



The Institute of  
**Internal Auditors**  
 Long Island

**Dual Track Conference**  
**IT & Banking**  
**Friday, April 14, 2023**  
**8:00 am – 4:30 pm**

## AGENDA

	IT Track	Banking Track
<b>8:00 – 8:15</b>	Welcome & Opening Remarks Rocky Shankar Tom Comiskey Housekeeping - Lorraine	
<b>8:15 – 9:15</b>	<b>Thoughts re: IT and Cybersecurity Audit</b> (Kathy Ortiz/Head of IT Audit - Sunitomo Mitsui Banking Corp. Americas Division)	<b>Semi Annual Risk Perspective and Emerging Risks</b> (Phillip Young and Cameron Devlin/ Office of the Comptroller of the Currency)
<b>9:15 – 10:15</b>	<b>Robotic Process Automation – Audit Considerations</b> (Rob Blanchard/Crowe)	<b>SOX Modernization</b> (Brad Niedzielski and Monty Maisano/D&T)
<b>10:15 – 10:30</b>	<b>BREAK</b>	
<b>10:30 – 11:30</b>	<b>Minimizing Third-Party Risk with IT and Cybersecurity</b> (Tom Brown/Senior Analyst, Mac Lillard/Senior Manager, and Darren Hulem/Risk and Advisory Services Supervisor - GRFCPA)	<b>Current Market Events &amp; the IA Impact</b> (James Gannon and Karl Erhardt/EY)
<b>11:30 – 12:15</b>	<b>Analyzing Complaints with Natural Language Processing</b> (Jim Tarantino/Director of Risk Technology - RSM US)	
<b>12:15 – 1:15</b>	<b>LUNCH BREAK</b>	
<b>1:15 – 2:15</b>	<b>Data Governance Fundamentals for Internal Audit</b> (Joseph Sommer/EY)	<b>Top Risks for the Financial Services Industry</b> (Carol Beaumier/Protiviti)
<b>2:15 – 3:15</b>	<b>Emerging Technology Risks and Evolving Audit Strategies</b> (David Lehmann/Managing Director in Internal Audit and Technology Risk, Craig Doner/Associate Director in Tech Audit & Advisory, and Vishal Shrivastava/Associate Director in Tech Audit & Advisory - Protiviti)	<b>Data Privacy</b> (Craig Rainer / Senior Manager EY and Rayana Khalaf / Senior Consultant, Data Privacy EY )
<b>3:15 – 3:30</b>	<b>BREAK</b>	
<b>3:30 – 4:25</b>	<b>Targeted Audit Committee Oversight for Cybersecurity</b>	<b>Fraud and CFPB Guidance Related to P2P Payments</b> (Rebecca Tetreau/NAFCU)

	(Joel Lanz/Visiting Assistant Professor of Accounting - SUNY at Old Westbury; Chair for NY State Society of CPA Internal Audit Committee)	
<b>4:25 – 4:30</b>	<b>Closing Announcements Raffle</b> Thank you to Joel Lanz (IT) and Maria Michaelson (Banking) for organizing this conference. Closing Announcements – Lorraine & Tom	

SUBJECT TO CHANGE

Revised 4/13/23