However, as time passed, we've witnessed the emergence of nation-states deploying armies of hackers to steal data, information, and money from a wide range of organizations. The 2023 FBI Internet Crime Report revealed staggering losses of over $12.5 billion, with 14 out of 16 critical sectors experiencing at least one member falling victim to a ransomware attack. This is concerning, especially given the multitude of Federal and State regulations and frameworks surrounding cybersecurity and data protection.

So, how do we combat these threats and minimize our risks? In this session, we'll delve into the regulations at both the Federal and State levels that demand our attention, as well as the frameworks designed to simplify the implementation of necessary controls. We'll shine a light on commonly overlooked areas of risk and explore proactive measures that organizations can take to mitigate the chances of falling victim to a cyberattack.

It's 2024 where the urgency of cybersecurity is now mission critical. Will you accept this mission?

Track II (Fraud)

M201: The Good, the Bad and the Ugly: Case Studies in Public Corruption & Fraud
8:25 am—10:05 am

This interactive session will examine the facts surrounding several recent fraud and public corruption cases to determine if and how they could have been prevented and if and how auditors should have detected them. Participants will be challenged to:

- Identify the fraud risk factors that should have alerted auditors to the fraud or corruption problem

- Evaluate the legs of the Fraud Triangle that supported the fraud

- Postulate appropriate fraud risk factor responses that would have led to the detection of the problem

- Evaluate whether or not the auditors should be held accountable for failing to detect the problem

Practitioners charged with either internal control or audit responsibilities should benefit from this hands-on session.

Speaker: David Cotton

Track III (Audit/ Leadership)

M301: Critical Thinking and Data Analytics
8:25 am—10:05 am

As auditors, communication is critical. Auditors often cite lack of client engagement and buy-in as obstacles to effective advisory and assurance work. Understanding your audience and being able to navigate different communication styles can help you become a trusted advisor in your company and to your clients. We will address common barriers to effective communication and identify ways in which we can become more effective communicators to our audit clients and audit team members, taking into account differences in personality, gender, generation, culture, and values. We will also explore how using facilitation techniques can allow auditors to work more collaboratively and empower clients to identify issues and develop creative solutions.

Speaker: Jami Shine

Track General	**Morning Networking Break** 10:05 am — 10:35 am

Monday May 20, 2024	Session Description
Track I (IT)	**M101: Real Risk in Today's Leadership** **10:35 am—11:50 am** Cyber risk is a growing concern for businesses of all sizes. In 2022, there were $8.6 Trillion in cybercrime losses, a 48% increase from the previous year. And as cyber criminals are getting more sophisticated, they are increasingly using extortion and the Dark Web to their advantage. The combination of ransomware, extortion, and the dark web pose a serious threat to businesses. In this presentation, Bruce Cheney, Sr. Engineer at Arctic Wolf, will lead a discussion on what Real Risk looks like in today's landscape and what you can do to increase your security posture. He will talk about the growing threat of cybercrime groups, show you Dark Web Data Leaks, and provide the steps you can take to stay ahead of the curve when it comes to being a victim of cybercrime. **Speaker: Bruce Cheney**
Track II (Fraud)	**M202: The Good, the Bad and the Ugly: Case Studies in Public Corruption & Fraud** *(Continued)* **10:35 am—11:50 am** **Speaker: David Cotton**
Track III (Audit/ Leadership)	**M302: 15 Tested Tools for Efficient Auditing** **10:35 am—11:50 am** We'll cover 15 tested tools to make audit planning, fieldwork, and reporting crisper and faster, helping you start, conduct, and conclude audits sooner. If you oversee or work on performance and internal audits, you will find value and takeaway tools in this session! **Speaker: Drummond Kahn**
Track General	**Monday—Lunch** **11:50 am—1:05 pm**
Track I (IT)	**M103: Improving Security and Navigating Compliance: Steps to Build Empire** **1:05 pm—2:45 pm** In today's rapidly evolving digital landscape, ensuring regulatory compliance and effectively managing cybersecurity risks are paramount for organizations of all sizes. As cyber threats continue to escalate in frequency and sophistication, businesses must establish robust frameworks that not only meet regulatory requirements but also proactively mitigate risks. This presentation will delve into the essential components of a comprehensive cybersecurity program, focusing on understanding data assets, assessing risks, formalizing security documentation, establishing strong governance, and crafting a mechanism to reduce the likelihood of and impact cyber-related events will have on the organization. By navigating these critical areas, organizations can strengthen their cybersecurity posture, achieve regulatory compliance, and enhance overall resilience against cyber threats. **Speakers: Mike Stamas & Ben Van Wert**
Track II (Fraud)	**M202: Fraud Interviewing Techniques for Auditors** **1:05 pm—2:45 pm** Auditors have always been pretty good at "fact-verification" interviews ("is the accounting system actually functioning as the documentation says?"). But, fraud detection responsibilities require a different type of interviewing technique: "knowledge-finding" interviews. Auditors are almost never in a position to see fraud being committed; but employees are. Auditors need to learn to conduct interviews so that they learn what employees know. This session will demonstrate how to conduct these important interviews—what to ask and how to ask it and how to detect deceptive behavior—to enable more frauds to be detected. **Speaker: David Cotton**

Monday May 20, 2024	Session Description
Track III (Audit/ Leadership)	**M303: Applying Internal Control Standards** **1:05 pm—2:45 pm** This session explores the COSO and Green Book descriptions of controls and applies the concepts to accomplishing an entity's goals. We will consider active and passive controls as well as specific examples of efforts to increase controls and why they succeeded (or failed!) **Speaker: Drummond Kahn**
Track General	**Afternoon Networking Break** **2:45 pm — 3:15 pm**
Track I (IT)	**M104: Privacy and Security Updates for the Digital Health Era** **3:15 pm—4:30 pm** The HIPAA privacy and security rules are struggling to manage healthcare information in today's tech filled environment. We will look at the existing rules, their limits, the problems arising and proposed new privacy and security regulations on the horizon or already here. We will review cybersecurity regulatory proposals at the state and federal level, as well as privacy updates in the HIPAA grey area. We will look closely review the intersection of FTC and HHS regulations and the evolution of privacy **Speaker: Thomas Hallisey**
Track II (Fraud)	**M202: Fraud Interviewing Techniques for Auditors** *(Continued)* **3:15 pm—4:30 pm** **Speaker: David Cotton**
Track III (Audit/ Leadership)	**M304: Explaining Audit Results to Decision Makers** **3:15 pm—4:30 pm** We'll explore key strategies to effectively communicate complex results in a straightforward way, helping our busy audiences quickly understand our audit results. From key messages to keeping results brief, you'll leave this session with specific approaches to best explain what your audit found! **Speaker: Drummond Kahn**
Tuesday May 21 2024	**Session Description**
Track General	**Registration & Light Breakfast (Coffee & Bagels)** **7:30 am - 8:25 am**
Track I (IT)	**T101: Unlocking Creativity with Generative AI: From Theory to Practice** **8:25 am—10:05 am** In this session, participants will embark on a comprehensive exploration of generative artificial intelligence, unraveling its core concepts, technologies, and transformative applications across various industries. This journey covers everything from the basics of AI and machine learning through the intricacies of models like GANs and transformers to hands-on demonstrations with cutting-edge tools such as ChatGPT, DALL-E, and Midjourney. Attendees will gain practical experience, understand the ethical considerations, and glimpse the future of generative AI in fostering human creativity and innovation. **Prerequisites: None (only a desire to learn about Generative AI)** **Speaker: Jim Wiggins**

Tuesday May 21, 2024	Session Description
Track II (Fraud)	**T201: Offshore: Tracing International Transactions** **8:25 am—10:05 am** Any case can quickly turn into an offshore investigation. Foreign financial investigations can be fraught with traps and dangers, both legal and real life. Having a strong foundation in investigation in a different country and culture means being smart about planning and managing the case. This session stresses the importance of having a solid framework to conduct audits and investigations of offshore financial transactions. Using real case examples, various forms of concealments will be examined for use in a case study by participants. **Speaker: Colin May**
Track III (Audit/ Leadership)	**T301: Creating the Integrated Auditor** **8:25 am—10:05 am** As auditors, we know one of the biggest risks to an organization is the propagation of a silo culture. Well, surprise!, we create our own silos in auditing. We have operational auditors that focus on operations and ignore technology and finances. We have financial auditors that can't see beyond the numbers. We have IT auditors who don't understand the business. And we have data analytics professionals that run queries for which they lack the context. Stop the madness! We need to thrive in the future and the only way to do that is by becoming an integrated auditor who possesses the business acumen, operations, finance, technology and data knowledge in one package. Sound like a challenge you want to take on? Attend this session to find out more about steps you can take to become an integrated auditor…the auditor of the future! **Learning Objectives:** • List the steps you can take the become an integrated auditor • Persuade audit management to invest in integrated auditors • Prepare for the future of internal auditing **Speaker: Raven Catlin**
Track General	**Morning Networking Break** **10:05 am — 10:35 am**
Track I (IT)	**T101: Unlocking Creativity with Generative AI: From Theory to Practice** *(Continued)* **10:35 am—11:50 am** **Speaker: Jim Wiggins**
Track II (Fraud)	**T202: Clean Up: Money Laundering Typologies** **10:35 am—11:50 am** Recognizing money laundering and underlying criminal activity to identify, trace, seizure, and forfeit assets. Auditors, fraud examiners, and investigators must know how these types of frauds and transactions occur, to be better prepared to identify and investigate these cases. The linkage between awareness of the schemes (and concealment efforts) and operationalizing the information is critical for successful investigators. This session will discuss many of the common--and not so common--money laundering typologies. **Speaker: Colin May**

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Tuesday May 21, 2024	Session Description
Track III (Audit/ Leadership)	**T302: Applying Agility to Auditing: 7 Techniques You Can Use Tomorrow** **10:35 am—11:50 am** There are seven agile techniques that auditors can use today to be more agile without using Agile Auditing. This session is perfect for those desiring a better understanding of how agile thinking and techniques can be used to manage our audit projects and people better. • Describe agile concepts • List Agile Techniques Anyone Can Implement, including smaller audit teams • Select agile techniques you can implement in every audit **Speaker: Raven Catlin**
Track General	**Tuesday—Lunch** **11:50 pm—1:05 pm**
Track I (IT)	**T102: Safeguarding the Future: Generative AI's Role in Enhancing Cybersecurity** **1:05 pm—2:45 pm** This session offers a deep dive into the pivotal role of generative AI in fortifying cybersecurity measures. Throughout this session, participants will explore how generative AI intersects with cybersecurity, enhancing defense mechanisms against evolving threats and vulnerabilities. With hands-on demonstrations using tools like ChatGPT, DALL-E, and others, attendees will learn to use generative AI for policy development, analyze potential threat data, and create comprehensive incident reports. The session emphasizes ethical considerations, prepares participants for future AI-driven threats, and fosters an understanding of generative AI's potential to revolutionize cybersecurity practices. **Speaker: Jim Wiggins**
Track II (Fraud)	**T203: Ways to Detect and Prevent Fraud** **1:05 pm—2:45 pm** We will discuss ways to detect and prevent fraud as part of the investigation process. As part of any efficient organization investigating employee fraud is not the only tool in the wheelhouse to combat fraud. The first line of defense is to detect and prevent the possibility of fraud. This session will outline tools to assist in what is necessary for an organization to find fraud risk areas. Fraud prevention includes the countermeasures that have been established to limit the impact fraud can have on operations. Understanding and developing a fraud framework that focuses on detection and prevention can help enhance the security of an organization. This session will provide an overview using case examples, and the importance of considering all available remedies. We'll also discuss tips for proactively identifying fraud, including red flags and when to ask further questions as part of an investigation. This session will also cover the states of understanding fraud prevention and tools for conducting an investigation has never been more important. Fraud committed by employees against employers is one of the costliest and most common financial crimes. It continues to be a significant issue for many businesses. But it is a risk that can be reduced and managed to some extent. When fraud is detected, the first instinct among leaders is to simply cut out the cancer immediately and fire the responsible perpetrator. However, this can in some instances open the employer up to possible litigation, increased fraud risk and create obstacles for investigators and law enforcement. An effective investigator will incorporate a comprehensive investigation plan. A deliberate investigative approach can increase the odds that all perpetrators are caught and appropriately dealt with. This portion of the presentation will discuss the process and procedures incorporated when investigating employee fraud within an organization and the various types of fraud, the role of staff, and how to coordinate between entities. **Speaker: Donnial Hinds**
Track III (Audit/ Leadership)	**T303: Coaching with Risk Assessments** **1:05 pm—2:45 pm** Auditors coach peers, staff, management, and audit clients. This session explores coaching and leadership skills, drivers, and processes needed to succeed and how to use these skills to help others find value in risk assessments. Participants will be introduced to the 3 pillars of risk assessments and learn how to coach others to create and discuss risk assessment to see the big picture and create opportunities for change and innovation. • Identify coaching and leadership skills, drivers, and processes • Describe the practices of effective coaches • State the 3 pillars of auditing and how you can use them to coach others to manager risks better **Speaker: Raven Catlin**

Tuesday May 21, 2024	Session Description
Track General	**Afternoon Networking Break** 2:45 pm — 3:15 pm
Track I (IT)	**T102: Safeguarding the Future: Generative AI's Role in Enhancing Cybersecurity** *(Continued)* 3:15 pm—4:30 pm **Speaker: Jim Wiggins**
Track II (Fraud)	**T203: Ways to Detect and Prevent Fraud** *(Continued)* 3:15 pm—4:30 pm **Speaker: Donnial Hinds**
Track III (Audit/ Leadership)	**T304: The Art of Negotiation** 3:15 pm—4:30 pm Every day business professionals negotiate. We negotiate salaries, work schedules, meeting times, risks, controls, recommendations, action plans, and so much more. Attend this session to learn the essential information you need to prepare for a negotiation and why the best preparation isn't perfect. Participants will also understand why each negotiation is an art and how you can become a masterful artist. **Learning Objectives** • Define the essentials elements of every negotiation • Prepare for your next negotiation • State why each negotiation is an art, not a science **Speaker: Raven Catlin**
Wednesday May 22, 2024	Session Description
Track General	**Registration & Light Breakfast (Coffee & Bagels)** 7:30 am - 8:25 am
Track I (IT)	**W101: Risk Revolution: Navigating Auditing in the Cloud Era** 8:25 am—10:05 pm In the dynamic landscape of modern auditing, understanding and adapting to the evolving nature of risks, particularly in the realm of cloud computing, is paramount. "Risk Revolution: Navigating Auditing in the Cloud Era" is a three-hour Presentation designed to empower audit professionals with a robust risk-based mindset essential for the digital age. This Presentation commences with an insightful introduction to risk management in auditing, underscoring its critical role in shaping effective auditing strategies. Participants will delve into the art of developing a threat model, where they will learn to identify and assess various threats and vulnerabilities, complemented by an overview of practical threat modeling techniques. An interactive segment follows, inviting participants to engage in a hands-on threat modeling exercise. This activity is designed to reinforce the learned concepts by applying them to a real-world scenario, thereby enhancing understanding through practical application. A significant focus of the Presentation is the comprehensive exploration of cloud computing. Participants will be introduced to the fundamentals of cloud technology and its transformative impact on auditing practices. The session further explores the various cloud service models - Software as a Service (SaaS), Platform as a Service (PaaS), and Infrastructure as a Service (IaaS), highlighting their unique security considerations and the innovative tools available to address them. The Presentation culminates with a deep dive into the evolving world of cloud risks. Participants will compare and contrast the risks associated with on-premise and cloud environments, gaining insights into the specific challenges of SaaS, PaaS, and IaaS. This session also includes a review of key resources on cloud risks, supplemented by discussions on real-world breach scenarios to contextualize the concepts. **Speaker: Sushila Nair**

Wednesday May 22, 2024	Session Description
Track II (Fraud)	**W201: Off Beat—Fugees Founder Pras Michael & Jho Low's $100,000,000 Attempt to Influence President** **8:25 am—10:05 am** Mr. Lidsky will present a case study of the investigation he conducted as the lead case agent into an international conspiracy which saw over $100,000,000 illegally funneled into the US. Mr. Lidsky will focus on the domestic arm of the conspiracy which was led by Fugees band member Prakazrel "Pras" Michel, who organized a small group of co-conspirators who sought to facilitate the dismissal of the 1MDB investigation and secure the extradition of a Chinese asylum seeker at the request of Low Taek Jho, a.k.a. Jho Low, the alleged mastermind behind the 1MDB scheme, and subject of the bestseller book "Billion Dollar Whale." Michel's group aspired to influence the top echelons of the US government, including the President of the United States and the Attorney General of the United States. The case study will cover the investigative strategy, along with principals of money laundering and insider threat investigations. **Speaker: Harry Lidsky**
Track III (Audit/ Leadership)	**W301: Critical Thinking for Leaders: Lessons Learned from Crisis Inc** **8:25 am—10:05 am** Why is it in every crisis situation, leaders make poor decisions? While there is certainly no magical method available to ensure that you do not make any bad decisions in a crisis, understanding how your mind reacts and responds to stressful and pressure-packed situations will help you avoid preventable errors. In this completely interactive course, participants will engage in a tabletop exercise that will test their critical thinking while also discussing how unconscious factors can unknowingly interfere with decision-making leading to unnecessary risk or loss. After this block of instruction, the participants will be able to: • Compare/Contrast System 1 and System 2, as defined by Daniel Kahnemann in his book "Thinking Fast and Slow". • Assess the effects of System 1 decision-making on crisis situations. • Discuss how leaders can succumb to analysis paralysis causing them to neglect or refrain from making important decisions. • Contrast the positive/negative effects of framing on decision-making. • Evaluate Cialdini's consistency principle as it relates to crisis command. • Assess methods to create psychological safety in times of crisis. • Engage in techniques to increase conscious awareness during stressful and time-sensitive situations. **Speaker: Michael 'Bret' Hood**
Track General	**Morning Networking Break** **10:05 am — 10:35 am**
Track I (IT)	**W101: Risk Revolution: Navigating Auditing in the Cloud Era** *(Continued)* **10:35 am—11:50 am** **Speaker: Sushila Nair**
Track II (Fraud)	**W202: Chasing Ghosts - A Case Study into Identity Theft and $10,000,000 PPP Loan Fraud** **10:35 am—11:50 am** Mr. Lidsky will present a case study of the investigation he conducted into a pair of New York based fraudsters who used stolen identities to obtain PPP loans during the COVID pandemic. The duo succeeded in obtaining over $1,000,000 in funds and attempted to obtain over $10,000,000. They used dozens of stolen identities, burner phones and throw-away email addresses to live, shop, and drive around New York City. They were very hard to identify because their trail was made up of fictitious and obfuscated data. This case study will cover the investigative strategy and efforts taken to overcome these challenges. **Speaker: Harry Lidsky**
Track III (Audit/ Leadership)	**W302: Psychopathy & Leadership** **10:35 am—11:50 am** How do so many psychopaths manage to obtain leadership positions in organizations? In this class, the participants learn how to turn some of the psychopath's talents into useful leadership attributes that enhance a leader's emotional intelligence. Who knew we had so much to learn from psychopaths? (2 hours). After this block of instruction, the participants will be able to: • Compare/contrast the traits of psychopaths with the traits of leaders • Discuss the reasons why certain psychopaths are able to attain leadership positions • Describe the dark side of emotional intelligence and leadership **Speaker: Michael 'Bret' Hood**

Wednesday May 22, 2024	Session Description
Track General	**Wednesday—Lunch & Awards** **11:50 am—1:05 pm**

Track I (IT)

W102: Tech Response in a World in Crisis: Cybersecurity and Auditing in the Age of Disruption
1:05 pm—2:45 pm

In the dynamic landscape of modern auditing, understanding and adapting to the evolving nature of risks, particularly in the realm of cloud computing, is paramount. "Risk Revolution: Navigating Auditing in the Cloud Era" is a three-hour Presentation designed to empower audit professionals with a robust risk-based mindset essential for the digital age.

This Presentation commences with an insightful introduction to risk management in auditing, underscoring its critical role in shaping effective auditing strategies. Participants will delve into the art of developing a threat model, where they will learn to identify and assess various threats and vulnerabilities, complemented by an overview of practical threat modeling techniques.

An interactive segment follows, inviting participants to engage in a hands-on threat modeling exercise. This activity is designed to reinforce the learned concepts by applying them to a real-world scenario, thereby enhancing understanding through practical application.

A significant focus of the Presentation is the comprehensive exploration of cloud computing. Participants will be introduced to the fundamentals of cloud technology and its transformative impact on auditing practices. The session further explores the various cloud service models - Software as a Service (SaaS), Platform as a Service (PaaS), and Infrastructure as a Service (IaaS), highlighting their unique security considerations and the innovative tools available to address them.

The Presentation culminates with a deep dive into the evolving world of cloud risks. Participants will compare and contrast the risks associated with on-premise and cloud environments, gaining insights into the specific challenges of SaaS, PaaS, and IaaS. This session also includes a review of key resources on cloud risks, supplemented by discussions on real-world breach scenarios to contextualize the concepts.

1. *Introduction to Risk Management in Auditing*
- Overview of the importance of risk management in the context of auditing.
- Discussion on how risk management principles guide auditing processes and decision-making.

2. *Understanding threats, risks and Zero Trust*
- Security Objectives and Identifying Threats/Vulnerabilities
- Introduction to security objectives: confidentiality, integrity, availability.
- Techniques for identifying potential threats and vulnerabilities in various scenarios.
- Brief Overview of Threat Modeling Techniques
- Participants engage in a simplified exercise to identify risks in a given scenario, applying concepts learned from the threat modeling session.
- Discussion on the shift from implicit trust to the Zero Trust model.
- Explanation of Zero Trust principles and their relevance in modern security paradigms.

3. *How Cloud Changes Everything*
- Explaining the basics of cloud computing and its growing importance in the digital landscape.
- High-level overview of Software as a Service (SaaS), Platform as a Service (PaaS), and Infrastructure as a Service (IaaS).
- Discussion on how each model impacts security and risk management.
- Introduction to emerging security tools designed for cloud environments.
- Quick quiz to reinforce key concepts and ensure participant engagement.

4. *The Evolving World of Risks*
- Comparison of traditional on-premise risks with those encountered in cloud environments.
- Discussion on the unique challenges and opportunities presented by cloud computing.
- Deep dive into specific risks associated with SaaS, PaaS, and IaaS models.
- Strategies for mitigating these risks.
- Presentation of key resources for further learning on cloud risks.
- Short discussion on real-world breach scenarios to illustrate the application of these resources.

Speaker: Sushila Nair

Track II (Fraud)

W203: Benford's Law & the Fingerprints of Fraud Numbers
1:05 pm—2:45 pm

Benford's Law is an interesting statistical regularity that has been used in forensic accounting for over 30 years. Frank Benford, a physicist at the GE Research Laboratories in Schenectady in the 1930s, noticed that the first few pages of his log tables book were more worn than the last few pages, meaning that he used the first few pages more often than the last few pages. He felt that the worn first pages occurred because he looked up the logs of low first-digit numbers more often than the logs of high first-digit numbers. He set out and analyzed the digit patterns of 20 diverse data sets and he found that the first digits (the leftmost digits) were highly skewed towards the low digits (1, 2, and 3).

Wednesday May 22, 2024	Session Description
Track II (Fraud)	**W203: Benford's Law & the Fingerprints of Fraud Numbers** *(Continued)* **1:05 pm—2:45 pm** What we now call Benford's Law gives us the expected frequencies of the digits in tabulated accounting data. When the actual frequencies deviate significantly from this expectation it alerts us that our data contains abnormal duplications which could be a red flag for unintentional or intentional errors. This interesting and informative session will give some background to Benford's Law together with some corporate examples of where and how it has uncovered anomalies. **Speaker: Mark Nigrini**
Track III (Audit/ Leadership)	**W303: An Auditor's Guide to Fraud Risk Assessments** **1:05 pm—2:45 pm** Government auditors play a crucial role in guarding against fraud, waste, and abuse in public funds. This session will provide some strategies on how to conduct a thorough risk assessment to identify areas and programs that are more susceptible to fraud, waste, and abuse. **Speakers: Mike Doyle and Tom Stanton**
Track General	**Afternoon Networking Break** **2:45 pm — 3:15 pm**
Track I (IT)	**W102: Tech Response in a World in Crisis: Cybersecurity and Auditing in the Age of Disruption** *(Continued)* **3:15 pm—4:30 pm** **Speaker: Sushila Nair**
Track II (Fraud)	**W204: Leveraging AI and ChatGPT for Improved Productivity** **3:15 pm—4:30 pm** This session will explore applications of AI and ChatGPT in the realm of fraud examination and internal auditing. Attendees will gain practical insights and tools they can use immediately in their professional settings. The key segments will each focus on distinct aspects of AI integration: • Introduction to AI: This is an overview of the current state of AI technology, focusing on its applicability to fraud examination and internal auditing to understand the fundamental capabilities of AI and ChatGPT. • Enhancing Analytical Capabilities: This section will discuss how AI can help interpret complex data sets, identify patterns indicative of fraudulent activity, and provide predictive insights. It will include case studies demonstrating AI's role in enhancing analytical accuracy and speed. • Automating Routine Tasks: This section discusses automating repetitive and time-consuming tasks such as report generation and document summarization. We will highlight how ChatGPT can free up valuable time for fraud examiners and auditors. • Ethical Considerations and Limitations: We will address the ethical implications of using AI in auditing and fraud examination, including issues related to privacy, bias, and decision-making autonomy. • Q&A Session: This will be an interactive question-and-answer session where attendees can discuss specific concerns, share experiences, and seek advice on implementing AI solutions at work. The goal is to understand how platforms like ChatGPT can effectively raise productivity in your professional roles and personal development in fraud examination and internal auditing. **Speaker: Mark Nigrini**
Track III (Audit/ Leadership)	**W303: An Auditor's Guide to Fraud Risk Assessments** *(Continued)* **3:15 pm—4:30 pm** **Speakers: Mike Doyle and Tom Stanton**
Track General	**Dismissal** *We hope to see you at our next event!*

SPEAKER BIOS

Raven Catlin CIA, CPA, CFSA, CRMA
Raven Global Training, LLC

Raven is a globally recognized speaker and instructor in risk management and internal audit. She is a pioneer in Agile Auditing and published her first book, "**Agile Auditing: Fundamentals and Applications**" in 2021 (https://bit.ly/AgileAuditBook). She possesses over 20 years of diverse internal audit experience and risk management, and 20 years of instructing and facilitating a variety of courses. With this diverse experience, she helps professionals add tools to their toolbelts to achieve success.

She performed reviews and audits of philanthropic organizations, mutual funds, general banking operations, trust operations, construction activities, human resources, accounting operations, marketing activities, governance activities, information technology, treasury, derivatives, secondary marketing, and debt issuance.

Her industry expertise and audit experience includes mortgage operations, banking, information technology, not-for-profit, government, and capital markets. She started the Data Analytics and Business Intelligence team for Freddie Mac. Additionally, she created project plans and coordinated the efforts of over 150 individuals responsible for analyzing and correcting security pricing as part of the Fannie Mae financial restatement. As a consultant, Raven performed a business process analysis and redesign (using Six Sigma and Lean) at the World Bank/IFC, facilitated a fraud risk assessment for a regional bank and trust company, and completed Agile Audits for local government, foundations, insurance companies, and banks.

She developed and redesigned the auditing infrastructure for internal audit functions, including developing internal audit policies and procedures, implementing audit software, designing reporting and time management templates, designing audit committee packages, and implementing databases to report and track progress of audit concerns. Raven embraces the definition of internal auditing as a value-added service and clients welcome the "fresh approach" to internal auditing.

Raven is the proud mother of a fourteen-year-old aspiring actress, Carmen Catlin, and an adorable maltese, a ferret, and a horse. In her spare time, she enjoys horseback riding, gardening, cooking, island hopping, world traveling, tapping into her inner child at amusement parks (especially Disney World), and spending quality time with her family!

Bruce Cheney
Arctic Wolf

Bruce Cheney is a well-rounded Cyber Security Leader, Researcher, Enthusiast, Evangelist, and Speaker. He is an expert at helping organizations identify and understand the real Risks and Threats of a Cyber Attack (Ransomware, Extortion, etc.)

With 25+ years of IT experience and a strong and practical knowledge of technology from Layer 1 through Layer 7, Bruce can identify and help reduce Cyber Security risks for organizations of all sizes, verticals, and geographies. He is a thought leader and expert at finding chinks in companies' armor and explaining how to fix them.

He has the skills to deploy, train, and troubleshoot a myriad of technology solutions, as well as explain to organizations how to secure those solutions. He has years of experience in professional training on complex IT topics, so making sense of complex topics is right up his alley, allowing him to specialize in Transferring Knowledge and Operational Best Practices to Customer Staff ensuring Post-Implementation Success.

SPEAKER BIOS
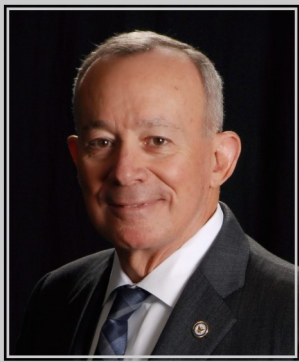
David L. Cotton CPA, CFE, CGFM

Dave Cotton is founder and Chairman Emeritus of Cotton & Company, Certified Public Accountants, headquartered in Alexandria, Virginia. Cotton & Company was founded in 1981 and has a practice concentration in assisting Federal and State agencies, inspectors general, and government grantees and contractors with a variety of government program-related assurance and advisory services. Cotton & Company has performed grant and contract, indirect cost rate, financial statement, financial related, and performance audits for more than two dozen Federal inspectors general as well as numerous other Federal and State organizations, programs, activities, and functions. In April 2022, Cotton & Company became a wholly owned subsidiary of Sikich LLP.

Cotton & Company's Federal agency audit clients have included the U.S. Government Accountability Office, U.S. Navy, U.S. Marine Corps, U.S. Transportation Command, U.S, Defense Security Cooperation Agency, U.S. House of Representatives, U.S. Capitol Police, U.S. Small Business Administration, U.S. Bureau of Prisons, Millennium Challenge Corporation, U.S. Marshals Service, and Bureau of Alcohol, Tobacco, Firearms and Explosives. Cotton & Company also assists numerous Federal agencies in preparing financial statements and improving financial management, accounting, and internal control systems.

Dave received a BS in mechanical engineering and an MBA in management science and labor relations from Lehigh University in Bethlehem, PA. He also pursued graduate studies in accounting and auditing at the University of Chicago Graduate School of Business. He is a Certified Public Accountant (CPA), Certified Fraud Examiner (CFE), and Certified Government Financial Manager (CGFM).

Dave served on the Advisory Council on Government Auditing Standards (the Council advises the United States Comptroller General on promulgation of Government Auditing Standards—GAO's yellow book). He served on the Institute of Internal Auditors (IIA) Anti-Fraud Programs and Controls Task Force and co-authored Managing the Business Risk of Fraud: A Practical Guide. He served on the American Institute of CPAs Anti-Fraud Task Force and co-authored Management Override: The Achilles Heel of Fraud Prevention. Dave is the past chair of the AICPA Federal Accounting and Auditing Subcommittee and has served on the AICPA Governmental Accounting and Auditing Committee and the Government Technical Standards Subcommittee of the AICPA Professional Ethics Executive Committee. Dave chaired the Fraud Risk Management Task Force, sponsored by COSO and ACFE and is a principal author of the COSO-ACFE Fraud Risk Management Guide. Dave is currently co-chairing a task force to update the COSO-ACFE Fraud Risk Management Guide. In May 2022, Governor Glenn Youngkin appointed Dave to the Virginia Board of Accountancy.

Dave served on the board of the Virginia Society of Certified Public Accountants (VSCPA) and on the VSCPA Litigation Services, Professional Ethics, Quality Review, and Governmental Accounting and Auditing Committees. He is a member of the Association of Government Accountants (AGA) and past-advisory board chairman and past-president of the AGA Northern Virginia Chapter and past Vice Chair of the AGA Professional Ethics Board. He is also a member of the IIA and the Association of Certified Fraud Examiners.

Dave has testified as an expert in governmental accounting, auditing, and fraud issues before the United States Court of Federal Claims, the Armed Services Board of Contract Appeals, and other administrative and judicial bodies.

Dave has spoken and written frequently on cost accounting, professional ethics, and auditor fraud detection responsibilities. He has been an instructor for the George Washington University Master of Accountancy program (Fraud Examination and Forensic Accounting) and has instructed for the George Mason University Small Business Development Center (Fundamentals of Accounting for Government Contracts).

Dave was the recipient of the ACFE 2018 Certified Fraud Examiner of the Year Award ("presented to a CFE who has demonstrated outstanding achievement in the field of fraud examination … based on their contributions to the ACFE, to the profession, and to the community"); AGA's 2012 Educator Award ("to recognize individuals who have made significant contributions to the education and training of government financial managers"); and AGA's 2006 Barr Award ("to recognize the cumulative achievements of private sector individuals who throughout their careers have served as a role model for others and who have consistently exhibited the highest personal and professional standards").

SPEAKER BIOS

Mike Doyle CPA, CFE, CFF
KPMG, LLP

Michael is a Director in KPMG's Forensic practice with more than 21 years of accounting and investigative experience. Michael is a Certified Public Accountant, Certified Fraud Examiner, and Certified in Financial Forensics. Michael has been an Adjunct Professor of Forensic Investigations as John Jay College.

Thomas Hallisey
Healthcare Association of New York State

Tom has spent his entire career improving healthcare quality and operations through the advancement of technology. Tom began his career at EMR vendor MEDITECH and went on to spend nearly 20 years leading healthcare IT strategy and implementation at several healthcare systems in New York and Washington, D.C. He served as CIO at Cayuga Medical Center in Ithaca, Cortland Regional Medical Center and United Medical Center in Washington, D.C.

Tom is now HANYS head of digital health strategy, a Trustee on the board of Columbia Memorial Health (part of Albany Med Health System) and Adjunct Assistant Professor at SUNY Downstate Health Sciences University. Tom formulates and executes HANYS' state and federal HIT regulatory and legislative advocacy agenda and provides education and collaboration to New York health systems. His work includes guiding statewide collaborative efforts to improve care through technology in areas such as virtual/telehealth, cybersecurity and clinical informatics. He further partners with government agencies, lawmakers and other organizations to advance HANYS' HIT agenda on behalf of New York's hospitals and health systems.

Donnial Hinds
Office of the State Comptroller

Donnial K. Hinds was appointed to the position of Inspector General for the Office of the New York State Comptroller (OSC) in September of 2021. He is responsible for working with the general public and internal divisions within OSC and investigating corruption, fraud, waste, and abuse within OSC.

Donnial has been employed with OSC for more than 16 years. He initially began his career as a Senior Attorney in the Division of Legal Services for the Retirement System. Prior to his appointment as Inspector General, Donnial served as the Assistant Counsel for the Retirement System where he was charged with insuring the legal integrity of the third largest retirement fund in the country, the New York State Common Retirement Fund. Before joining the Comptroller's Office, he worked as a Senior Attorney at the New York State Workers' Compensation Broad and as an associate at the law firm of Hinman Straub, PC in Albany, NY.

Donnial also serves in the United States Army National Guard and has served in various positions throughout his 27 years military career. In his most recent position, he serves as the Deputy Staff Judge Advocate for the state of Connecticut and is responsible for conducting investigations, providing legal guidance to superior officers and coordinating with criminal investigations divisions to conduct criminal and administrative investigations.

Donnial is a graduate of Cornell University and Albany Law School.

SPEAKER BIOS

Michael 'Bret' Hood

After serving 25 years as a Special Agent in the FBI, Michael "Bret" Hood became the director of 21st Century Learning & Consulting, LLC upon his retirement in 2016. Bret serves as an adjunct professor of corporate governance, ethics, and forensic accounting for the University of Virginia as well as a faculty member for the Association of Certified Fraud Examiners. Bret is also a board member for the School of Accounting at Southern Illinois University.

Bret, while working as a leadership and ethics instructor at the prestigious FBI National Academy, received two FBI Director Awards for Leadership Development & Leadership Innovation for his work on the FBI's executive leadership development program. Since his retirement, Bret has keynoted and provided leadership, ethics, and anti-fraud instruction for Fortune 500 companies, non-profit entities, and governmental organizations. Bret has also given two lively Ted Talks on ethics.

https://www.youtube.com/watch?v=B5VmcL6RQW0

https://www.youtube.com/watch?v=l6rzq3lQnrQ

Bret is known for his engaging style and interactive learning programs. In 2020, Bret was awarded the ACFE's James R. Baker Speaker of the Year award and in 2021, Bret was awarded the Florida Institute of CPAs Discussion Leader of the Year. Bret is the author of the critically acclaimed books, Eat More Ice Cream: A Succinct Leadership Lesson for Each Week of the Year & Get Off Your Horse! 52 Succinct Leadership Lessons from U.S. Presidents.

Joe Horowitz CISA, CDPSE, CIA, CRMA, ACCISO
Stetson Cybergroup

Joe is an active member of Information System and Controls Association (ISACA), International Information System Security Certification Consortium ((ISC)²), Association of Certified Fraud Examiners (ACFE), The Institute of Internal Auditors (IIA), EC-Council, and InfraGard (via FBI). Joe joined Stetson Cybergroup as the Director of Compliance and Audit in July of 2020. He spent most of his 25+ year career as a collaborative, results driven audit and risk business partner who drives organizations to achieve measurable and attainable goals, as well as meeting compliance with most cybersecurity regulations.

Joe has comprehensive experience in all aspects of audit, specializing in organizational, human, and technology risks and controls for a wide range of industries. These industries include government, healthcare, technology, cable & communications, consumer electronics, legal, and management consulting.

Joe's certifications include Certified Information System Auditor (CISA), Certified Data Privacy Solutions Engineer (CDPSE), Certified Internal Auditor (CIA), Certification in Risk Management Assurance (CRMA), and Associate Certified Chief Information Security Officer (ACCISO).

Joe has a B.S. in Business, Management & Finance and A.A.S. in Accounting.

Drummond Kahn MS, CIA, CGFM, CGAP

Drummond Kahn trains auditors from more than 30 years of government audit experience, including leading audit functions at the state and local levels. An accomplished trainer and auditing faculty member in two graduate schools, Drummond serves on the faculty of the International Institute and the Government Audit Training Institute of the Graduate School USA. He joined the auditing profession in 1990. His auditing roles include Chief of Audit Services for the Oregon Department of Transportation, Director of Audit Services for the City of Portland, and State Audit Administrator for the Oregon Secretary of State Audits Division. Drummond began his career serving over five years in the U.S. Government Accountability Office.

SPEAKER BIOS

Drummond Kahn MS, CIA, CGFM, CGAP *(Continued)*

He served as National President of the Association of Local Government Auditors (2012-13), received AGA's Frank Greathouse Distinguished Leadership Award and served as a member and now chairs the Comptroller General's Advisory Council on Government Auditing Standards (2009-2020). The IIA's American Center for Government Auditing recognized Drummond as one of the 15 Most Influential Professionals in Government Auditing. Drummond holds a Master's degree from the University of Oregon and a Bachelor's degree from Whitman College.

He has trained auditors extensively in North America and overseas, speaking to groups ranging from Association of Government Accountants and Institute of Internal Auditors chapters and conferences to Lanzhou University and Nanjing Audit Unversity (China), and the Reims Management School (France). He has presented to regional, national, and international conferences on auditing and governance topics. Drummond has extensive experience presenting to audit offices on topics including performance auditing, performance measurement, audit writing, and reporting audit results.

Harry Lidsky

Harry's career spanned 24 years with the United States government in law enforcement and intelligence community service prior to his retirement and launch of his own investigative and security consulting service in September 2023. Harry served in various senior leadership positions within the U.S. government. From March 2010 - September 2023, Harry worked for the Department of Justice - Office of the Inspector General, serving as a Senior Special Agent, Assistant Special Agent in Charge, and Special Agent in Charge of the Cyber Investigations Office. In those capacities at DOJ-OIG, Harry performed computer and mobile device forensic analysis, initiated and oversaw insider threat investigations, conducted financial analysis associated with fraud and money laundering, and conducted surveillance, technical, and undercover operations in furtherance of criminal investigations. Prior to joining DOJ-OIG, Harry spent 7 years as a Special Agent with the Drug Enforcement Administration, conducting investigations to dismantle complex narcotics trafficking and money laundering organizations. Harry has testified at more than 200 federal proceedings, including jury trials, hearings, and grand jury inquiries. Harry also served as a clandestine officer for the Central Intelligence Agency, and was deployed to Afghanistan at forward operating bases in Afghanistan during 2007-2008, among other field assignments. Harry decided to make his investigative and analytical skillset and expertise available to the private sector following his retirement in September. 4DISS (www.4diss.com) leverages Harry's 24+ years of experience and ability to supplement client needs in multiple investigative and security disciplines.

Please connect with Harry and read about some of his featured casework on LinkedIn (linkedin.com/in/harry-lidsky-04947646).
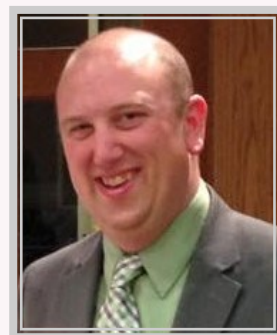
Colin May CFE, 3CE
U.S. Department of Housing and Urban Development OIG

Colin May, CFE, 3CE leads the HUD OIG Office of Investigation's Strategy and Planning Unit within the Headquarters Operations Division. He joined HUD OIG as a Forensic Auditor in January 2021 after serving as a Bankruptcy Auditor with the Office of the United States Trustee, U.S. Department of Justice since 2015. There he led the Regional Investigative Team covering four judicial districts and oversaw the appointed trustees. He was a member of the National E-Discovery and Litigation Support Working Group, as well as teaching fraud investigation, forensic accounting, and financial oversight topics at the Justice Department's National Advocacy Center in Columbia, S.C.

Prior to joining the U.S. Trustee Program, Colin was a Special Agent with the U.S. Department of Commerce, Office of Export Enforcement (OEE), where he was a Digital Forensic Examiner with the National Computer Forensics Lab, as

SPEAKER BIOS

Colin May CFE, 3CE *(Continued)*

well as the lead case agent on a major international terrorism, money laundering, and fraud investigation involving the

illicit export of U.S.-origin commercial aircraft, engines, and parts to Iran. Colin began his career as a Special Agent with the U.S. Department of Defense, conducting financial investigations. Colin served as an intern with the U.S. Postal Inspection Service in Albany and holds a bachelor's degree from Siena College. He also holds a master's degree in forensic studies from Stevenson University, a graduate certificate in forensic accounting from Northeastern University, and a graduate certificate in leadership studies from the University of Southern Maine. A Certified Fraud Examiner and Certified Cyber Crime Examiner, Colin has also authored several articles, which have appeared in Fraud Magazine, Fraud Examiner, the FBI Law Enforcement Bulletin, Security Management, and the Journal of Public Inquiry. He is also a member of the International Association of Chiefs of Police, the IACP Police Research Advancement Section, and the Police Executive Research Forum. Since 2010, he has been a Professor of Forensic Studies at Stevenson University.

Sushila Nair CISSP, CISA, CISM, CRISC, CDPSE, CCAK

Sushila Nair, is a pivotal figure in driving secure digital transformation globally. With over 30 years of experience spanning computing infrastructure, business, and security risk analysis, Sushila has carved a niche in the cybersecurity domain. Her journey includes a decade-long leadership of her own IT and Cybersecurity company across major UK cities and serving as a Chief Information Security Officer (CISO), where she mastered the art of safeguarding against evolving digital threats. In 2024. Sushila was awarded The Top Cybersecurity Leader award by Security Magazine in 2024.

An esteemed thought leader, Sushila's insights have graced global platforms like RSA and ISACA's conferences. Her role in the ISACA global emerging trends working group and as Vice President of ISACA's Greater Washington, D.C. Chapter showcases her commitment to advancing the field. Her efforts, especially in championing the next generation of cybersecurity talent and promoting diversity, earned her the prestigious ISACA Technology for Humanity Award In her position on the advisory panel for Shenandoah University's transformative leadership program, Sushila continues to be a guiding force, shaping a resilient and inclusive digital future.
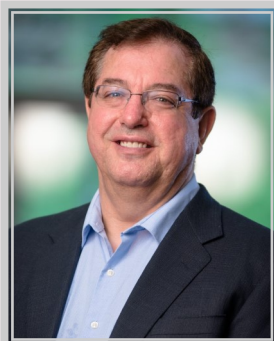
Mark Nigrini
West Virginia University

Mark J. Nigrini is on the Business & Economics faculty at West Virginia University. Nigrini's research passion for many years has been a phenomenon known as Benford's Law. There are predictable patterns to the digits in lists of numbers. The smaller digits (1s, 2s, and 3s) are expected to occur more often in scientific and financial data. Benford's Law has proved itself to be valuable to auditors in their quest to uncover fraud in corporate data. Nigrini's current research addresses advanced work on Benford's Law, employee fraud, the use of analytics in forensic accounting, and the accuracy of COVID statistics.
Nigrini is the author of *Forensic Analytics* (Wiley, 2020) which describes analytic tests used to detect fraud, errors, estimates, and biases in financial data, and also *Benford's Law* (Wiley, 2012). In 2014 Nigrini published an article in the *Journal of Accountancy* that was co-authored with Nathan Mueller, a fraudster, who at the time was incarcerated in a federal prison. That article won the Lawler award for the best article in the *Journal of Accountancy* in 2014. His work has been featured in *The Financial Times*, *New York Times*, and *The Wall Street Journal* and he has published papers on Benford's Law in academic journals and professional publications. These journals include the highly regarded *Journal of Accounting, Auditing, and Finance* and *Auditing: A Journal of Practice and Theory*. Nigrini published the lead article in the new premier forensic accounting journal, the *Journal of Forensic Accounting Research*. His radio interviews have included the BBC in London, and NPR in the United States. His television interviews have included an interview on a fraud saga for the Evil Twins series for the Investigation Discovery Channel. He is a regular presenter at the ACFE's Global Conferences. He regularly presents professional seminars for accountants and auditors in the U.S and abroad.

SPEAKER BIOS

Jami Shine CIA, CISA, CRISC, CRMA
QuikTrip Corporation

Jami Shine, CIA, CISA, CRISC, CRMA, graduated summa cum laude from the Honors Program at Oral Roberts University and has 17 years of combined internal and external audit experience. She is the Corporate and IT Audit Manager for QuikTrip Corporation, where she manages operational, IT, and financial audits and consulting engagements. She also co-facilitates the Enterprise Risk Management ("ERM") program and conducts the annual risk assessment process with the CAE.

Jami enjoys being a facilitator for the IIA, leading both online and in-person trainings, as well as being a recurring speaker at the IIA's Leadership Academy, IIA and ISACA chapters, MISTI's SuperStrategies and Audit World Conferences, and the Accounting and Financial Women's Alliance. She has served as the conference emcee for the IIA and ISACA's GRC conference and the IIA's Ignite and Global Assembly Conferences in addition to moderating and speaking on multiple panels. She served on the IIA's North American Chapter Relations Committee from 2018 – 2022 and was appointed to the global Institute Relations Committee in 2022. In 2022, she was honored with an Internal Audit Beacon Award for thought leadership in the profession. She has had multiple articles published in Internal Auditor magazine and loves any chance to share her passion for risk management and internal audit.

Mike Stamas
DeepSeas

Michael Stamas is an entrepreneur, board member, founder of GreyCastle Security and Sr. Advisor with DeepSeas. With more than two decades of experience in the technology sector, Mike pairs his management and business development skills with a deep understanding of cybersecurity. Mike brings a unique brand of risk-based advising to GreyCastle clients and prospects. Mike holds certifications in numerous security and technology related areas. Mike plays an active role in his community and served as a board member of InfraGard Albany, Capital Region YMCA and the Downtown Troy Business Improvement District. Mike has been recognized for his numerous achievements through various honors including the Albany Business Review's prestigious 40 Under 40 award.

Tom Stanton
KPMG, LLP

Thomas is a Principal in KPMG's Forensic practice with more than 25 years of experience providing his clients with a wide range of forensic services including assisting with the prevention, detection and response to fraud and misconduct. He has directed and conducted fraud and compliance risk assessments for clients in such industries as state and local government, financial services, insurance, consumer products, and construction. In addition, he has led investigations into financial statement matters, asset misappropriation frauds and performed due diligence assignments, internal control reviews and other projects requiring financial and forensic skills.

Ben Van Wert
DeepSeas

Ben Van Wert is a Cybersecurity Advisor with DeepSeas. In his Advisor role, Ben helps organizations build strong cybersecurity risk and compliance programs with the goal of limiting the likelihood and impact of cybersecurity events on the business, it's operations, and it's customers. With over a decade of experience in business development, Ben brings a unique business acumen and problem-solving mindset to these risk-based strategies that support Mid-Market and Enterprise clients. Ben is actively involved in the Lake George Association's Membership Committee and is a past Board Member.

SPEAKER BIOS

Jim Wiggins
Securible, LLC

Jim has over 26 years of direct experience in the design, operation, management, and auditing of information technology systems, with the past 21 years focused on information systems security. He has an extensive background in technical education and specializes in security certification courses aimed at federal and government contracting clients.

Today, Jim is the Founder and Principal of Securible, LLC. Securible is an information security service provider offering cyber training programs to organizations of all sizes. At Securible, Jim has taught IT security certification courses such as CISSP, CISM, CISA, Ethical Hacking, RMF, Security+, and other courses requested by Securible's clients. Currently, he provides education and training support for the National Risk Management Center (NRMC) at the Cybersecurity and Infrastructure Security Agency (CISA) within the Department of Homeland Security (DHS). More information on Securible can be found at: https://www.securible.com.

Jim is also the Founder and Chief Executive Officer (CEO) of the Federal IT Security Institute (FITSI). FITSI is a 501 (c)(6) non-profit certification body accredited by the ANSI National Accreditation Board (ANAB) under ISO 17024:2012. FITSI offers a role-based IT security certification program targeted at the federal workforce. More information on FITSI can be found at: https://www.fitsi.org.

Additionally, Jim is the Founder and Executive Director of the FITSI Foundation. The FITSI Foundation is a 501(c)(3) public charity that focuses on cyber education and serves as the philanthropic sister organization of the Federal IT Security Institute. The FITSI Foundation operates the Wounded Warrior Cyber Combat Academy (W2CCA). More information on the FITSI Foundation can be found at https://www.fitsifoundation.org.

In 2020, Jim launched a TV show on cybersecurity called "Cybersecurity Today," which can be viewed in the Washington, DC area. Episodes can also be streamed online at the following website: https://www.cybersecuritytoday.org.

In 2019, FCW named Jim to the "Federal 100" for his tireless efforts to promote cybersecurity education across all branches of the federal government.

In 2011, the Federal Information Systems Security Educators' Association (FISSEA) named him "Educator of the Year" for the impact he continues to make on the federal workforce.

Jim holds the following IA/IT security certifications: CISSP, ISSEP, CISM, CISA, CRISC, CDPSE, CGRC, CySA+, SCNA, SCNP, IAM, IEM, SSCP, CEH, ECSA, CHFI, LPT, TICSA, CIWSA, Security+, and MCSE: Security and FITSP-M

The Conference That Counts

ALBANY NY TCTC
AGA - IIA - ISACA

TCTC 2024
May 20 – 22, 2024 | Albany Marriott

REGISTRATION FORM

1. Fill in the information below

Name (Mr., Mrs., Ms., Miss) _____

(Last)   (First)   (Middle)

Title/Position Company/Agency _____
Address_____
City_____ State/Province_____ Zip/Postal Code Country _____
Phone_____ Fax_____ Name for Badge ID _____
e-mail Address _____

2. Circle your choices (no more than one session per time period please)

Track	Monday—May 20, 2024				Tuesday—May 21, 2024				Wednesday—May 22, 2024			
	Early A.M.	Late A.M.	Early P.M.	Late P.M.	Early A.M.	Late A.M.	Early P.M.	Late P.M.	Early A.M.	Late A.M.	Early P.M.	Late P.M.
I	M101	M102	M103	M104	T101	T102	T103		W101		W102	W103
II	M201		M202		T201	T202	T203		W201		W202	W203
III	M301	M302	M303	M304	T301		T302		W301	W302	W303	W304

3. Registration Fees

Seating is Limited – Register Early! Register and Pay by 4/19/2024 to receive an "Early Bird" Discount!

Fee Schedule	Registration Fees Postmarked			
	By April 19, 2024		After April 19, 2024	
	Member*	Non-Member	Member*	Non-Member
One Day	$210	$285	$235	$310
Two Days	$335	$460	$385	$510
Three Days	$410	$585	$510	$685

*AGA member? Yes ☐ No ☐   *IIA member? Yes ☐ No ☐
*ISACA member? Yes ☐ No ☐   *CPA? Yes ☐ No ☐

Group Discounts: The following discounts are available to groups. The rates for members and non-members noted above will still apply. Early registration is encouraged to ensure availability. A full-time equivalent (FTE) constitutes three days of training. For example, one FTE can be broken down into one person attending for three days, three people attending for one day each, or any other combination. Discounts for groups are as follows:

50 or more FTEs, 20%; 20 to 49 FTEs, 15%; 10-19 FTEs, 10%, and 5 to 9 FTEs, 5%.

4. Indicate Method of Payment

☐ Check enclosed payable to TCTC in the amount of $_____. Please check if group payment ☐

☐ Government Voucher or Purchase Order enclosed for the amount of $_____ (Payee ID 13-3418345). Enrolling individuals or the enrolling agency are liable for payment after the cancellation date of **May 10, 2024**.

☐ If you require an invoice, contact Mary Peck at mepeck@usa.net or (518) 281-8638.

AGA • IIA • ISACA

REGISTRATION FORM

5. Registration

Make your completed registration and payment to:

TCTC
c/o Hudson Valley ISACA
PO Box 1458
Albany, NY 12201-1458

6. Cancellation Policy

Cancellations received prior to **May 10, 2024** will be fully refundable. Substitutions can be requested at any time up until the time of the conference. Substitutions of a non-member for a member will result in the additional non-member fees being charged. Individuals who do not cancel before May 10, 2024 are not eligible for a refund.

7. Hotel Reservations

Hotel reservations can be made by calling the Albany Marriott at (518) 458-8444.
Conference attendees should make all reservations at the Government rate (GSA) of $114.00

8. Lunch Options

Monday

Buffet Selections include the following:

- Pasta e Fagioli Soup
- Rolls and Butter
- Caesar Salad
- Caprese Salad
- Balsamic Grilled Vegetables Red Quinoa Salad
- Rigatoni a la Vodka with Mushrooms
- Chicken Milanese with Arugula and Tomato Concasse
- Tiramisu

Afternoon Break: Cookie Bar

Tuesday

Buffet Selections include the following:

- Chicken Tortilla Soup
- Beef Taco Bar & Chicken Fajita's served with Shredded Monterey Cheese, Cheddar Cheese, Guacamole, Sour Cream, Jalapeno Peppers, Shredded lettuce, Pico De Gallo, Roasted Peppers & Onions
- Three Cheese Quesadillas
- Mexican Rice with Peas and Corn
- Refried Beans
- Arroz con leche (Mexican Rice Pudding)

Afternoon Break: Bavarian Pretzel Sticks, Beer Cheese Sauce, Spicy MustardHouse made Kettle Chips, Freshly Popped Popcorn

Wednesday

Buffet Selections include the following:

- Chef's Soup of the Day
- Fresh Fruit Salad, Spicy Potato Salad, Cole Slaw
- Roasted Breast of Turkey, Sliced Roast Beef, Shaved Ham
- Sharp Cheddar Cheese, Big Eye Swiss and American Cheeses
- White, Wheat and Rye Bread
- Tomatoes and Pickles, Mayonnaise, Mustard

Afternoon Break: Ben & Jerry's ... of course!

JOSEPH'S HOUSE &SHELTER

WHO WE ARE

Founded in 1983, Joseph's House and Shelter, Inc. is a community-based, not for profit corporation that provides a continuum of homeless services to residents of the Capital Region. Joseph's House offers emergency shelter, street outreach, and support services to homeless and formerly homeless individuals, youth, and families. We offer non-judgmental services where each guest's dignity and worth are recognized and respected.

Our mission is to provide non-judgmental services to end homelessness.

Our vision is for a **community where everyone has safe and affordable housing**.

For general information, please write to info@josephshousetroy.org

CORE VALUES

1. We recognize an individual's strengths, capacities and right to self determination.

2. We affirm each person's dignity and show respect through non-judgmental advocacy.

3. We commit to Housing First and harm reduction principles.

DONATE NOW

Your donation helps support the core services we provide, including meals and overnight shelter for the homeless.

DONATE VIA CARD	DONATE VIA PAYPAL

THE CONFERENCE THAT COUNTS
TCTC 2024
PROGRAM GRID

	Track 1 (IT)	Track 2 (Fraud/Ethics)	Track 3 (Audit/Leadership)
Monday, May 20, 2024			
7:30-8:25	Registration and Continental Breakfast		
8:25-10:05	Session M101 **Cybersecurity 2024** *Joe Horowitz*	Session M201 **Case Studies in Public Corruption and Fraud** *Dave Cotton*	Session M301 **Communication/Facilitation Skills** *Jami Shine*
10:05-10:35	Morning Break		
10:35-11:50	Session M102 **Real Risk in Today's Landscape** *Bruce Cheney*	Session M201 **Continued** *Dave Cotton*	Session M302 **15 Tested Tools for Efficient Auditing** *Drummond Kahn*
11:50 – 1:05	Lunch		
1:05 -2:45	Session M103 **Improving Security/Compliance** *Mike Stamas and Bennett VanWert*	Session M202 **Fraud Interviewing Techniques for Auditors** *Dave Cotton*	Session M303 **Applying Internal Control Standards** *Drummond Kahn*
2:45-3:15	Afternoon Break		
3:15-4:30	Session M104 **Privacy & Security Update-Digital Health** *Thomas Hallisey*	Session M202 **Continued** *Dave Cotton*	Session M304 **Explaining Audit Results to Decision makers** *Drummond Kahn*
Tuesday, May 21, 2024			
7:30 – 8:25	Registration and Continental Breakfast		
8:25-10:05	Session T101 **Unlocking Creativity with Generative AI** *Jim Wiggins*	Session T201 **Offshore: Tracing International Transactions** *Colin May*	Session T301 **Creating the Integrated Auditor** *Raven Catlin*
10:05-10:35	Morning Break		
10:35-11:50	Session T101 **Continued** *Jim Wiggins*	Session T202 **Clean Up: Money Laundering Typologies** *Colin May*	Session T302 **Applying Agility to Auditing** *Raven Catlin*
11:50 – 1:05	Lunch		
1:05 -2:45	Session T102 **Safeguarding the Future: Generative AI** *Jim Wiggins*	Session T203 **Ways to Detect and Prevent Fraud** *Donnial Hinds*	Session T303 **Coaching with Risk Assessments** *Raven Catlin*
2:45-3:15	Afternoon Break		
3:15-4:30	Session T102 **Continued** *Jim Wiggins*	Session T203 **Continued** *Donnial Hinds*	Session T304 **The Art of Negotiation** *Raven Catlin*
Wednesday, May 22, 2024			
7:30-8:25	Registration and Continental Breakfast		
8:25-10:05	Session W101 **Risk Revolution: Navigating Cloud Era** *Sushila Nair*	Session W201 **Off Beat – Fraud Case Study** *Harry Lidsky*	Session W301 **Critical Thinking for Leaders** *Michael Bret Hood*
10:05-10:35	Morning Break		
10:35-11:50	Session W101 **Continued**	Session W202 **Chasing Ghosts – PPP Loan Fraud** *Harry Lidsky*	Session W302 **Psychopathy & Leadership** *Michael Bret Hood*
11:50 – 1:05	Lunch		
1:05 -2:45	Session W102 **Tech Response in a World in Crisis:** *Sushila Nair*	Session W203 **Benford's Law** *Mark Nigrini*	Session W303 **An Auditor's Guide to Fraud Risk Assessments** *Tom Stanton/Mike Doyle*
2:45-3:15	Afternoon Break		
3:15-4:30	Session W102 **Continued**	Session W204 **Fingerprints of Fraud Numbers - ChatGPT** *Mark Nigrini*	Session W303 **Continued** *Tom Stanton/Mike Doyle*