

IIA Houston Chapter's 2021 Annual Conference
April 12-14, 2021

Day 1 Monday, April 12, 2021	
7:30 - 8:00 AM	EXHIBIT HALL
8:00 - 8:10 AM	Welcome – Chairperson Deanna Sullivan
8:10 - 9:00 AM	Reimagining Resilience – Jenitha John, Chair of The Institute of Internal Auditors Global Board of Directors
9:00 - 9:05 AM	BREAK
9:05 - 9:55 AM	Agile Internal Auditing: A Journey to Customer-Centered, Value-Driven and Responsive Auditing – Anand Bhakta
9:55 - 10:15 AM	EXHIBIT HALL
10:15 - 11:05 AM	Digitalizing the World of Assurance – Carla Hodge and Vivek Sinha
11:05 - 11:10 AM	BREAK
11:10 – Noon	Winning Through Influence and Connectivity – Ed Robinson
Noon - 12:30 PM	EXHIBIT HALL
Day 2 Tuesday, April 13, 2021	
7:30 - 8:00 AM	EXHIBIT HALL
8:00 - 8:05 AM	Opening – Chairperson Deanna Sullivan
8:05 - 8:55 AM	Catch Me If You Can – Frank Abagnale
8:55 - 9:00 AM	BREAK
9:00 - 9:50 AM	SOX Modernization – Patty Salkin
9:50 - 10:15 AM	EXHIBIT HALL
10:15 - 11:05 AM	Strengthening with Continuous Controls Monitoring – Brian Yarbrough
11:05 - 11:10 AM	BREAK
11:10 - Noon	From Covid-19 to Solar Winds: Cybersecurity Risks to Compliance Have Never Been Higher – Larry Harrington and Brian Tremblay
Noon - 12:30 PM	EXHIBIT HALL
Day 3 Wednesday, April 14, 2021	
7:30 - 8:00 AM	EXHIBIT HALL
8:00 - 8:05 AM	Opening – Chairperson Deanna Sullivan
8:05 - 8:55 AM	Hacking the ROCKSTAR ATTITUDE – Mark Schulman
8:55 - 9:00 AM	BREAK
9:00 - 9:50 AM	How COSO Guidance Impacts Internal Auditors in a Transformational World – Paul Sobel
9:50 - 10:10 AM	EXHIBIT HALL
10:10 - 11:00 AM	Blurring the Lines: The Impact of Social Media on an Organization's Risk – Janet Smith
11:00 - 11:05 AM	BREAK
11:05 - 11:55 AM	Deep Fried Deception: A Case Study of Fraud and Embezzlement – Laura Soileau
11:55 - Noon	Closing – Chairperson Deanna Sullivan
Noon - 12:30 PM	EXHIBIT HALL

Monday, April 12, 2021

“Reimagining Resilience”

Jenitha John, Chair of The Institute of Internal Auditors Global Board of Directors

Resilience. It's a word that seems particularly germane as the world navigates through the most challenging times in more than a century. Applied broadly, resilience is the capacity to bounce back or recover quickly from difficulties. For internal auditors and their organizations, resilience will demand far-reaching transformation. Jenitha is well-acquainted with the role resilience plays in overcoming challenges. With more than 26 years of experience in internal auditing, including as a Chief Audit Executive, and now as the Chief Executive Officer of South Africa's Independent Regulatory Board for Auditors (IRBA), she seeks to share lessons that are particularly relevant during a global health crisis that is sure to transform internal audit – and, indeed, the world – in ways that most could never have imagined a year ago. COVID-19 has drastically altered the risk landscape and poses significant new challenges for the internal audit profession. Jenitha's presentation will illuminate ways internal auditors can rise to the occasion and help ensure the relevance and sustainability of the profession's future. Key benefits of the presentation include:

- Understand the ways in which the pandemic has impacted the profession
- Review what went right and what went wrong, both within the audit function and the organization
- Look at how internal audit must adapt to the “new” tomorrow
- View of the importance of TACTT – technology, agility, collaboration, talent, tenacity – in creating a sustainable future
- Overview of the Three Lines Model

“Agile Internal Auditing: A Journey to Customer-Centered, Value-Driven and Responsive Auditing”

Anand Bhakta, Director of Solutions Advisory Services, AuditBoard

The internal audit profession is recognizing the need to move faster in working with its customers and in performing its services. Teams are achieving success by adopting an iterative and flexible approach to prioritize work and keep pace with continual changes. This session will discuss the benefits of working agile, showcase common challenges to success, and provide practical implementation guidance. Attendees will:

- Learn how to audit with agility
- Discover why agile auditing is critical to a business
- Explore best practices and keys to success

“Digitalizing the World of Assurance”

Carla Hodge, Intelligent Automation and Compliance Evangelist

Vivek Sinha, CEO & Founder, Janus Advisory and Consulting Services

Internal audit is the cornerstone of an organization's corporate governance, uniquely positioned to provide global assurance to the audit committee and senior management regarding the effectiveness of internal controls and risk processes. For the internal audit function to accomplish its objectives with limited resources, it must be innovative. In this age of automation, internal auditors should be looking for opportunities to integrate new technology. Analytics, robotics process automation and artificial intelligence should be on the forefront of every internal auditor's mind. This session will discuss how technology can revolutionize the way internal audit and other functions execute audits, test controls or oversee compliance.

“Winning Through Influence and Connectivity”

Ed Robinson, President, Robinson Performance Group

Whether you are a CAE, CFO, CIO, Director, Controller, business advisor or are a developing audit or IT professional, it is imperative that you master the art of financial influence and build the skills of a relational manager. This powerful, interactive session will provide participants with the skills to design a structured process for developing long-term, mutually beneficial internal and external client relationships along with strategies for becoming true leaders within your organizations.

Ed combines dynamite influencing strategies with a marketing model that creates a machine for effective and proactive influence, regardless of economic conditions. You will walk away with over a dozen skills, processes, and tools to grow your organization and impact your career.

Tuesday, April 13, 2021

“Catch Me If You Can”

Frank Abagnale, Renowned cybersecurity and fraud prevention expert, bestselling author and subject of “Catch Me If You Can”

Frank will provide an entertaining insight into his life as the notorious imposter of the 1960s; a thrilling story told in the award-winning movie and Broadway musical, “Catch Me If You Can”. By age 21, Frank was known as America's most gifted con man, successfully impersonating an airline pilot, pediatrician, stockbroker, college professor, and assistant attorney general, all while cashing \$2.5 million in forged checks. Audiences will experience an emotional roller coaster ride as motivational speaker Frank Abagnale describes his escapades and reveals how he learned to live on the right side of the law.

“SOX Modernization”

Patty Salkin, Managing Director, Deloitte

Modernization of internal controls continues to be a focus of registrants with the objective to identify efficiencies while maintaining quality with lower cost of compliance. This session will explore leading practices within internal control programs, barriers preventing issuers from getting ahead, how to break the cycle, and incorporating technology as part of a strategic control redesign approach that drives meaningful business outcomes. Discussion topics will include:

- Overview of material weakness data by SEC registrants
- Advantages to the three pillars to SOX modernization
- Case study of aligning risk assessment to what matters most
- Automation technology that can be integrated into your SOX compliance program

“Strengthening with Continuous Controls Monitoring”

Brian Yarbrough, Chief Audit Executive (Interim), HP Inc

Strategies, based on compelling business benefits, can be used by internal auditors to engage front-line management to implement Continuous Controls Monitoring (CCM). From this session, attendees will learn:

- How CCM decreases the cost of controls management
- Why business management will appreciate timely insights into controls performance from CCM
- How CCM helps management avoid compliance surprises from internal/external audits
- Which technologies lend themselves to CCM development
- How to overcome challenges in partnering with business management to implement CCM
- Why CCM by first line of defense frees up internal audit to do more analysis and risk management

"From Covid-19 to Solar Winds: Cybersecurity Risks to Compliance Have Never Been Higher"

Larry Harrington, Retired Chief Audit Executive, Raytheon

Brian Tremblay, Compliance Practice Leader, Onapsis

In early 2020, organizations were forced to quickly move their employees and, in some cases, how they run their operations, remote. By the end of 2020, we saw security organizations that are charged with protecting our companies fall victim to breaches, effectively confirming what we all were hesitant to embrace – every organization is at risk, and it is if, not when. While media coverage of these events has been wide and seemingly unending, the impacts to your compliance efforts for key programs like SOX and Data Privacy have gone largely unnoticed.

A recent survey shows 65% of organizations acknowledge a breach within their ERP systems demonstrating the common IT General Controls relied on by organizations and auditors are no longer adequate by themselves. This session will provide you an update on the current threat landscape surrounding mission critical applications like ERPs; demonstrate how threat actors (and even security researchers) breach ERP systems; the potential impact on key compliance efforts such as SOX; and most critically how auditors can adapt audit testing to provide increases assurance to management and the board and continually adapt to the changes in cyber risks.

From this session, participants will:

- Learn about the unnoticed threats to mission critical applications such as ERPs
- Understand the impact of cybersecurity on compliance, especially ITGCs and SOX.
- Learn common pitfalls to today's testing approaches and how auditors can adapt
- Obtain ideas on how to continuously adapt to cybersecurity changes
- 'Take Away' key thoughts and ideas to discuss with your teams and stakeholders

Wednesday, April 14, 2021

"Hacking the ROCKSTAR ATTITUDE"

Mark Schulman, Celebrity Drummer

Mark's high-energy, audience-interactive keynote, "Hacking the Rockstar Attitude", can best be described as a Rock show disguised as a keynote. Mark uses three core principles during this kinetic and sensory-driven program – ABC: Attitude * Behavior * Consequences. You can't always control what happens to you, but you can control your attitude, which drives your behavior, and your behavior drives your consequences. You will walk away with a blueprint for how to incorporate Rock & Roll philosophy, techniques and swagger to drive performance and energize your work environment.

Are you ready to rock? This dynamic session will show you how to:

- Increase Individual and Team Performance - Through an invigorating, entertaining and emotional experience, participants gain an understanding of how to immediately employ fundamental and powerful concepts that will elevate their professional and personal performance beyond what they thought possible.
- Break Through Barriers and Trepidation - Based on his interviews with world-class performers in all industries, Mark has synthesized the secrets to busting through fear, trepidation, and anxiety associated with any type of performance, presentation, pitch, or communication.
- Engage in Interactive Performances - Entertaining live drumming with multi-media and interactive processes give individuals and teams a cohesive sense of rhythm; organizations of all types will increase their internal energy and unify teams into one inspired, vision-focused performance.

"How COSO Guidance Impacts Internal Auditors in a Transformational World"

Paul Sobel, *Chairman, Committee of Sponsoring Organizations*

The Committee of Sponsoring Organizations of the Treadway Commission (COSO) is well known for its Internal Control and ERM frameworks. COSO also provides guidance and thought leadership on internal control, governance and fraud deterrence. The COSO Chairman will discuss guidance issued over the last 18 months that is relevant for internal auditors. This guidance focuses on managing cyber, blockchain, compliance risks and controls, and other risk topics. In this session, participants will:

- Understand how to apply risk management principles to manage cyber risks
- Evaluate the types of controls necessary for blockchain and distributed ledgers
- Develop a practical approach to establishing risk appetite
- Understand how to leverage risk management to create and protect value
- Apply risk management techniques to manage compliance with laws and regulations

"Blurring the Lines: The Impact of Social Media on an Organization's Risk"

Janet Smith, *Managing Director, BDO*

Social media is no longer just a teenage thing, it has become a powerful tool for businesses to connect with customers, employees and the general public. Fishbowl, Twitter, Yelp, TripAdvisor, Facebook, Instagram, Pinterest, Snapchat, LinkedIn, etc. - all of these can create great opportunities, but also new risks, to an organization. From this session, participants will be able to:

- Identify and understand common social media platforms and uses
- Recognize the common business and IT risks associated with the rising use of corporate social media
- Recognize the risks associated with employee personal use of social media
- Develop an internal audit plan to address emerging social media risks

"Deep Fried Deception: A Case Study of Fraud and Embezzlement"

Laura Soileau, *Director, Postlethwaite and Netterville*

This session will examine the techniques and approaches employed in the fraud case in which a former CFO, responsible for the operations of multiple fried chicken restaurants, pled guilty to wire fraud and embezzlement from a bankruptcy estate. We will also highlight detailed analysis and aspects of the investigation. In addition, this session will discuss how organizations can develop a layered anti-fraud approach to combat fraud. Learning objectives include:

- Understand occupational fraud
- Discuss anti-fraud approach including fraud detection, prevention, and response
- Understand available data analysis techniques
- Discuss case study from investigation to indictment

Monday Keynote Speaker



Jenitha John

**2020-2021 Chair of The Institute of Internal
Auditors Global Board of Directors and
Chief Executive Officer of South Africa's
Independent Regulatory Board for Auditors**

Jenitha has more than 26 years of experience in internal auditing, including as a Chief Audit Executive. Before becoming Chairman of The IIA Global Board, her volunteer roles included serving as the Global Board's Senior Vice Chair (2019-20), as well as serving on the IPPF Relook Task Force, Committee of Research and Education Advisors, Financial Services Guidance Committee, and on the Global Board's Executive Committee as 2018-19 Vice Chairman-Professional Certifications, and 2017-18 Vice Chairman-Professional Practices. She also led a global task force on the recently updated Three Lines Model (formerly Three Lines of Defense).

Jenitha paralleled her executive role by having served as a non-executive director of both public- and private-sector entities. A

seasoned executive with experience in industries ranging from utilities to telecommunications, agriculture, health care, insurance, automobile, information technology, and financial services, she currently serves as a non-executive director and Audit Committee Chairman of listed company boards in South Africa.

Recognized as "South Africa's Internal Auditor of the Year" in 2014, Jenitha has addressed conferences globally on a wide array of topics, such as internal audit's role in governance, combined assurance, women in leadership, the impact of AI and robotics on industries, emerging issues in the financial services industry, and the value of key performance indicators. In addition, she actively participates in mentoring circles, panel discussions, and networking sessions throughout the world, and she strongly believes in developing young minds.

Jenitha is a Certified Internal Auditor (CIA) and has received the Qualification in Internal Audit Leadership (QIAL) designation. Other professional credentials include CA(SA), South African Institute of Chartered Accountants; CD(SA), Institute of Directors (Chartered Director); and SEP, Senior Executive Program, Harvard Business School. She received a bachelor's degree from the University of KwaZulu-Natal and an Honors degree from the University of South Africa, majoring in financial accounting, management accounting, taxation, auditing and economics. For her chairman's year theme, Jenitha chose "Reimagining Resilience" to emphasize the role agility plays in internal audit every day, but particularly during times of crisis.

Tuesday Keynote Speaker



Frank Abagnale

Renowned cybersecurity and fraud prevention expert, bestselling author and subject of “Catch Me If You Can”

Frank Abagnale is one of the world's most respected authorities on forgery, embezzlement, and secure documents. For over 40 years he has worked with, advised, and consulted with hundreds of financial institutions, corporations and government agencies around the world. Mr. Abagnale's rare blend of knowledge and expertise began more than 50 years ago when he was known as one of the world's most famous con men. His riveting story was the inspiration for Steven Spielberg's 2002 film, "Catch Me If You Can", starring Leonardo DiCaprio as Abagnale and Tom Hanks as the FBI agent fast on his heels. The Tony-Award winning musical, "Catch Me If You Can", directed by the legendary Jack O'Brien, opened on Broadway at the Neil Simon Theatre.

"I chose to immortalize him on film because of what he's done for the country for more than 30 years." -Steven Spielberg

Mr. Abagnale has been associated with the FBI for over 40 years. He lectures extensively at the FBI Academy and for FBI field offices. He is a faculty member at the National Advocacy Center (NAC), which is operated by the Department of Justice, Executive Office for United States Attorneys. More than 14,000 financial institutions, corporations and law enforcement agencies use his fraud prevention programs. In 1998, he was selected as a distinguished member of "Pinnacle 400" by CNN Financial News - a select group of 400 people chosen based on great accomplishment and success in their fields. In 2004 Mr. Abagnale was selected as the spokesperson for the National Association of Insurance Commissioners (NAIC) and the National Cyber Security Alliance (NCSA). He has also written numerous articles and books including *The Art of the Steal*, *The Real U Guide to Identity Theft*, and *Stealing Your Life*.

"Abagnale's lecture may be the best one-man show you will ever see." -Tom Hanks

Mr. Abagnale refuses to accept payment for any of his government work. Today, the majority of his income is derived from consulting with major corporate clients such as LexisNexis, Intuit, AARP, and Experian and his public speaking engagements. Mr. Abagnale has conducted over 3,000 seminars on identity theft, cyber crime and fraud worldwide. Mr. Abagnale works as an advisor to a number of print and technology companies around the world. He spent 20 years as an advisor to the Standard Register Company, creating security features for negotiable instruments, car titles, etc. He spent 10 years as an advisor to the 41st Parameter, which developed fraud detection technology used in 80 countries around the world and recently purchased by Experian.

In his latest book, *Scam Me If You Can: Simple Strategies to Outsmart Today's Rip-off Artists*, Frank reveals what the world's most skilled scammers are up to – and how you can stop them in their tracks.

Wednesday Keynote Speaker



Mark Schulman Celebrity Drummer

Mark is the drummer for P!NK and has performed for sold-out audiences all over the world. Get ready to be empowered, inspired and elevated to an evolved mindset and new level of peak performance.

Having performed for over a billion people, Mark unlocks the Rockstar Attitude by incorporating his powerful business philosophy and innovative strategic content with engaging multimedia and drum performances.

Through Mark's high-energy speaking style, strategic business expertise, and dynamic, interactive musical activities, you will get to express your own rhythms, fortify individual strengths, and enhance emotional connections with your colleagues and families.

Think Tony Robbins meets Bono meets Ringo Starr; you'll break the boundaries of possibility and performance while rocking out to an unforgettable mix of live drumming, interactive performances, and compelling stories from Mark's intimate experiences with P!NK, Billy Idol, Foreigner, Cher, and other world-class artists.

Speaker Profiles



Anand Bhakta Director of Solutions Advisory Services, AuditBoard

At AuditBoard, a cloud-based software solution to help streamline and automate internal audit and compliance activities, Anand specializes in risks and controls in the implementation of ERP systems. He has over 15 years of IT audit and consulting experience and has helped various multinational companies evaluate and/or implement application controls in ERP systems, including Oracle Financials, SAP, and PeopleSoft. Anand is recognized for developing and implementing agile auditing programs and data analysis tools. He has also assisted clients with SOX compliance from both an advisory and attestation perspective.



Larry Harrington Retired Chief Audit Executive

Larry recently retired after 14 years as the CAE at Raytheon Company. He previously held the same position at Aetna and Staples and has also served as a VP within finance, human resources, and operations. Larry has volunteered with The IIA for over 30 years, including as President of the Boston Chapter, Chairman of the North American Board, and Chairman of the Global Board. He was selected into the North American Hall of Distinguished Audit Practitioners and was awarded the Bradford Cadmus lifetime award by The IIA. Larry is a well-known speaker and has spoken at more than 35 Institutes and 30 Chapters. He is a CIA, CRMA, QIAL, and CPA.



Carla Hodge Intelligent Automation and Compliance Evangelist

Carla has over 15 years of internal audit experience and has taught various courses in internal audit, internal controls and finance over the past seven years. She most recently was Director of Internal Audit at UiPath where she supported efforts to build a global audit department and digitize internal control testing. Prior to UiPath, she was at SCI as Director of Risk Compliance and Governance. Carla has an extensive background in internal audit, SOX compliance, COSO, pre-IPO readiness, and fraud investigations/audits. Her dissertation entitled, "Organizational Satisfaction in the 21st Century Internal Audit function: Trends that Impact Internal Audit Departments," is cataloged at the U.S. Library of Congress, and she has written for both The IIA and ACFE magazines. Carla holds a Doctorate in Business Administration focused on Internal Audit from Jones International University, a Master of Management in Finance and Economics and a Bachelor of Management in Finance from Tarleton State University. She is a CFE, CRMA, and CRCMP.



Ed Robinson President, Robinson Performance Group

Ed has been a business growth advisor, leadership and sales expert for over 30 years. He has consulted, coached and spoken to thousands of audiences and hundreds of businesses in more than 30 countries across multiple industries. Ed is a professed "recovering CPA" that has facilitated the growth of leadership, business development and sales in many organizations, with focused projects designed to improve performance and productivity. His company provides practical strategies and techniques to clients worldwide "transforming professionals to Leaders and Leaders to Rainmakers." Ed has authored several books: *4 Giant Steps to Leadership*, *From Fighting the Storm to Dancing in the Rain*, and the *Million Dollar Rainmaker* series, a parable about developing new business. Ed's newest product is *Leadership: Winning Through Influence*, a sales and marketing system with a proven track record for teaching sales professionals how to close more business.



Patty in Managing Director, Deloitte

Patty has over 25 years of experience specializing in SOX compliance related services to multi-national and cross industry clients. With broad insights and access to leading practices, Patty leverages her knowledge and experience to lead teams assisting clients identify and assess their top SOX areas in order to drive a client-vested outcome and value. She graduated from Rutgers University with a Bachelor of Science in Accounting. Patty is a CPA and member of the AICPA.



Vivek Sinha CEO & Founder, Janus Advisory and Consulting Services

Vivek has over 20 years of international experience driving transformation through consulting, intelligent automation, assurance, shared services, compliance, and governance. He is recognized for organizational and thought leadership in the areas of innovation through automation, people and program management, crisis management expertise, and building large multi-functional global teams. His previous roles include being the Chief Audit Executive of UiPath, where he was responsible for overseeing UiPath's Global Internal Audit function

responsible for providing reasonable assurance on the effectiveness of the organization's risk management and the strength of internal controls. Vivek has a degree in Economics, a Chartered Accountancy from India and is also a CFE. He has managed global teams as large as 1,100 employees in 12 countries. He is an RPA evangelist speaking at different forums and conferences and has been a speaker at global RPA conferences, the annual Blackline conference as well as finance town halls of several multi-nationals.



Janet Smith Managing Director, BDO

Janet has 30 years of financial audit, internal audit, SOX and contract compliance experience. She provides governance, risk and compliance services and assists clients in achieving their objectives through effective and efficient systems of internal controls. Janet has worked with clients to identify major control weaknesses; recommend and implement appropriate action plans to strengthen internal controls; actively help clients assess their risk; identify significant controls; and develop test plans for SOX compliance. She is a CPA and CIA.



Paul Sobel Chairman, Committee of Sponsoring Organizations

Paul is Chairman of the Committee of Sponsoring Organizations of the Treadway Commission (COSO). He recently retired from Georgia-Pacific, LLC where he was Vice President/Chief Risk Officer and CAE for this privately-owned forest and consumer products company based in Atlanta. He has authored or co-authored four books: *Managing Risk in Uncertain Times*; *Auditor's Risk Management Guide: Integrating Auditing and ERM*; *Internal Auditing: Assurance and Advisory Services*; and *Enterprise Risk Management: Achieving and Sustaining Success*. Paul

was The IIA's Chairman of the Board (2013-2014) and has held other IIA leadership roles. In 2012, he was recognized in Treasury & Risk Magazine's list of 100 Most Influential People in Finance. In 2017, he received The IIA's Bradford Cadmus Memorial Award and was inducted into The IIA's American Hall of Distinguished Audit Practitioners. He is a CIA, QIAL, and CRMA. In 2020 he received The IIA's Victor Z. Brink Award for distinguished service.

**Laura Soileau****Director, Postlethwaite and Netterville**

Laura has extensive experience in internal auditing, including working with audit committees; leading internal audit and internal controls assessments; facilitating risk assessments for financial, operational and technology audits; coordinating with external auditors; overseeing the execution of audit plans; and communicating audit results to senior management and audit committees. She currently serves on both The IIA's Global Board of Directors and North American Board of Directors. She is a CIA, CISA, CPA, CFE, CRMA, and CGMA.

**Brian Tremblay****Compliance Practice Leader, Onapsis**

Brian helps clients understand and navigate the challenges and opportunities created by the increasing overlap of compliance, cybersecurity and business continuity related to IT General Controls and regulatory and compliance matters such as SOX and GDPR. Prior to Onapsis, he was the CAE for high-tech semiconductor company Acacia Communications. In addition to founding and leading all activities of the internal audit function, he helped prepare the organization to go public (including implementing SOX) and facilitated its implementation of enterprise risk management. Previously, Tremblay was the director of internal audit at Iron Mountain, overseeing all audits and projects in North America as well as liaising with global quality managers. Earlier in his career, he worked at Houghton Mifflin Harcourt, Raytheon and Deloitte. He is a CIA and CISA.

**Brian Yarbrough****Chief Audit Executive (Interim), HP Inc**

Brian started his career at Arthur Andersen in 1997 as an IT risk auditor. He joined PwC in 2003 as a manager in the Risk Assurance practice, working on IT SOX assurance, internal audit co-sourcing, and information security engagements. He joined AIG in 2012 as a vice president in the Financial Controls Unit, leading global SOX testing for the Life Insurance businesses. In 2016, he joined HP Inc. as a senior director leading global IT audits. In December 2020, Brian was named Chief Audit Executive. He holds a BFA in English from the University of Houston and MBA from Rice University. He is a CISA.