



## Stephen R. King, JD, AMLP

### Principal

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#### **OVERVIEW**

Stephen serves as a Principal and the Director of the Regulatory Compliance group where he guides clients through the myriad of federal and state banking statutes from both an operational and legal perspective. Stephen is responsible for managing a team of professionals to ensure the successful delivery of compliance services to Wolf clients. An avid speaker, he travels regularly, educating the members of financial industry associations throughout the Northeast.

Stephen joined the Firm in 1996 and has twenty five years of experience as an advisor, facilitator and resource to financial institutions, investment companies, mortgage industry professionals and broker-dealers in compliance matters.

#### **AREAS OF EXPERTISE**

Stephen consults with clients on the design, implementation, and monitoring of customized compliance programs to ensure regulatory compliance in the areas of lending, operations, and retail banking. He also consults with financial institutions on enterprise-wide risk management, compliance examination preparation and response, and new product design.

#### **EDUCATION AND CERTIFICATIONS**

Graduate of Stonehill College

Juris Doctor, Suffolk University Law School (JD) Licensed to practice law in Massachusetts

Anti-Money Laundering Professional (AML) Certification

#### **MEMBERSHIPS AND ORGANIZATIONS**

Massachusetts Bankers Association – Legal & Regulatory Compliance Committee

New Jersey Bankers Association – Compliance & CRA Committee

Bank Compliance Association of Connecticut

Eastern Massachusetts Compliance Network

Massachusetts and American Bar Associations