



The Institute of
Internal Auditors

Long Island

Dual Track Conference

IT & Banking

Friday, March 11, 2022

8:30am – 5pm

AGENDA

	IT Track	Banking Track
8:30 – 8:40	Welcome & Morning Announcements	
8:40 – 9:20	General Session View from the Top: An Internal Audit Career – Conversations and reflections from a Chief Auditor Al Friedman (Retired, Sterling National Bank)	
9:25 – 10:25	Effectively Leveraging Process Mining Solutions for Internal Audit Kyle Swanson (Protiviti)	ALM Models, Liquidity Models and the Assumptions that Drive Them Merry Piotti/ Drew Coveney (Wolf)
10:25 – 10:40	BREAK	
10:40 – 12:15	RPA Risk Controls David Kahan/Dev Sundarasekhar (EY) followed by System Implementation Risk and Considerations Peter Kimaru/Bernitza Lafleur (EY)	Regulatory Hot Topics Patti Roderick (FDIC) – followed by Vendor Management through the Regulatory Compliance Lens Steve King (Wolf)
12:15 – 1:00	LUNCH BREAK	
1:00 – 2:00	IT's Role I Third Party Risk Management Brian Lane (RSM)	BSA AML Kyle W Newton & Yiming Chang (EY)
2:05 – 3:05	How to Audit AWS Environments Xavier Barbe/Lynn Chen/Peter Schablik (Mazars)	ESG Brian Portman & Matthew P. Noffke (EY)
3:05 – 3:20	BREAK	
3:20 – 4:20	Communicating IT Issues to the Audit Committee Melissa Musser/Mac Lillard/Darren Hulem (GRF CPAs & Advisors)	
4:25 – 4:50	Closing General Session Pinak Guha & Joel Lanz	
4:50 – 5:00	Closing Announcements	