



27TH ANNUAL
THE CONFERENCE THAT COUNTS

I think I can!

MAY 22 - 24, 2023

MARRIOTT ALBANY

189 Wolf Rd

Albany, NY 12205

(518) 458-8444

**The Conference
That Counts**



AGA - IIA - ISACA



WE HAVE PLANNED AN OUTSTANDING CONFERENCE PROGRAM THAT WILL GIVE YOU THE CHANCE TO:

- CUSTOMIZE YOUR REGISTRATION TO FIT YOUR SCHEDULE AND INTERESTS. CHOOSE ONE, TWO, OR THREE DAYS
- EARN UP TO 21 CPE HOURS AT OUTSTANDING EDUCATIONAL SESSIONS
- DISCOVER INNOVATIVE SOLUTIONS AND GAIN THE TOOLS YOU NEED TO BE MORE EFFECTIVE
- LEARN LEADERSHIP SECRETS FROM NATIONAL-LEVELLY RECOGNIZED INDUSTRY LEADERS
- NETWORK WITH COLLEAGUES FROM ACROSS THE REGION
- EXPLORE THE LATEST TECHNOLOGICAL ADVANCES IN FINANCIAL MANAGEMENT
- STAY UP TO DATE ON ISSUES THAT AFFECT YOUR CAREER
- IMPROVE YOUR KNOWLEDGE, SKILLS AND ABILITIES, AND INCREASE YOUR PROMOTIONAL POTENTIAL.

THE CONTINUING PROFESSIONAL EDUCATION (CPE) SEMINARS ARE BEING OFFERED BY A SPONSOR APPROVED BY THE NEW YORK STATE BOARD OF PUBLIC ACCOUNTANCY TO PROVIDE THE MANDATORY CONTINUING EDUCATION FOR LICENSED CPAs WORKING IN NEW YORK.

CHECK TO ENSURE THAT THE CPE CREDITS OFFERED MEET THE REQUIREMENTS OF YOUR CERTIFYING ORGANIZATION.

NYS LICENSE NO. 000329

The Conference That Counts 2023

Conference Overview

The New York Capital Chapter of the Association of Government Accountants, The Albany Chapter of The Institute of Internal Auditors, and the Hudson Valley Chapter of ISACA are proud to host the 27th annual **The Conference That Counts (TCTC) 2023** with a special theme - "I think I can!"

Please join us for an outstanding three-day continuing education event offering challenging, informative, and exciting sessions designed to help participants keep pace with changes in information technology, auditing, fraud, and leadership skills. TCTC 2023 promises to be an excellent learning and networking opportunity for both new and experienced financial managers, auditors, and accountability professionals. The program has been developed to ensure that TCTC 2023 delivers up to the minute, quality educational content that meets your high standards and professional needs. Up to 21 CPE hours can be earned by attending all three days.

This is the 27th TCTC and it has become so popular over the years that you must register early to ensure you get in. Also, keep in mind that registering early can mean big savings for your agency or company's training budget. See the registration page for details. Business casual attire is appropriate for this event. Since the conference rooms at the Marriott Hotel can vary in temperature, we recommend that you dress in layers.

Conference Dates and Location

The TCTC is being held on **May 22 – 24, 2023**
Marriott Albany
189 Wolf Rd
Albany, NY 12205
(518) 458-8444

Registration

Register online at:

<https://na.eventscloud.com/2023tctc>

Get Your Conference Handouts Early

Many TCTC presenters are making their presentation material available to attendees online. They will not be printed for distribution at the conference. You will find the PowerPoint slides online at <https://na.eventscloud.com/2023tctc> about two weeks before the conference. Please remember to download these presentations and bring them with you to TCTC 2023.

TCTC 2023 SESSIONS

Monday May 22, 2023	Session Description
Track General	Registration & Continental Breakfast 7:30 am - 8:25 am
Track I (IT)	<p>M101: Risk Based Assurance in a High Velocity Environment Time: 8:25 am—10:05 am Speaker: Mark Thomas</p> <p>Governance over enterprise Information and Technology (I&T) can result in expected benefits while optimizing risks and resources. In today's high velocity and heavily compliant environments, digital transformation is key, and while business goals should be at the center of any digital transformation effort, these initiatives will require new I&T investments and introduce new risks with security and privacy. Therefore, it is paramount for the assurance and audit functions of the enterprise ensure that it is using a risk-based approach to ensure a proper balance between performance and conformance. This very interactive presentation will address the key risks encountered and provides strategies and suggestions on how to ensure that enterprise performance is balanced with compliance requirements.</p> <p>Objectives</p> <ul style="list-style-type: none"> • Understand what it means to be digital in a high velocity environment and recognize the benefits of these technologies and strategies. • Recognize the emerging risks and how to properly balance enterprise performance and conformance when providing assurance and audit. • Learn about the latest industry frameworks and guidance on providing risk-based auditing and assurance in a technically advancing enterprise.
Track II (Fraud)	<p>M201: Who Let The Watchdogs Out – Techniques & Tools From The NYS Inspector General Time: 8:25 am—10:05 am Speaker: Lucy Lang</p> <p>Serving as New York State's 11th Inspector General, Lucy Lang wears a lot of hats – depending on when you catch her, she's the State Inspector General, Welfare Inspector General, Workers' Compensation Inspector General, and, as of 2021, the oversight head of all lawful Gaming, including racing and mobile sports wagering. In those roles IG Lang, along with her staff of over 100 auditors, investigators, attorneys, and support staff at the Offices of the New York State Inspector General (OIG), is entrusted with ensuring that New York State government, its employees, and those who contract with the State meet the highest standards of honesty, accountability, and efficiency. With jurisdiction over more than 100 executive branch agencies, departments, boards, commissions and public authorities, OIG fields thousands of complaints from New Yorkers a year.</p> <p>During this session, learn from the IG and her Unit Chiefs about OIG's techniques and tools for identifying corruption, fraud, criminal activity, conflicts of interest, and abuse at its covered agencies, its work monitoring of the NYS Department of Corrections and Community Supervision, and monitoring role in the State's most prominent infrastructure projects including the Mario M. Cuomo bridge, Jacob Javits Convention Center expansion, and the Moynihan Train Hall.</p>
Track III (Audit/ Leadership)	<p>M301: Critical Thinking and Data Analytics Time: 8:25 am—10:05 am Speaker: Omid Yazdi</p> <p>In this interactive session, one half of the attendees will have an opportunity to critique a classic internal audit case study and the other half queues on analytics questions/areas of focus. Then they will develop their own audit plans. The speaker will then debrief the groups and show that there are some gaps in both plans. The speaker then mixes up the teams and they do the exercise again. This will lead to some eye opening insights.</p>
Track General	Morning Networking Break 10:05 am — 10:35 am

Monday May 22, 2023	Session Description
Track I (IT)	M101: Risk Based Assurance in a High Velocity Environment (Continued) Time: 10:35 am—11:50 am Speaker: Mark Thomas
Track II (Fraud)	M202: Who Let The Watchdogs Out – Techniques & Tools From The NYS Inspector General (Continued) Time: 10:35 am—11:50 am Speaker: Lucy Lang
Track III (Audit/Leadership)	M302: Re-imagining State and Local Audit Organizations 10:35 am—11:50 am Speakers: Omid Yazdi & Derek Zielinski The session will address leading practices for audit organizations to transform their current audit model to take a more risk bases approach, retain and recruit the right talent and leverage technology in a thoughtful manner.
Track General	Monday—Lunch 11:50 am—1:05 pm
Track I (IT)	M102: Zero to Hero - Digital Transformation: A Business Focused Zero-Trust Architecture & Practical Implementation in the Cloud Time: 1:05 pm—2:45 pm Speaker: Edward McCabe While Digital Transformations leverage technology, the goal is driven not by technology but rather the organization’s desire and need to change, to receive value through innovation, efficiency gains, and promoting a solid and resounding customer experience. Successfully achieving the goals of a Digital Transformation are based on sound governance and management, in addition to the organization ability to manage and mitigate business risk. What does it mean and how do you architect and build a Zero-Trust business environment? There are as many definitions of Zero-Trust as there are vendors who are pushing their product. At the core, Zero Trust is a set of principles, not all of which may necessarily apply to your environment. Architecting a Cloud environment with the Zero-Trust principles requires an understanding of what drives the organization, what they hope to achieve and how technologies can be properly aligned to satisfy the needs of the organization. This session pulls together the various moving pieces and parts needed to successfully architect, implement and integrate a business aligned Cloud architecture based upon the applicable Zero-Trust principles. We will cover the various frameworks employed to make decisions, trace requirements, identify and manage risks, architect and validate the component solutions and implement a business-aligned technology environment based upon the Zero-Trust principles.
Track II (Fraud)	M202: Fraud Risk Universe in Your Organization Time: 1:05 pm—2:45 pm Speaker: Len Vona Has your risk model identified all the fraud risks impacting your organization? CEO’s & management are going to prison, companies are paying hundreds of million dollars in penalties or judgments all because fraud risks have not been identified and properly managed. Millions of dollars are loss to fraudsters. In this session you will learn how to use the fraud risk universe methodology to build a comprehensive fraud risk model. Learning Objectives: <ul style="list-style-type: none"> • How to define the scope of your fraud project • Using the fraud universe methodology • Step by step approach to fraud risk identification • How to create a comprehensive list of fraud risks • How to prepare your fraud risk assessment

Monday May 22, 2023	Session Description
Track III (Audit/ Leadership)	<p>M303: Audit of the Future Time: 1:05 pm—2:45 pm Speakers: Matt Van Buren & Abby Schwartz</p> <p>This presentation will be focused on recent changes and evolution in auditing.</p>
Track General	<p>Afternoon Networking Break 2:45 pm — 3:15 pm</p>
Track I (IT)	<p>M102: Zero to Hero - Digital Transformation: A Business Focused Zero-Trust Architecture & Practical Implementation in the Cloud (Continued) Time: 3:15 pm—4:30 pm Speaker: Edward McCabe</p>
Track II (Fraud)	<p>M202: Fraud Risk Universe in Your Organization (Continued) Time: 3:15 pm—4:30 pm Speaker: Len Vona</p>
Track III (Audit/ Leadership)	<p>M304: Making the Most of Analytics and Technology Time: 3:15 pm—4:30 pm Speakers: Matt Van Buren & Abby Schwartz</p> <p>This discussion focuses on how companies can better leverage technology and innovation in their own financial reporting and monitoring processes.</p>
Tuesday May 23 2023	Session Description
Track General	<p>Registration & Continental Breakfast 7:30 am - 8:25 am</p>
Track I (IT)	<p>T101: Hacking Carbon: No Tech, No Problem Time: 8:25 am—10:05 am Speaker: Edward McCabe</p> <p>As a penetration tester, we see it all. The good, the bad and the O-M-Freaky-G what were they thinking?</p> <p>Over the past few years, cyber has received a lot of attention. Everyone seems to be talking about it and with the number of breaches continuing to climb, it's not a surprise. Cybersecurity is a valid concern, but it's not the only concern we need to be cognizant of.</p> <p>This session will focus on the problem of having a "cyber tunnel vision" and why that is a bad thing. Yes, cyber is getting attention -- but that is just a fraction of information security. The reality is that adversaries are looking for ways in, and sometimes that is right through your front door. Why do I need to "cyber" when my zero-day exploit is your employee or broken business process? Dropping a 0 day sounds exciting and like the only reason, an adversary would get into your network. However, it isn't necessarily how an attacker is going to gain and maintain access. Technology is just a tool and all your artificial intelligence and machine learning algorithms aren't going to stop me when an adversary walks in the door.</p> <p>What are you doing to protect the information you've been trusted with?</p>

Tuesday May 23, 2023	Session Description
Track II (Fraud)	<p>T201: Healthcare Fraud 101 - Dolson Ave Medical case study Time: 8:25 am—10:05 am Speaker: Eugene Hagan</p> <p>Dolson Avenue Medical was a multi-specialty practice located in Middletown, NY run by Jay and Jeff Spina, licensed chiropractors. On paper, multiple medical practices were co-located at the property and owned by various medical doctors. In reality, Jay and Jeff Spina controlled all operations, including a call center, physical therapy practice, chiropractic office, neurology practice and additional specialty practices. Through the use of cooperating witnesses and undercover operations, the FBI, HHS and NYS-OSC was able to dismantle the operation and arrest the main subjects of the investigation. Talk will focus on types of fraud detected, role of cooperators and undercovers, and coordination between multiple federal, state and local law enforcement entities.</p>
Track III (Audit/Leadership)	<p>T301: Gain the Upper Hand: The science behind human connection Time: 8:25 am—10:05 am Speaker: Matt Episcopo</p> <p>This session will cover essential ground-setting techniques for attendees to establish credibility, trust and rapport- the hallmarks of successful leaders. Topics covered include: internal dialogue for success; first impression and why how you dress matters; proper handshake and how to respond to improper handshakes from others (including the over- the-top move and the limp fish); tactics to build and maintain rapport for long term relationships. This session brings attendees the 'why' and 'how to' employ skills that are imperative to success for leaders, but are not commonly taught today. It bridges the gap between generations and is applicable to all leaders, in every face-to-face encounter. The skills taught are critical for building lasting relationships with key stakeholders: donors, coworkers, collaborators, members and more.</p> <p>Learning Objectives:</p> <ul style="list-style-type: none"> Attendees will learn how to establish themselves as leaders and have command presence. Attendees will learn how to build confidence and trust in under 10 seconds. Attendees will learn how to make their first impression a powerful point of impact. Attendees will learn techniques to build rapport and lasting relationships among diverse audiences and stakeholders.
Track General	<p>Morning Networking Break 10:05 am — 10:35 am</p>
Track I (IT)	<p>T102: Playing with Russian Nesting Dolls (or How to Identify the Crown Jewels) Time: 10:35 am—11:50 am Speaker: Edward McCabe</p> <p>Organizations large and small tend to struggle with how to identify their “Crown Jewels”. Is it credit card data? Is it Directory Services? Is it a system? Is it an application? Is it that database in the cloud? With the amount of data and information systems we have within the enterprise, this is can appear to be an overwhelming and herculean task. The concepts covered in this presentation may shatter some myths, redefine decade’s ideologies, probably upset someone, but it should also change your approach and thoughts regarding the topic of “Crown Jewels”. We will demonstrate a repeatable and defined approach for how you can conduct analysis, framing “Crown Jewels” into the proper context. This can then give you greater clarity and understanding into identifying your organization’s “Crown Jewels”.</p>
Track II (Fraud)	<p>T202: Forced Labor—A Case Study Time: 10:35 am—11:50 am Speaker: Michael Lever</p> <p>This presentation is designed to give participants a look inside the investigation and prosecution of those that have committed crimes that include sex trafficking, forced labor, computer hacking, visa fraud, wire fraud, and identity theft. This case study that will be presented is intended to bring you through the investigation from case opening to prosecution after a jury trial. The discussion will focus on the identification of these crimes, the investigative steps taken, and the importance of collaboration between investigative agencies.</p>

Tuesday May 23, 2023	Session Description
Track III (Audit/Leadership)	T301: Gain the Upper Hand: The science behind human connection (Continued) Time: 10:35 am—11:50 am Speakers: Matt Episcopo
Track General	Tuesday—Lunch 11:50 pm—1:05 pm
Track I (IT)	T103: Emerging Digital Trust Beyond Cybersecurity and Privacy Time: 1:05 pm—2:45 pm Speaker: Mark Thomas Digital trust is central to every digital interaction. In today’s highly competitive and digital environment, people are more connected than ever before. The Internet has brought more opportunity to exchange ideas and information within our neighborhoods and across the globe as well as enable every connected person to communications, goods and services that have never been available in the past. Customers can collaboratively research, and purchase goods online and receive them the same day. Technology works in the background to support these interactions and transactions between individuals, enterprises, and external parties. This presentation breaks down the definition, value, and foundations of digital trust to help learners better understand how technology fully impacts their daily lives and the enterprises they support. Learning Objectives: <ul style="list-style-type: none"> • Define digital trust. • Explain the value and impact of digital trust on various relationships. • Describe the role of ISACA’s domains in digital trust. • Summarize the foundations of Digital Trust Ecosystem Framework.
Track II (Fraud)	T203: Medicaid Provider Fraud 2023 & Beyond Time: 1:05 pm—2:45 pm Speaker: Paul J. Mahoney The Medicaid Fraud Control Unit has developed Data Analytics techniques that can substantially focus investigative resources and avoid reliance on traditional models that are reactive to incidents rather than trends. We will discuss the value of such approaches and apply some of those techniques in potential fraud contexts.
Track III (Audit/Leadership)	T302: Journey Towards Analytics & Automation Time: 1:05 pm—2:45 pm Speakers: Amber Crawford & Chris Kelly Data analytics is the future of auditing and accounting. Learn about the journey that the Division of State Audit, within the Tennessee Comptroller of the Treasury, has taken in implementing data analytics and automating work. We will also discuss the leadership, technical, and operational skills needed to start using more data analytics within your organization, as well as some of the lessons we have learned in our journey toward increasing our use of data analytics.
Track General	Afternoon Networking Break 2:45 pm — 3:15 pm
Track I (IT)	T103: Emerging Digital Trust Beyond Cybersecurity and Privacy (Continued) Time: 3:15 pm—4:30 pm Speaker: Mark Thomas

Tuesday May 23, 2023	Session Description
Track II (Fraud)	T203: Medicaid Provider Fraud 2023 & Beyond (Continued) Time: 3:15 pm—4:30 pm Speaker: Paul J. Mahoney
Track III (Audit/Leadership)	T302: Journey Towards Analytics & Automation (Continued) Time: 3:15 pm—4:30 pm Speakers: Amber Crawford & Chris Kelly
Wednesday May 24, 2023	Session Description
Track General	Registration & Continental Breakfast 7:30 am - 8:25 am
Track I (IT)	W101: Do No Harm: Ethical Crisis in Technology Time: 8:25 am—10:05 pm Speaker: Caren Shiozaki <p>Our personal lives have come to be dominated by technology. We shop from the comfort of our sofas; our houses are controlled by networked smart devices; we have endless ways to entertain ourselves; and we can even get medical care on our phones. But these benefits also come with concerns about everything from human behavior, to privacy and to the environment. As technology professionals, we create and manage technology. Thus we have an obligation to ensure that ethics are applied to better, and not harm, society.</p> <p>Learning Objectives:</p> <ul style="list-style-type: none"> • Understand fundamental concepts of ethics • Be able to think about ethics as it applies to technology • Gain a deeper understanding of cybersecurity ethical challenges
Track II (Fraud)	W201: Grant Fraud: Common Schemes and How to Spot Them Time: 8:25 am—10:05 pm Speaker: Pamela Van Dort <p>Understanding grant fraud has never been more important. Between pandemic, infrastructure, and other recent legislation more than \$1.4 trillion in federal grant funds is slated to be awarded above and beyond the more than \$1 trillion that is awarded each year as part of pre-existing grant programs. This session will provide an overview of federal grants, an examination of common grant fraud schemes using case examples, and the importance of considering all available remedies. We'll also discuss tips for proactively identifying fraud, including red flags and when to ask further questions. Tying this all together, we'll review and discuss a number of practical exercises.</p>

Wednesday

Session Description

May 24, 2023

Track III (Audit/
Leadership)

W301: Selling Audit Ideas & Influencing Management Action
Time: 8:25 am—10:05 pm
Speaker: John J. Hall

Too many times. Good ideas are presented in our findings. Management listens and nods. They smile and offer soft promises. And do nothing. Most of the responsibility is on them. But a healthy dose is on us. And since we can't change them, perhaps we should look at how we could develop, format and present findings 'better' to increase the all-important probability that action might result. In this session, we'll look hard at our own practices. We'll surface the barriers, speed bumps and habits we put in place that drive away management interest. We'll look at both the documents they see and the presentation behaviors they witness as we seek out opportunities to adjust, refine and improve the likelihood of capturing attention and influencing action.

Learning Objectives:

- Identify common barriers to pulling management towards action where it's needed
- Analyze where the format, charts, graphics, and other visuals of our findings either support or discourage management attention
- Discover exactly why the order in which information is presented is critical to paving the way towards corrective action
- Explore the power of Context Analysis, POV Preparation, Social Proof, Low-Risk Field Testing, and Scripting
- Recognize the few simple but high-leverage adjustments we all need to make to be heard and followed

Track General

Morning Networking Break
10:05 am — 10:35 am

Track I (IT)

W101: Do No Harm: Ethical Crisis in Technology (Continued)
Time: 10:35 am—11:50 am
Speaker: Caren Shiozaki

Track II (Fraud)

W201: Grant Fraud: Common Schemes and How to Spot Them (Continued)
Time: 10:35 am—11:50 am
Speaker: Pamela Van Dort

Track III (Audit/
Leadership)

W302: Barriers to Audit Skepticism
Time: 10:35 am—11:50 am
Speaker: John J. Hall

We're all skeptical. Skeptical of promises by business leaders and politicians. Skeptical about new products or services we see advertised. Skeptical that business suppliers and contractors will deliver as promised. Even skeptical that others in our lives will keep their word. But what does the concept of skepticism actually mean – and why, how and when does it apply to our work. In this session, we'll try to bring precision and clarity to the concept of 'professional skepticism' in the business world. We'll help you define what skepticism is and what it isn't. Then we'll cover everyday examples of when and how to apply skepticism in our work. Come prepared to share your thoughts and experiences on this important topic.

Learning Objectives:

- Explore what we mean when we refer to 'skepticism'
- Identify when and how to act skeptically when reviewing business transactions, relationships and commitments
- Recognize the important differences between 'believing' and 'doubting'
- Build stronger daily 'skepticism habits' and muscle memory that should kick in automatically at the moment of transaction review
- Discover the power of 'How do I know?'

Wednesday May 24, 2023	Session Description
Track General	Wednesday—Lunch & Awards 11:50 am—1:05 pm
Track I (IT)	<p>W102: Threat Landscape & Case Studies Time: 1:05 pm—2:45 pm Speaker: Samantha Baltzersen</p> <p>The cyber threat landscape is constantly evolving as attackers change their tactics, techniques, and procedures to overcome cyber defenses. Learn about the latest developments in cyber threats and how they are targeting organizations like yours.</p>
Track II (Fraud)	<p>W202: Now, Next, Beyond – Fighting Fraud in Government Programs Time: 1:05 pm—2:45 pm Speaker: Taylor Larimore</p> <p>Fraud is a constantly evolving threat to government programs. Meanwhile, overseeing federal grant and benefits programs is an increasingly complex, resource intensive process that requires agencies to balance multiple competing priorities – for example, reducing fraud, waste, and abuse while ensuring equity and access. Additionally, federal and state agencies face challenges that limit data sharing and collaboration to share information about emerging fraud threats and actors. This session will discuss common challenges associated with fraud risk management and explore leading practices, tools, and techniques for effective program oversight focusing on fraud prevention and detection.</p> <p>Learning Objectives:</p> <ul style="list-style-type: none"> • Understand the challenges associated with fraud prevention and detection • Understand the tradeoffs between effective fraud risk management, equity, and access • Describe leading practices, tools, and techniques for fraud prevention in government programs
Track III (Audit/ Leadership)	<p>W303: Where Ethics, Integrity & Audit Intersect Time: 1:05 pm—2:45 pm Speaker: John J. Hall</p> <p>In this session, we'll take a deep breath and realistic unfiltered look at where organizations are on business and government ethics & integrity as we emerge into the confusing post-Covid model. We'll examine the real-world challenges right on the doorstep for audit, compliance, fraud prevention and management leadership in 2023 and beyond. Minimal theory; maximum action takeaways for all participants regardless of job position, functional department, industry or location.</p> <p>Learning Objectives:</p> <ul style="list-style-type: none"> • Explore what's going on right now in business ethics, integrity and compliance initiatives • Analyze gaps in your own and client environments • Examine what needs to be done to close identified gaps in pro-ethics efforts • Understand the power of meaningful relevant 'ethics skills training' for every employee and business partner • Build your own ethics and integrity action checklist for 2023 and beyond
Track General	Afternoon Networking Break 2:45 pm — 3:15 pm
Track I (IT)	<p>W103: CIS Critical Security Controls Time: 3:15 pm—4:30 pm Speaker: Valecia Stocchetti</p> <p>In general, many cyber-attacks can be attributed to a lack of good cyber hygiene. Study after study, and test after test gives us the same depressing result. Almost all successful attacks take advantage of conditions that could reasonably be described as “poor hygiene.” These are things like a failure to patch systems, poor configuration management, or misappropriation of administrative privileges. At CIS, we attribute these failures primarily to the complexity of modern systems management, as well as a noisy and</p>

Wednesday

Session Description

May 24, 2023

Track I (IT)

W103: CIS Critical Security Controls (Continued)

Time: 3:15 pm—4:30 pm

Speaker: Valecia Stocchetti

Defenders are overwhelmed. Therefore, any large-scale security improvement program needs a way to bring focus and attention to the most effective and fundamental things that need to be done.

The CIS Critical Security Controls® (CIS Controls®) are a prioritized set of actions which collectively form a defense-in-depth set of best practices that mitigate the most common attacks against systems and networks. They are developed by a community of information technology (IT) experts who apply their first-hand experience as cyber defenders to create these globally accepted security best practices. There are 153 Safeguards that are organized into 18 top-level Controls. These include activities such as taking inventory of devices and software, data management, and establishing secure configurations, to name a few.

Join us as we discuss what the CIS Critical Security Controls are, why they are important, and how realistic and cost effective it can be to achieve essential cyber hygiene (IG1).

Track II (Fraud)

W203: Up to the Challenge: Addressing Fraud Risk in Government Benefit Programs

Time: 3:15 pm—4:30 pm

Speakers: James Ruotolo, Randa Abdelhamid, Tanya LeClair

TBD

**Track III (Audit/
Leadership)**

W304: High Impact Auditing Practices

Time: 3:15 pm—4:30 pm

Speaker: John J. Hall

In general, many cyber-attacks can be attributed to a lack of good cyber hygiene. Study after study, and test after test gives us the same ‘Impact’ is about educating, moving the feelings and hopefully prompting change where it’s needed. Each year we prepare and deliver a ‘state of the profession’ review of what’s new on the auditing scene, what still works from the past, and the gaps we all need to close to deliver our services confidently in the months and years ahead – all with the intent of delivering in our work better than ever before. Come prepared to explore 10 High Impact Auditing Practices to use on every audit (and management!) project every day. And leave with a checklist of action items for tailoring and implementation this year and beyond.

Learning Objectives:

- Explore 10 field-tested, proven high-impact actions to consider for every project
- Understand how to take ‘better auditing’ practices and apply them to any area of management
- Discover relevant and motivating measures of audit effectiveness and impact
- Recognize the technical and behavioral actions needed to create measurable positive results – on every project
- Identify and build a tailored action plan to enhance your own results

Track General

*We hope you enjoyed TCTC 2023.
We appreciate your time and hope to
see you at our next event!*



SPEAKER BIOS

Photo Coming
Soon

Randa Abdelhamid
Guidehouse

Photo Coming
Soon

SSA Samantha Baltzersen
FBI

Supervisory Special Agent Samantha Baltzersen began her career with the FBI in 2004 in the New York Field Office. She worked as a digital forensic examiner on the Computer Analysis Response Team for seven years in the New York, Washington, and Portland Field Offices. SSA Baltzersen has worked both criminal and national security cyber investigations since 2013. In June 2020, she became the supervisor of the Albany Field Office Cyber Squad and Computer Analysis Response Team. She holds several GIAC certifications in cyber security, digital forensics, incident response, and reverse engineering of malware including the GSEC, GCFE, GCFA, GCIA, GCIH, GCED, GCCC, GASF, GPEN, and GREM certifications.



Amber Crawford
Tennessee Comptroller

Amber Crawford is an Assistant Director for the Tennessee Comptroller of the Treasury, Division of State Audit. The division performs financial, performance, and single audits of state level entities as well as examination and rate setting work for the Medicaid program. In her role, Ms. Crawford focuses on training, change management, culture development, and serves as a strategic leader for the division's new Audit Data Analytics team. Ms. Crawford spent nine years leading Human Resources teams for the Tennessee Department of Labor and Workforce Development and the Comptroller's Office before transitioning to State Audit in 2019. She holds a Senior Professional in Human Resources certification, a Bachelor of Business Administration in Management and Human Resources from Middle Tennessee State University, a Bachelor of Science in Accounting from Western Governors University, and a Master of Business Administration from Western Governors University.



Matt Episcopo

Matt Episcopo has redefined the formula for personal power, leadership and business success. Matt knows that the real way to become effective is to build credibility, trust and solid relationships. When we establish trust and credibility, others will say "Yes" to our ideas, products and services. His efforts have helped companies around the world improve their leadership, customer retention and employee engagement. From start-ups to Fortune 500's,

Matt is widely recognized as an energizing and engaging presenter. He is author of the books "How to Gain the Upper Hand, P.O.W.E.R, Tactics that Get Leaders Results." Real life experience and results are what fuels this relationship-generating powerhouse. A Medal of Honor recipient with more than 21 years of law enforcement experience, Matt will teach you how to engage with virtually anyone.

Matt's presentations have been given in Dubai, Singapore, Thailand, Malaysia, Canada, Aruba, Bahamas, as well as across the United States.

SPEAKER BIOS

Photo Coming
Soon

Eugene Hagan
FBI New York Office

Special Agent (SA) Hagan is currently assigned to the FBI – HVRA (Hudson Valley White Collar Crime Task Force), he was a founding member of the task force and has worked on the task force for 11 years. Prior to working on the task force, SA Hagan spent six years working on the FBI – New York Office Healthcare Fraud Task Force. SA Hagan primarily focuses on cases involving complex financial crimes, money laundering, public corruption, election fraud, and healthcare fraud.



John J. Hall
Hall Consulting, Inc.

John J. Hall, CPA, has worked as an auditor, professional speaker, consultant and author for over 45 long, long years. But he still LOVES the work.

John builds and delivers live and virtual keynote presentations, skills training seminars, Webinars and in-person conference presentations for auditors, management groups, CPAs, Boards, and professional associations – over 3,000 live presentations to date. John is best known for bringing practical, proven, efficient solutions to real-world business challenges (including especially fraud risks!!!) faced by clients and program participants.

John is the founder and President of Hall Consulting, Inc. In addition to 30-plus years as a self-employed speaker, auditor and consultant, John has worked in senior leadership positions in large corporations and international public accounting and consulting firms. He's a member of the National Speakers Association, the American Institute of CPAs, and the Institute of Internal Auditors.

Meet John at www.JohnHallSpeaker.com
Email John at John@JohnHallSpeaker.com

For information on tailored in-house virtual or live in person presentations for your employees, clients or conferences, just call John at 312-560-9931 to kick around ideas.



Chris Kelly
Tennessee Comptroller of the Treasury, Division of State Audit

Chris Kelly is the Legislative Audit Data Analytics Manager for the Tennessee Comptroller of the Treasury, Division of State Audit. He oversees State Audit's Audit Data Analytics team, created in the summer of 2022, which helps audit teams incorporate data analytics into their audits, automate audit work, and increase the use of visualizations throughout audit reports. Prior to becoming State Audit's Data Analytics Manager, he spent eight years as a staff and in-charge auditor performing single audits and performance audits at the Tennessee Comptroller of the Treasury. Chris holds a Bachelor of Business Administration in Accounting and a Master of Science in Computer Science and Quantitative Methods, both from Austin Peay State University, as well as his CPA license.

Lucy Lang
New York State Inspector General

New York State Inspector General Lucy Lang is a lifelong New Yorker, attorney, and educator. Prior to her appointment as New York State's 11th Inspector General in October 2021, Lang served as Director of the Institute for Innovation in Prosecution (IIP), a national criminal justice reform think tank. She also served as an Assistant District Attorney in Manhattan, where

SPEAKER BIOS



she investigated and prosecuted violent crimes including homicides, gun violence, and domestic abuse, as well as Special Counsel for Policy and Projects. Lang brings her longstanding commitment to justice to bear in the Office's investigations into corruption, waste, fraud, abuse, and misconduct in state government as well as deep experience designing and implementing practices that promote institutional integrity, transparency, and accountability.

While at the Manhattan District Attorney's Office and in collaboration with Columbia University's Center for Justice, IG Lang created Inside Criminal Justice, a first-of-its-kind college class for incarcerated students and prosecutors to study criminal justice together and develop policy proposals to better the system for all involved. This is a national model being replicated by other District Attorney's offices and correctional facilities across the country. Lang has been widely recognized for her

work, including receiving the Elizabeth Hurlock Beckman Award from the American Psychological Association in 2020 as an educator who inspires her students in prison to make a difference in their communities.

Inspector General Lang is currently Vice Chair of the American Bar Association Criminal Justice Section, a member of the Council on Criminal Justice, and a Term Member of the Council on Foreign Relations. She has been published in *The New York Times*, *The Atlantic*, the *New York Daily News*, and many others. Lang is the author of *March On!*, a children's book about the 1915 Women's March for Suffrage in New York City which encourages the next generation of courageous and thoughtful leaders.

Inspector General Lang is a graduate of Swarthmore College and Columbia Law School, where she was the Editor-in-Chief of the *Journal of Gender and Law* and has served as a Lecturer-in-Law.

She was appointed to and served on the New York State Bar Association Task Forces on Racial Injustice and Police Reform in 2020-21, and on Racism, Social Equity, and the Law in 2021-22.



Taylor Larimore
EY

Taylor is a senior manager with Ernst & Young LLP. He currently supports the Forensic & Integrity Services US practice and is responsible for bringing the full depth of forensic and integrity services to our US government clients.

Taylor has more than 12 years of experience focusing on program integrity and fraud prevention and detection. He specializes in helping government agencies enhance their anti-fraud programs to align with leading practices, including conducting fraud risk assessments, applying data analytics, conducting investigations, and developing and implementing enterprise-level anti-fraud strategies in complex environments.

Prior to joining EY US, Taylor spent 11 years with another global accounting and consulting firm where he led a practice focused on proactive fraud risk mitigation for government agencies.

Taylor holds a BA in Economics from Wabash College and Master of Public Affairs from Indiana University. He is an active member of the Association of Certified Fraud Examiners (ACFE) and Association of Government Accountants (AGA). He currently serves on the board of directors for the ACFE Washington DC Chapter as an Associate Director at Large and Membership Committee Co-Chair.

SPEAKER BIOS

Photo Coming Soon

Tanya LeClair
Guidehouse

Photo Coming Soon

Michael Lever
FBI New York Office

Special Agent (SA) Lever currently works at FBI New York Office – Hudson Valley Resident Agency on the White Collar Crime Task Force. SA Lever has worked for the White Collar Crime Task Force for the past two years. He primarily focuses on cases involving complex financial crimes, money laundering, public corruption, human trafficking, and civil rights. SA Lever previously worked for five years at the FBI New York Office on the Civil Rights/Public Corruption Squad where he investigated matters involving human trafficking, forced labor, civil rights, and international human rights.

Photo Coming Soon

Paul J Mahoney
New York State Attorney General

Paul J. Mahoney is an Assistant Deputy Attorney General in the Office of the New York State Attorney General Letitia James and, under the Director of the New York Medicaid Fraud Control Unit, supervises 50 attorneys and over 200 other staffers investigating and prosecuting criminal and civil fraud and abuse by healthcare providers in the \$75 billion-per-year New York Medicaid program. Paul was previously Chief of the Civil Enforcement Division of the Medicaid Fraud Control Unit. He has twice been awarded the Louis J. Lefkowitz Memorial Award for outstanding performance by an assistant attorney general.

From 1997 to 2004, Paul served as an Assistant District Attorney, later Senior Investigative Counsel, in the Frauds Bureau of New York County District Attorney Robert M. Morgenthau. His major prosecutions included a seven-month trial of a securities firm and its principals, several other securities fraud operations, and numerous other banking, accounting, and financial fraud investigations and prosecutions. Before joining the District Attorney's Office, Paul Mahoney was a litigation associate for seven years at Paul, Weiss, Rifkind, Wharton & Garrison in New York City with extensive experience in securities litigation, advertising and unfair trade practices, and products liability, and was recognized for pro bono work by the Legal Aid Society.

Paul Mahoney is a graduate of Cornell Law School and Williams College.

Edward McCabe

Edward McCabe is an active information security professional. An active practitioner, mentor, and instructor with over 30 years' experience with Fortune 100 companies, various domestic state, federal and foreign government agencies. Industries supported include Financial Services, Defense Industrial Base, Retail, Electric Power Transmission & Distribution, Hospitality, Legal Services, Payment Processing, Health Care, Construction, Insurance, Technology, Aviation, and Media. Edward is often invited to present and speak on a variety of topics including Social Engineering, Incident

SPEAKER BIOS



Edward McCabe (*Continued*)

Response & Digital Forensics, Threat Intelligence Analysis & Management, Practical Security Data Visualization, Advanced Attack & Penetration Testing, IT Audit Development, Enterprise Governance & Risk Management, and Adopting Information Security as part of Corporate Culture.

Venues have included: the ISACA Computer Audit, Control and Security (CACS) Summit, ISACA Cyber Security Nexus (CSX), ISACA Atlanta Geek Week, Central Ohio Information Security Summit, various Security BSides, (ISC)2 eSymposiums, the Health Information Management Summit, Data Management (DAMA) International, CircleCityCon, and DerbyCon. Edward receives invitations to speak

with specific industries and organizations around the globe. A veteran of the United States Navy, Edward holds various ISACA certifications, including the Governance of Enterprise Information Technology (CGEIT), Risk and Information Systems Controls (CRISC), Information Security Manager CISM), Data Privacy Solutions Engineer (CDPSE) and COBIT, in addition to being an ISO/IEC 27001 Lead Implementer, SABSA/Business Security Architect, and a recovering Qualified Security Assessor (PCI QSA).

Edward is an accredited ISACA instructor for the CISM, CRISC, CGEIT and COBIT certification paths, as well as co-authoring the Body of Knowledge Review Manuals for the CGEIT, CRISC and CISM certifications and lead on ISACA's Ransomware Readiness Audit Program. Additionally Edward develops and delivers custom trainings on a wide range of courses from Analysis of Competing Hypotheses to Zero Trust (one could say topics range from A to Z ☐) Edward is a seasoned management consultant who is focused on providing risk driven security solutions, with the goal of addressing core business needs relating to governance, risk and compliance requirements while striving to reduce overspending on the technology used to meet those objectives. Edward is responsible for the delivery of a variety of business and technology information security services. Edward's specialties include enterprise Governance, Risk Management, Compliance, Incident Response, Threat Intelligence Program Management, and Advanced Adversarial Attack & Penetration Testing.



Scott Nemeroff
EY

Scott Nemeroff is an Executive Director in EY's Forensic and Integrity Services practice. He serves on the practices Government and Public Sector Leadership team as the Federal Government Sector Leader. He is responsible for bringing the full suite of Forensic and Integrity service offerings to Public Sector clients.

Scott has more than 15 years of experience fighting fraud and public corruption. He specializes in conducting investigations in to matters of fraud, waste and abuse and developing corrective action plans to enhance his client's ability prevent similar matters in the future. Scott has built on his investigations experience by developing innovative methods to proactively prevent and detect fraud and corruption risk before a crisis arises for his clients.

During his career, Scott has been involved in more than 70 forensic accounting investigations which included some the world's most well-known acts of fraud in modern times and several of the largest regulatory fines on record. Prior to joining EY, Scott spent 12 years at another global accounting and consulting firm where he led a practice focused on Anti-bribery/Anti-corruption and white-collar crime investigations.

Scott holds a BS in Finance, Insurance and Business Law from Virginia Tech. He is active member of the Association of Certified Fraud Examiners and Institute of Internal Auditors. He also serves the Washington D.C. Community as a Board Member of DC Legal Aid.

SPEAKER BIOS



James Ruotolo
Guidehouse



Abby Schwartz
Grant Thornton

Abby is a Senior Associate on the Innovative Growth Solutions Team, a division of Grant Thornton's National Office. As a member of the Audit Innovation team, Abby utilizes her audit experience to support automations and data analytic tools that help improve the effectiveness and efficiency of the firm's public audit engagements.

Abby participated in two summer Audit Internships with Grant Thornton prior to beginning full-time with the firm following graduation from Bentley University. Abby began her career as a member of the firm's audit practice, primarily focusing on the manufacturing industry for public and private audits. Abby gained significant experience coordinating and supervising substantive and internal control test procedures with a focus on the inventory cycles. Abby transitioned to the Innovative Growth Solutions team in January 2023.



Caren Shiozaki
Fortium Partners

Caren Shiozaki is a partner with Fortium Partners, the leading provider of C-Suite technology leadership. With 18 years experience in C-Suite roles for Fortune 1000 companies, she brings her expertise in CIO, CISO, COO and board advisory roles to Fortium's clients.

As an experienced advisor, Caren establishes governance programs for clients; assesses their digital risk profiles and develops mitigation plans; and provides board/C-Suite guidance on ESG strategies and operations. She's led litigation support initiatives, performed forensic data analysis and has been called upon to provide expert witness testimony. She organized and led crisis risk management teams for several organizations in response to the global pandemic and developed post-pandemic emergence plans.

Caren has served as an advisor to venture capital groups, and served on the board of a startup technology company.

Caren holds a Bachelor of Arts in Genetics from the University of California, Berkeley. A Certified e-Discovery Professional (ACEDS), she also holds professional certifications in the Governance of Enterprise Information Technology and Data Privacy Solutions Engineering (ISACA), and in business ethics and compliance (ECI). Caren served as a Senior Research Fellow at DivIHN Integration, where she provided expertise on digital risk strategy, cyber security, M&A due diligence, and business ethics.

SPEAKER BIOS



Valecia Stocchetti
Center for Internet Security

Valecia Stocchetti is a Sr. Cybersecurity Engineer for the CIS Controls at the Center for Internet Security. Valecia comes to CIS from the eCommerce field where she worked complex financial fraud cases. She is a graduate from the University of Albany with a degree in Digital Forensics. Prior to joining the CIS Controls team, Valecia worked in the MS/EI-ISAC Computer Incident Response Team (CIRT), where she managed CIRT and spearheaded multiple forensic investigations and incident response engagements for the MS/EI-ISAC SLTT community.

In her current role, she works with various attack models and data, including the MITRE ATT&CK framework, to help validate and prioritize the CIS Controls. Valecia holds many certifications, including GIAC Certified Forensic Examiner (GCFE), GIAC Certified Forensic Analyst (GCFA), and GIAC Security Essentials Certification (GSEC). While she enjoys all things InfoSec, she particularly finds the cybercrime and espionage fields fascinating, which is what led her to this career in the first place.



Mark Thomas

Mark is an internationally known Governance, Risk and Compliance expert specializing in information assurance, IT strategy and service management. With over 28 years of professional experience Mark has a wide array of industry experience including government, health care, finance and banking, manufacturing, and technology services. He has held roles spanning from CIO to IT consulting and is considered a thought leader in frameworks such as COBIT, NIST, ITIL and multiple ISO standards. Mark routinely speaks at US and international conferences and earned the ISACA John Kuyers award twice for Best Speaker/Conference contributor. Mark also holds the CGEIT, CRISC and CDPSE certifications.



Matt Van Buren
Grant Thornton

Matt is a Senior Manager in the Audit Methodology and Standards Group, where he works as a member of the firm's Audit Innovation team on the development and implementation of automations and analytics for use on external audit engagements. Matt also assists with Grant Thornton's participation on various external task forces focused on the use of technology and innovation by public accounting firms.

Matt joined Grant Thornton immediately after graduating from Villanova University, and over the first six years of his career participated on audits of accelerated SEC filers, non-accelerated SEC filers, and private companies, with a concentration in manufacturing and consumer & industrial clientele. In particular, Matt developed expertise in processes and controls relating to inventory and cost accounting. For the past four years, Matt has helped to lead implementation of firm-wide audit tools including ideation, user acceptance testing, developing documentation and processes, and direct support of end users post launch. He has also developed various automations and analytics utilizing regression and other statistical approaches.

Pamela Van Dort
Office of Inspector General U.S. Department of Housing and Urban Development

Pamela Van Dort currently serves as Senior Advisor to the Assistant Inspector General for Investigation at the U.S. Department of Housing and Urban Development Office of Inspector General. In this role, she advises the Office of Investigation on strategy, operations, policy development, training, and a variety of special projects. She previously

SPEAKER BIOS



Pamela Van Dort (*Continued*)

served as the Director of Grant Oversight Strategy at HUD OIG, where she worked closely with audits, investigations, and evaluations as well as other stakeholders to improve the efficiency and effectiveness of HUD OIG's grant oversight work. She regularly presents to the federal grantee community, government agencies, and other stakeholders on best practices, red flags, and proactive investigations. Pamela co-facilitates the interagency Grant Fraud Working Group, which is chaired by Department of Justice Inspector General Michael Horowitz.

Prior to joining HUD OIG, Pamela served as a Senior Investigative Attorney at the National Science Foundation, Office of Inspector General, Office of Investigations where she spent more than a decade working collaboratively with special agents, prosecutors, and forensic auditors to investigate allegations of civil, criminal, and administrative fraud, waste, and abuse related to NSF and other federal programs. She has been recognized by the Council of the Inspectors General on Integrity and Efficiency (CIGIE) and multiple U.S. Attorney's Offices for outstanding work on civil and criminal prosecutions. Previously, Pamela spent time in private practice focused on complex business litigation. She received her Juris Doctor degree from The George Washington University Law School and a Bachelor of Arts degree from Hope College in Michigan. She is a Certified Fraud Examiner.



Len Vona
Fraud Auditing, Inc.

Leonard W. Vona is the CEO of Fraud Auditing. He is a forensic accountant with more than 40 years of diversified auditing and forensic accounting experience, including a distinguished 18-year private industry career. His firm advises clients in areas of litigation support, fraud risk, fraud data analytics and fraud auditing.

Mr. Vona is the author of three books published by Wiley, *Fraud Risk Assessment: Building a Fraud Audit Program* and *The Fraud Audit: Responding to the Risk of Fraud in Core Business Systems*, *Fraud Data Analytics Methodology: The Fraud Scenario Approach to Uncovering Fraud*. Wiley has referred to Mr. Vona as a worldwide renowned authority on internal fraud risk. Mr. Vona lectures nationally and internationally on fraud risk assessments, fraud investigation, fraud prevention and detection, and fraud



Omid Yazdi
KPMG

Omid is a senior partner in KPMG's Risk Services practice. His responsibilities include leading a national risk analytics specialist team and serves as a senior member of KPMG's forensic practice. Omid has over 30 years of international experience assisting KPMG's clients with assessments, forensic services, data analytics, litigation support, internal audit services, financial statement audits, and risk management related services. A significant part of his career was spent serving State and Local entities including single audits and providing recommendations on people, process and technology. Omid has also provided in-depth presentations to Audit Committees, Board of Directors, and the Securities Exchange Commission. Omid is a CPA and a Certified Fraud Examiner.



Derek Zielinski
KPMG

Derek is a certified Project Management Professional in KPMG's Forensics Network with over nine years of experience serving Government clients in conducting audits under Government Auditing Standards. He served as the engagement lead for multiple engagements to support state governments in their efforts to comply with federal and state regulations and streamline audit processes.

REGISTRATION FORM

1. Fill in the information below

Name (Mr., Mrs., Ms., Miss) _____
(Last) (First) (Middle)

Title/Position Company/Agency _____
 Address _____
 City _____ State/Province _____ Zip/Postal Code Country _____
 Phone _____ Fax _____ Name for Badge ID _____
 e-mail Address _____

2. Circle your choices (no more than one session per time period please)

Track	Monday—May 22, 2023				Tuesday—May 23, 2023				Wednesday—May 24, 2023			
	Early A.M.	Late A.M.	Early P.M.	Late P.M.	Early A.M.	Late A.M.	Early P.M.	Late P.M.	Early A.M.	Late A.M.	Early P.M.	Late P.M.
I	M101		M102		T101	T102	T103		W101		W102	W103
II	M201		M202		T201	T202	T203		W201		W202	W203
III	M301	M302	M303	M304	T301		T302		W301	W302	W303	W304

3. Registration Fees

**Seating is Limited -
Register Early!
Register and Pay by 4/21/2023
to receive an "Early Bird"
Discount!**

Fee Schedule	Registration Fees Postmarked			
	By April 21, 2023		After April 21, 2023	
	Member*	Non-Member	Member*	Non-Member
One Day	\$160	\$235	\$185	\$260
Two Days	\$285	\$410	\$335	\$460
Three Days	\$360	\$535	\$460	\$635

*AGA member? Yes No *IIA member? Yes No
 *ISACA member? Yes No *CPA? Yes No

Group Discounts: The following discounts are available to groups. The rates for members and non-members noted above will still apply. Early registration is encouraged to ensure availability. A full-time equivalent (FTE) constitutes three days of training. For example, one FTE can be broken down into one person attending for three days, three people attending for one day each, or any other combination. Discounts for groups are as follows:

50 or more FTEs, 20%; 20 to 49 FTEs, 15%; 10-19 FTEs, 10%, and 5 to 9 FTEs, 5%.

4. Indicate Method of Payment

- Check enclosed payable to TCTC in the amount of \$_____. Please check if group payment
- Government Voucher or Purchase Order enclosed for the amount of \$_____ (Payee ID 13-3418345). Enrolling individuals or the enrolling agency are liable for payment after the cancellation date of **May 12, 2023**.
- If you require an invoice, contact Mary Peck at mepeck@usa.net or (518) 281-8638.

REGISTRATION FORM

5. Registration

Make your completed registration and payment to:

TCTC
c/o Hudson Valley ISACA
PO Box 1458
Albany, NY 12201-1458

6. Cancellation Policy

Cancellations received prior to **May 12, 2023** will be fully refundable. Substitutions can be requested at any time up until the time of the conference. Substitutions of a non-member for a member will result in the additional non-member fees being charged. Individuals who do not cancel before May 12, 2023 are not eligible for a refund.

7. Hotel Reservations

Hotel reservations can be made by calling the Albany Marriott at (518) 458-8444.
Conference attendees should make all reservations at the Government rate (GSA) of \$114.00

8. Lunch Options

Monday—Wednesday

Buffet Selections include Soup & Sandwich Bar

- Chef's Soup of the Day with a selection of freshly sliced deli meats and cheese
- A selection of sides



TCTC CHARITY



About

The Bernard & Millie Duker Children's Hospital at Albany Medical Center, the region's only children's hospital, is a 125-bed facility, officially established in 1986, devoted exclusively to the medical needs of infants, children and adolescents. It is the referral center for all seriously ill and injured children from 25 counties in upstate New York and western New England. It is staffed by over 140 physicians trained in 40 subspecialties and more than 400 pediatric nurses, therapists, social workers, child-life specialists and others who provide the unique care needed by children and teens.

Our region's only:

- **Children's Hospital.** Each year there are 132,000 visits by sick and injured children to the Bernard & Millie Duker Children's Hospital at Albany Med, and most live in this region.
- **Referral Center.** Hospitals and doctors' offices send seriously ill and injured children from 25 counties in northeastern New York and western New England to the Bernard & Millie Duker Children's Hospital at Albany Med.
- **Highest Level Neonatal Intensive Care Unit (NICU).** Doctors and nurses in the NICU care for approximately 800 babies each year, including those born prematurely, those with birth defects, breathing problems, and other serious illnesses.
- **Pediatric Intensive Care Unit (PICU).** The high-level PICU stands ready 24/7/365 to take care of children and teens who are critically injured or critically ill—1,000 a year.
- **Childhood Cancer Program.** Doctors and nurses at The Melodies Center help more than 1,300 kids with cancer and blood illnesses annually.

**HELP US RAISE SOME MONEY
PURCHASE A RAFFLE TICKET !**

All proceeds go to the Bernard & Millie Duker Children's Hospital



TCTC 2023 GRID



THE CONFERENCE THAT COUNTS TCTC 2023 PROGRAM GRID



	Track 1 (IT)	Track 2 (Fraud/Ethics)	Track 3 (Audit/Leadership)
Monday, May 22, 2023			
7:30-8:25	Registration and Continental Breakfast		
8:25-10:05	Session M101 Risk Based Assurance in a High Velocity Environment <i>Mark Thomas</i>	Session M201 Who Let the Watchdogs Out – Techniques & Tools from The NYS Inspector General <i>NYS Inspector General Lucy Lang</i>	Session M301 Critical Thinking and Data Analytics <i>Omid Yazdi</i> <i>KPMG</i>
10:05-10:35	Morning Break		
10:35-11:50	Session M101 Continued <i>Mark Thomas</i>	Session M201 Continued	Session M302 Re-imagining State and Local Audit Organizations <i>Omid Yazdi / Derek Zielinski</i>
11:50 – 1:05	Lunch		
1:05 -2:45	Session M102 Zero to Hero - Digital Transformation <i>Edward McCabe</i>	Session M202 Fraud Risk Universe in Your Organization <i>Len Vona</i>	Session M303 Audit of the Future <i>Matt Van Buren / Abby Schwartz</i>
2:45-3:15	Afternoon Break		
3:15-4:30	Session M102 Continued	Session M202 Continued	Session M304 Making the Most of Analytics and Technology <i>Matt Van Buren / Abby Schwartz</i>
Tuesday, May 23, 2023			
7:30 – 8:25	Registration and Continental Breakfast		
8:25-10:05	Session T101 Hacking Carbon: No Tech, No Problem <i>Edward McCabe</i>	Session T201 Healthcare Fraud 101 - Dolson Avenue Medical Case Study <i>FBI SA Eugene Hagan</i>	Session T301 Gain the Upper Hand: The Science Behind Human Connection <i>Matt Episcopo</i>
10:05-10:35	Morning Break		
10:35-11:50	Session T102 Playing with Russian Nesting Dolls (or How to Identify the Crown Jewels) <i>Edward McCabe</i>	Session T202 Forced Labor Case Study <i>FBI SA Michael Lever</i>	Session T301 Continued
11:50 – 1:05	Lunch		
1:05 -2:45	Session T103 Emerging Digital Trust Beyond Cybersecurity and Privacy <i>Mark Thomas</i>	Session T203 Medicaid Provider Fraud 2023 & Beyond <i>Paul J. Mahoney</i>	Session T302 Journey Towards Analytics & Automation <i>Amber Crawford; Chris Kelly</i>
2:45-3:15	Afternoon Break		
3:15-4:30	Session T103 Continued	Session T203 Continued	Session T302 Continued
Wednesday, May 24, 2023			
7:30-8:25	Registration and Continental Breakfast		
8:25-10:05	Session W101 Do No Harm: Ethical Crisis in Technology <i>Caren Shiozaki</i>	Session W201 Grant Fraud: Common Schemes and How to Spot Them <i>Pamela Van Dort</i>	Session W301 Selling Audit Ideas & Influencing Management Action <i>John Hall</i>
10:05-10:35	Morning Break		
10:35-11:50	Session W101 Continued	Session W201 Continued	Session W302 Barriers to Audit Skepticism <i>John Hall</i>
11:50 – 1:05	Lunch		
1:05 -2:45	Session W102 Threat Landscape and Case Studies <i>Samantha Baltzersen (FBI)</i>	Session W202 Now, Next, Beyond – Fighting Fraud in Government Programs <i>Taylor Larimore / Scott</i>	Session W303 Where Ethics, Integrity & Audit Intersect <i>John Hall</i>
2:45-3:15	Afternoon Break		
3:15-4:30	Session W103 CIS Critical Security Controls <i>Valecia Stocchetti</i>	Session W203 TBD	Session W304 10 High Impact Auditing Practices <i>John Hall</i>